
**List of Brokers and Dealers with No Outstanding
Past-Due Share of the Broker-Dealer Accounting Support Fee**

Determination of Payment under PCAOB Rule 7104(b)

As of July 21, 2026

The following is a list of brokers and dealers that have been assessed and have no outstanding past-due share of the broker-dealer accounting support fee of the Public Company Accounting Oversight Board ("PCAOB"), as of July 21, 2026.^{1/}

A registered public accounting firm may take the inclusion of a broker or dealer on this list as an indication that the broker or dealer has no outstanding past-due share of the broker-dealer accounting support fee for purposes of PCAOB Rule 7104(b).

This list will be updated at least bi-weekly. If a registered public accounting firm cannot obtain a representation from the broker or dealer or cannot determine by reviewing the list below whether the broker or dealer has no past-due share of the accounting support fee outstanding, the registered public accounting firm may contact the staff by phone at 1-202-207-9294 (Business Days: 9:00 a.m. to 5:00 p.m. ET) or by email at confirm@pcaobus.org.

If you believe a broker or dealer has been excluded from this list in error, please contact the staff by phone at 1-202-207-9294 (Business Days: 9:00 a.m. to 5:00 p.m. ET) or by email at confirm@pcaobus.org.

Additional information about the broker-dealer accounting support fee and the funding process is available in the Frequently Asked Questions ("FAQs") available in the Accounting Support Fee section of the PCAOB's Web site (<http://pcaobus.org/About/Administration/SupportFee/Pages/BDSupportFeeFAQ.aspx>).

^{1/} Just because a broker or dealer does not appear on this list does not mean that the broker or dealer has outstanding a past-due share of the broker-dealer accounting support fee. Many "brokers" or "dealers," as such terms are defined in the Sarbanes-Oxley Act and the Board's rules, are not allocated a share of the broker-dealer accounting support fee under the Board's funding rules and therefore are not on this list even though they have outstanding no past-due share of the accounting support fee. In addition, payments are received periodically by the PCAOB and are not reflected on this list until it is next updated. For more information regarding the determination of payment of accounting support fees by registered accounting firms, please see rule 7104(b).

Public Company Accounting Oversight Board
 Brokers and Dealers With No Outstanding
 Past-Due Share as of July 21, 2026

	*	*	*
&PARTNERS	ALLIANZ LIFE FINANCIAL		APERTURE, LLC
2021 CAPITAL, LLC	SERVICES, LLC		APEX CLEARING
280 SECURITIES LLC	ALLSPRING FUNDS		CORPORATION
303 EQUITY TRADING GROUP	DISTRIBUTOR, LLC		APOLLO GLOBAL SECURITIES,
II, LLC	ALLSTATE FINANCIAL		LLC
A. R. SCHMEIDLER & CO.,	SERVICES, LLC		APTO PARTNERS, LLC
INC.	ALLSTON TRADING LLC		AQR INVESTMENTS, LLC
A.B. FINANCIAL L.L.C.	ALLY INVEST SECURITIES LLC		AQUILO PARTNERS, L.P.
A.G.P. / ALLIANCE GLOBAL	ALLY SECURITIES LLC		ARBOR RESEARCH &
PARTNERS, LLC	ALPACA SECURITIES LLC		TRADING, LLC
AARDVARK SECURITIES LLC	ALPHA TRADING L.P.		ARCA CAPITAL
ABBEY NATIONAL SECURITIES	ALPINE SECURITIES		INVESTMENTS, INC.
INC.	CORPORATION		ARCHIPELAGO SECURITIES
ABEL NOSER, LLC.	ALPS DISTRIBUTORS, INC.		L.L.C.
ABEL/NOSER CORP.	ALTERNA SECURITIES, INC.		ARCHIPELAGO TRADING
ABG SUNDAL COLLIER INC.	ALTRUIST FINANCIAL LLC		SERVICES, INC.
ABN AMRO CLEARING USA	ALVAREZ & MARSAL		ARCOLA SECURITIES, INC.
LLC	SECURITIES, LLC		ARDEA PARTNERS LP
ABN AMRO SECURITIES (USA)	AMERANT INVESTMENTS,		ARES WEALTH
LLC	INC.		MANAGEMENT SOLUTIONS,
ABN AMRO CAPITAL	AMERICA'S GROWTH		LLC
MARKETS (USA) LLC	CAPITAL, LLC		ARIEL DISTRIBUTORS, LLC
ABSA SECURITIES U.S. INC.	AMERICAN CENTURY		ARTIST CAPITAL LLC
ACADEMY SECURITIES, INC.	INVESTMENT SERVICES, INC.		ARVEST INVESTMENTS, INC.
ACCESS SECURITIES, LLC	AMERICAN ENTERPRISE		ARXIS SECURITIES LLC
ACORNS SECURITIES, LLC	INVESTMENT SERVICES INC.		ASCENSUS BROKER DEALER
ADELPHI STOCK BROKERS	AMERICAN PORTFOLIOS		SERVICES, LLC
INC.	FINANCIAL SERVICES, INC.		ASHMORE INVESTMENT
ADVISORS ASSET	AMERIPRISE FINANCIAL		MANAGEMENT (US)
ADP BROKER-DEALER, INC	SERVICES, LLC		CORPORATION
MANAGEMENT, INC.	AMERITAS INVESTMENT		ASL CAPITAL MARKETS INC.
AEGIS CAPITAL CORP.	COMPANY, LLC		ASPEN TRADING, L.L.C
AERIS PARTNERS LLC	AMERIVET SECURITIES, INC.		ASSOCIATED INVESTMENT
AIG FINANCIAL SECURITIES	AMHERST SECURITIES		SERVICES, INC.
CORP.	GROUP, L.P.		ASSOCIATED OPTIONS, INC.
AK CAPITAL LLC	AMS DERIVATIVES B.V.		ASSUREDPARTNERS
AKUNA SECURITIES LLC	AMUNDI DISTRIBUTOR US,		FINANCIAL SERVICES, LLC
ALADDIN CAPITAL LLC	INC.		ATHENE SECURITIES, LLC
ALANTRA, LLC	AMUNI FINANCIAL, INC.		ATLANTIC - PACIFIC CAPITAL,
ALLEN & COMPANY LLC	ANGEL ISLAND CAPITAL		INC.
ALLIANCEBERNSTEIN	SERVICES, LLC		ATLAS ONE FINANCIAL
INVESTMENTS, INC.	ANZ SECURITIES, INC.		GROUP, LLC
	AON SECURITIES LLC		

Public Company Accounting Oversight Board
 Brokers and Dealers With No Outstanding
 Past-Due Share as of July 21, 2026

ATLAS TECHNOLOGY GROUP
 LLC
 AUERBACH GRAYSON &
 COMPANY LLC
 AURIGA USA, LLC
 AUTOMATED TRADING DESK
 BROKERAGE SERVICES, LLC
 AUTONOMOUS RESEARCH
 LLP
 AVANTAX INVESTMENT
 SERVICES, INC.
 AVATAR CAPITAL GROUP LLC
 AVATAR SECURITIES, LLC
 AVM, L.P.
 AXOS CLEARING LLC
 B. C. ZIEGLER AND COMPANY
 B. RILEY SECURITIES, INC.
 B. RILEY WEALTH
 MANAGEMENT, INC.
 BAADER HELVEA INC.
 BAKER TILLY CAPITAL, LLC
 BALANCE SHEET SOLUTIONS
 LLC
 BANC OF AMERICA
 SPECIALIST, INC.
 BANCO DO BRASIL
 SECURITIES LLC
 BANCO VOTORANTIM
 SECURITIES, INC.
 BANCROFT CAPITAL, LLC
 BANCWEST INVESTMENT
 SERVICES, INC.
 BANORTE SECURITIES
 INTERNATIONAL LTD
 BARCLAYS CAPITAL INC.
 BARON CAPITAL, INC.
 BB&T INVESTMENT
 SERVICES, INC.
 BB&T SECURITIES, LLC
 BBVA COMPASS
 INVESTMENT SOLUTIONS,
 INC.
 BBVA SECURITIES INC.

BBVA SECURITIES OF PUERTO
 RICO, INC.
 BC PARTNERS SECURITIES LLC
 BCI SECURITIES, INC.
 BCP SECURITIES, INC.
 BCS AMERICAS, INC.
 BD25 -CHILIAN PARTNERS,
 L.P.
 BD25-HONEY BADGER
 INVESTMENT SECURITIES,
 LLC
 BDT & MSD PARTNERS, LLC
 BEDROK SECURITIES LLC
 BELLE HAVEN INVESTMENTS,
 L.P.
 BELVEDERE TRADING LLC
 BENCHMARK SECURITIES,
 LLC
 BENGAL CAPITAL TRADING
 LLC
 BENGAL CAPITAL, LLC
 BENJAMIN F. EDWARDS &
 COMPANY, INC.
 BERENBERG CAPITAL
 MARKETS LLC
 BERENSON & COMPANY, LLC
 BERKSHIRE GLOBAL
 ADVISORS LP
 BERNARD HEROLD & CO.,
 INC.
 BERNSTEIN INSTITUTIONAL
 SERVICES LLC
 BESTEX RESEARCH
 SECURITIES, LLC
 BETA CAPITAL SECURITIES
 LLC
 BETHESDA SECURITIES, LLC
 BEXIL SECURITIES LLC
 BGC FINANCIAL, L.P.
 BIDS TRADING L.P.
 BLACKARCH SECURITIES LLC
 BLACKROCK CAPITAL
 MARKETS, LLC

BLACKROCK EXECUTION
 SERVICES
 BLACKROCK FUND
 DISTRIBUTION COMPANY
 BLACKROCK INVESTMENTS,
 LLC
 BLACKSTONE SECURITIES
 PARTNERS L.P.
 BLAYLOCK VAN, LLC
 BLOOMBERG TRADEBOOK
 LLC
 BLUE CAPITAL GROUP LLC
 BLUE FIRE CAPITAL, LLC
 BLUEFIN CAPITAL
 MANAGEMENT, LLC
 BMO CAPITAL MARKETS
 CORP.
 BMO CAPITAL MARKETS
 GKST INC.
 BMO HARRIS FINANCIAL
 ADVISORS, INC.
 BMO INVESTMENT
 DISTRIBUTORS, LLC
 BMO NESBITT BURNS
 TRADING CORP. S.A.
 BNP PARIBAS PRIME
 BROKERAGE, INC.
 BNP PARIBAS SECURITIES
 CORP.
 BNY MELLON CAPITAL
 MARKETS, LLC
 BNY MELLON SECURITIES
 CORPORATION
 BOENNING &
 SCATTERGOOD, INC.
 BOFA DISTRIBUTORS, INC.
 BOFA SECURITIES PRIME,
 INC.
 BOFA SECURITIES, INC.
 BOK FINANCIAL SECURITIES,
 INC.
 BOLTON GLOBAL CAPITAL
 BONDS.COM, INC.

Public Company Accounting Oversight Board
Brokers and Dealers With No Outstanding
Past-Due Share as of July 21, 2026

BOREAL CAPITAL SECURITIES
LLC
BOSTON PARTNERS
SECURITIES, L.L.C.
BOUSTEAD SECURITIES, LLC
BRADESCO SECURITIES, INC.
BRANDT EQUITIES LLC
BRASIL PLURAL SECURITIES
LLC
BRAVER STERN SECURITIES
LLC
BREAN CAPITAL, LLC
BREXAN HOWARD US LLC
BREX TREASURY LLC
BRICKELL GLOBAL MARKETS,
INC.
BRIGHT TRADING, LLC
BRIGHTHOUSE SECURITIES,
LLC
BROADHAVEN SECURITIES
LLC
BROADRIDGE BUSINESS
PROCESS OUTSOURCING, LLC
BROKERTEC AMERICAS LLC
BROOKFIELD OAKTREE
WEALTH SOLUTIONS LLC
BROOKFIELD PRIVATE
ADVISORS LLC
BROOKFIELD SECURITIES LLC
BROWN, GIBBONS, LANG &
COMPANY SECURITIES, LLC
BROWNSTONE INVESTMENT
GROUP, LLC
BTG PACTUAL US CAPITAL,
LLC
BTIG, LLC
BUCKLER SECURITIES LLC
BUILDER ADVISOR GROUP,
LLC
BURKE & QUICK PARTNERS
LLC
BYRD TRADING, LLC
C&C TRADING L.L.C.

C. L. KING & ASSOCIATES,
INC.
C6 CAPITAL SECURITIES LLC
CABRERA CAPITAL MARKETS,
LLC
CAIN BROTHERS &
COMPANY, LLC
CAIS CAPITAL LLC
CALAMOS FINANCIAL
SERVICES LLC
CALVERT INVESTMENT
DISTRIBUTORS, INC.
CAMBRIDGE INTERNATIONAL
SECURITIES, INC.
CAMBRIDGE INVESTMENT
RESEARCH, INC.
CAMPBELL LUTYENS & CO.
INC.
CANACCORD GENUITY LLC
CANACCORD GENUITY
SECURITIES LLC
CANACCORD WEALTH
MANAGEMENT (USA) INC.
CANTOR FITZGERALD & CO.
CAPITAL BROKERAGE
CORPORATION
CAPITAL CLIENT GROUP, INC.
CAPITAL INSTITUTIONAL
SERVICES, INC.
CAPITAL ONE INVESTING, LLC
CAPITAL ONE SECURITIES,
INC.
CAPSTONE CAPITAL
MARKETS LLC
CARBON REDUCTION
CAPITAL, LLC
CARILLON FUND
DISTRIBUTORS, INC.
CARTA CAPITAL MARKETS,
LLC
CARTY, HARDING & HEARN,
INC.
CASCADIA CAPITAL, LLC

CASGRAIN & COMPANY
(USA) LIMITED
CASTLEOAK SECURITIES, LP
CBOE FIXED INCOME
MARKETS, LLC
CBOE TRADING, INC.
CBRE CAPITAL ADVISORS,
INC.
CCA CAPITAL LLC
CCB INTERNATIONAL
OVERSEAS (USA) INC.
CCS TRANSACTIONS, LLC
CENTAURUS FINANCIAL, INC.
CENTVIEW PARTNERS LLC
CETERA ADVISORS LLC
CETERA FINANCIAL
SPECIALISTS LLC
CETERA INVESTMENT
SERVICES LLC
CETERA WEALTH SERVICES,
LLC
CF SECURED, LLC
CGIS SECURITIES LLC
CHAMPLAIN ADVISORS, LLC
CHARDAN CAPITAL MARKETS
LLC
CHARLES RIVER BROKERAGE,
LLC
CHARLES SCHWAB & CO.,
INC.
CHASE INVESTMENT
SERVICES CORP.
CHICAGO CAPITAL
MANAGEMENT, L.P.
CHIMERA SECURITIES, LLC
CHINA RENAISSANCE
SECURITIES (US) INC.
CHOPPER SECURITIES, LLC
CIBC WORLD MARKETS
CORP.
CICC US SECURITIES, INC.
CIMB SECURITIES (USA), INC.
CITADEL CLEARING LLC

Public Company Accounting Oversight Board
 Brokers and Dealers With No Outstanding
 Past-Due Share as of July 21, 2026

CITADEL SECURITIES
 INSTITUTIONAL LLC
 CITADEL SECURITIES LLC
 CITI ORDER ROUTING AND
 EXECUTION, LLC
 CITIC SECURITIES
 INTERNATIONAL USA, LLC
 CITICORP SECURITIES
 SERVICES, INC.
 CITIGROUP DERIVATIVES
 MARKETS INC.
 CITIGROUP GLOBAL
 MARKETS INC.
 CITIZENS CAPITAL MARKETS,
 INC.
 CITIZENS JMP SECURITIES,
 LLC
 CITIZENS SECURITIES, INC.
 CITY NATIONAL SECURITIES,
 INC.
 CITY SECURITIES
 CORPORATION
 CLARK SECURITIES, INC.
 CLARKSON CAPITAL
 MARKETS LLC
 CLARKSONS SECURITIES, INC.
 CLASS VI SECURITIES, LLC
 CLEAR STREET LLC
 CLEARPOOL EXECUTION
 SERVICES, LLC
 CLEARY GULL INC.
 CLEVELAND RESEARCH
 COMPANY LLC
 CLIFTONLARSONALLEN
 WEALTH ADVISORS, LLC
 CLSA AMERICAS, LLC
 CMCJL, LLC
 CME BD SERVICES, INC.
 CMG SECURITIES LLC
 CMT TRADING LLC
 CMZ TRADING, LLC
 COASTAL SECURITIES, INC.
 COBRA TRADING, INC.
 CODE ADVISORS LLC

COGNITIVE CAPITAL, LLC
 COHEN & COMPANY CAPITAL
 MARKETS, LLC
 COHEN & COMPANY
 SECURITIES, LLC
 COHEN & STEERS
 SECURITIES, LLC
 COLLIERS SECURITIES LLC
 COLLINS/BAY ISLAND
 SECURITIES, LLC
 COLUMBIA MANAGEMENT
 INVESTMENT DISTRIBUTORS,
 INC.
 COMERICA SECURITIES, INC.
 COMHAR CAPITAL MARKETS,
 LLC
 COMMERCE BROKERAGE
 SERVICES, INC.
 COMMERZ MARKETS LLC
 COMMONFUND SECURITIES,
 INC.
 COMMONWEALTH
 AUSTRALIA SECURITIES LLC
 COMMONWEALTH EQUITY
 SERVICES, LLC
 COMPASS POINT RESEARCH
 & TRADING, LLC
 CONCOURSE FINANCIAL
 GROUP SECURITIES, INC.
 CONTINUITY PARTNERS
 GROUP, LLC
 COREBRIDGE CAPITAL
 SERVICES, INC.
 CORMARK SECURITIES (USA)
 LIMITED
 CORNERSTONE MACRO LLC
 CORNERSTONE TRADING, LLC
 CORTVIEW CAPITAL
 SECURITIES LLC
 COUNTRY CLUB FINANCIAL
 SERVICES, INC.
 COWEN AND COMPANY, LLC
 COWEN EQUITY FINANCE LP

COWEN EXECUTION
 SERVICES LLC
 COWEN PRIME SERVICES LLC
 CP COGENT SECURITIES LP
 CRAIG-HALLUM CAPITAL
 GROUP LLC
 CREDICORP CAPITAL LLC
 CREDIT AGRICOLE
 CHEUVREUX NORTH
 AMERICA, INC.
 CREDIT AGRICOLE
 SECURITIES (USA) INC.
 CREDIT SUISSE CAPITAL LLC
 CREDIT SUISSE PRIME
 SECURITIES SERVICES (USA)
 LLC
 CREDIT SUISSE PRIVATE
 ADVISORS AG
 CREDIT SUISSE SECURITIES
 (USA) LLC
 CREWS & ASSOCIATES, INC.
 CRITICAL TRADING, LLC
 CRITICALPOINT PARTNERS
 LLC
 CROFT & BENDER, LP
 CROSSTREE CAPITAL
 SECURITIES, LLC
 CROWELL, WEEDON & CO.
 CRT CAPITAL GROUP LLC
 CSCA CAPITAL ADVISORS, LLC
 CSS, LLC
 CT COLLATERAL FUNDING,
 LLC
 CTC, LLC
 CUNA BROKERAGE SERVICES,
 INC.
 CURIAN CLEARING, LLC
 CURTISWOOD CAPITAL, LLC
 CURVATURE SECURITIES LLC
 CUTLER GROUP, LLC
 CUTWATER ASSET
 MANAGEMENT CORP.
 CVC FUNDING, LLC
 CYNDX ADVISORS LLC

Public Company Accounting Oversight Board
Brokers and Dealers With No Outstanding
Past-Due Share as of July 21, 2026

D. BORAL CAPITAL LLC
D.A. DAVIDSON & CO.
D.M. KELLY & COMPANY
DAHLMAN ROSE &
COMPANY, LLC
DAIWA CAPITAL MARKETS
AMERICA INC.
DAIWA CORPORATE
ADVISORY LLC
DANSKE MARKETS INC.
DASH FINANCIAL
TECHNOLOGIES LLC
DAVENPORT & COMPANY
LLC
DAVID LERNER ASSOCIATES,
INC.
DAVIS DISTRIBUTORS, LLC
DAVY SECURITIES
DAWSON JAMES SECURITIES,
INC.
DB SECURITIES SERVICES NJ
INC.
DBO PARTNERS LLC
DBS VICKERS SECURITIES
(USA) INC.
DCCA SECURITIES, LLC
DEALERWEB LLC
DELANEY EQUITY GROUP LLC
DELAWARE DISTRIBUTORS,
L.P.
DELOITTE CORPORATE
FINANCE LLC
DESJARDINS SECURITIES
INTERNATIONAL INC.
DEUTSCHE BANK SECURITIES
INC.
DEVELOPMENT
CORPORATION FOR ISRAEL
DIFFUSION MARKETS, L.L.C.
DIGITAL BROKERAGE
SERVICES LLC
DIMENSION TRADING
GROUP, LLC

DINOSAUR FINANCIAL
GROUP, L.L.C
DIRECT EDGE ECN LLC
DIRECTED SERVICES LLC
DOFT & CO., INC.
DOMINARI SECURITIES LLC
DOUGH LLC
DOWLING & PARTNERS
SECURITIES LLC
DREXEL HAMILTON, LLC
DRIEHAUS SECURITIES LLC
DRIVEWEALTH
INSTITUTIONAL LLC
DRIVEWEALTH, LLC
DRW EXECUTION SERVICES,
LLC
DRW SECURITIES, L.L.C.
DUNDEE SECURITIES INC.
DV EQUITIES, LLC
DV SECURITIES, LLC
DVB CAPITAL MARKETS LLC
DWS DISTRIBUTORS, INC.
DZ FINANCIAL MARKETS LLC
E*TRADE CLEARING LLC
E*TRADE SECURITIES LLC
E.J. DE LA ROSA & CO., INC.
EARLYBIRDCAPITAL, INC.
EASTDIL SECURED ADVISORS
LLC
EATON PARTNERS, LLC
EATON VANCE
DISTRIBUTORS, INC.
EBX LLC
ECHOTRADE LLC
EDGEMONT CAPITAL
PARTNERS, L.P.
EDWARD D. JONES & CO.,
L.P.
EFG CAPITAL
INTERNATIONAL CORP.
EG MARKET TECHNOLOGIES
LLC
ELECTRONIC TRANSACTION
CLEARING, INC.

ELEQUIN SECURITIES LLC
ELEVATION, LLC
EMBED CLEARING LLC
EMMET & CO, INC.
EMPIRICAL RESEARCH
PARTNERS LLC
EMPOWER FINANCIAL
SERVICES, INC.
EPOCH SECURITIES, INC.
EQUATEX US INC
EQUILEND LLC
EQUITABLE ADVISORS, LLC
EQUITABLE DISTRIBUTORS,
LLC
EQUITEC PROPRIETARY
MARKETS, LLC
EQUITEC SPECIALISTS, LLC
EQUITYZEN SECURITIES LLC
ERNST & YOUNG CAPITAL
ADVISORS, LLC
ESL INVESTMENT SERVICES,
LLC
ESTRADA HINOJOSA &
COMPANY, INC.
ETC BROKERAGE SERVICES,
LLC
EVERCORE GROUP L.L.C.
EXANE, INC.
EXECUTION ACCESS, LLC
EXOTIX USA INC.
FACTSET DATA SYSTEMS,
INC.
FAGENSON & CO., INC.
FAIRMOUNT PARTNERS LP
FELTL & COMPANY
FHN FINANCIAL SECURITIES
CORP.
FIDELITY BROKERAGE
SERVICES LLC
FIDELITY DISTRIBUTORS
COMPANY LLC
FIDELITY DISTRIBUTORS
CORPORATION

Public Company Accounting Oversight Board
Brokers and Dealers With No Outstanding
Past-Due Share as of July 21, 2026

FIDELITY PRIME FINANCING,
LLC
FIFTH THIRD SECURITIES,
INC.
FIG PARTNERS, LLC
FINANCIAL NORTHEASTERN
SECURITIES, INC.
FIRST ALLIED SECURITIES,
INC.
FIRST ANALYSIS SECURITIES
CORPORATION
FIRST BALLANTYNE, LLC
FIRST BANKERS' BANC
SECURITIES, INC
FIRST BROKERS SECURITIES
LLC
FIRST CITIZENS CAPITAL
SECURITIES, LLC
FIRST CITIZENS INVESTOR
SERVICES, INC.
FIRST CITIZENS SECURITIES
CORPORATION
FIRST CLEARING, LLC
FIRST COMMAND
BROKERAGE SERVICES, INC.
FIRST DERIVATIVE TRADERS,
L.P.
FIRST EMPIRE SECURITIES,
INC.
FIRST HORIZON ADVISORS,
INC.
FIRST MANHATTAN
SECURITIES LLC
FIRST NATIONAL CAPITAL
MARKETS, INC.
FIRST NEW YORK SECURITIES
L.L.C.
FIRST PUBLIC, LLC
FIRST REPUBLIC SECURITIES
COMPANY, LLC
FIRST SOUTHWEST
COMPANY, LLC
FIRST TRUST PORTFOLIOS
L.P.

FIRST TRYON SECURITIES, LLC
FIRST WINSTON SECURITIES,
INC.
FIRSTRADE SECURITIES INC.
FIS BROKERAGE &
SECURITIES SERVICES LLC
FIXED INCOME CAPITAL
PARTNERS, LLC
FLAGSTAR ADVISORS INC
FLEXTRADE LLC
FLORIDA ATLANTIC
SECURITIES CORP.
FLOURISH FINANCIAL LLC
FLOW TRADERS U.S.
INSTITUTIONAL TRADING LLC
FLOW TRADERS U.S. LLC
FM PARTNERS HOLDINGS LLC
FMI CAPITAL ADVISORS, INC.
FMSBONDS, INC.
FMX EXECUTION, LLC
FOCALPOINT SECURITIES, LLC
FOLGER NOLAN FLEMING
DOUGLAS INCORPORATED
FOLIO INVESTMENTS, INC.
FORESTERS FINANCIAL
SERVICES, INC.
FORETHOUGHT
DISTRIBUTORS, LLC
FORGE MARKETS LLC
FORGE SECURITIES LLC
FOX RIVER EXECUTION
TECHNOLOGY, LLC
FRANKLIN DISTRIBUTORS,
LLC
FRANKLIN/TEMPLETON
DISTRIBUTORS, INC.
FREEDOM INVESTMENTS,
INC.
FROST BROKERAGE
SERVICES, INC.
FS INVESTMENT SOLUTIONS,
LLC
FSC SECURITIES
CORPORATION

FSP INVESTMENTS LLC
FT GLOBAL CAPITAL, INC.
FTI CAPITAL ADVISORS, LLC
FTP SECURITIES LLC
FUTU CLEARING INC
G-2 TRADING,LLC
G-BAR LIMITED
PARTNERSHIP
G-TRADE SERVICES LLC
G1 EXECUTION SERVICES, LLC
G2 TRADING LLC
GAGNON SECURITIES, LLC
GALAXY DIGITAL PARTNERS
LLC
GALLAGHER SECURITIES, INC
GAMMA SECURITIES, LLC
GARGOYLE STRATEGIC
INVESTMENTS L.L.C.
GBM INTERNATIONAL, INC.
GBS RETIREMENT SERVICES,
INC.
GDK, INC.
GE CAPITAL MARKETS, INC.
GENESIS CAPITAL, LLC
GEORGE K. BAUM &
COMPANY
GEORGESON SECURITIES
CORPORATION
GETCO EXECUTION SERVICES
LLC
GETCO SECURITIES, LLC
GFI SECURITIES LLC
GILDER GAGNON HOWE &
CO. LLC
GLEACHER & COMPANY
SECURITIES, INC.
GLICKENHAUS & CO.
GLOBAL ALTERNATIVE
INVESTMENT SERVICES, INC.
GLOBAL EXECUTION
BROKERS, LP
GLOBAL HUNTER SECURITIES,
LLC
GMP SECURITIES, LLC

Public Company Accounting Oversight Board
 Brokers and Dealers With No Outstanding
 Past-Due Share as of July 21, 2026

GOLDMAN SACHS & CO. LLC
 GOLDMAN SACHS
 EXECUTION & CLEARING, L.P.
 GOLDMAN SACHS FINANCIAL
 MARKETS, L.P.
 GORDON DYAL & CO., LLC
 GP BULLHOUND INC.
 GRAY WHALE CAPITAL, LLC
 GREAT PACIFIC SECURITIES
 GREAT POINT CAPITAL LLC
 GREEN PIER FINTECH LLC
 GREEN STREET ADVISORS,
 LLC
 GREENHILL & CO., LLC
 GREENSLEDGE CAPITAL
 MARKETS LLC
 GRIFFIN CAPITAL SECURITIES,
 LLC
 GRIFFIN FINANCIAL GROUP,
 LLC
 GRIFFITHS MCBURNEY CORP.
 GROUP ONE TRADING LLC
 GROVE POINT INVESTMENTS,
 LLC
 GTS EXECUTION SERVICES
 LLC
 GTS SECURITIES LLC
 GUARDIAN INVESTOR
 SERVICES LLC
 GUGGENHEIM
 DISTRIBUTORS, LLC
 GUGGENHEIM FUNDS
 DISTRIBUTORS, LLC
 GUGGENHEIM INVESTOR
 SERVICES, LLC
 GUGGENHEIM SECURITIES,
 LLC
 GUZMAN & COMPANY
 GWN SECURITIES INC.
 GX2 SPREAD MARKETS, LLC
 H2C SECURITIES INC.
 HAITONG SECURITIES USA
 LLC
 HALES SECURITIES, LLC

HANCOCK WHITNEY
 INVESTMENT SERVICES INC.
 HANDELSBANKEN MARKETS
 SECURITIES, INC.
 HANSON MCCLAIN
 RETIREMENT NETWORK, LLC
 HANTZ FINANCIAL SERVICES,
 INC.
 HAPOALIM SECURITIES USA,
 INC.
 HARD EIGHT TRADING, LLC
 HARDCASTLE TRADING USA
 L.L.C.
 HARRIS WILLIAMS LLC
 HARTFORD FUNDS
 DISTRIBUTORS, LLC
 HAYWOOD SECURITIES (USA)
 INC.
 HBK GLOBAL SECURITIES L.P.
 HEALTHCARE COMMUNITY
 SECURITIES CORP.
 HEFREN-TILLOTSON, INC.
 HENNION & WALSH, INC.
 HERBERT J. SIMS & CO, INC.
 HFF SECURITIES L.P.
 HIGHTOWER SECURITIES, LLC
 HIIVE MARKETS LIMITED
 HILLTOP SECURITIES INC.
 HOLD BROTHERS CAPITAL
 LLC
 HOME FINANCIAL SERVICES,
 INC.
 HORNOR, TOWNSEND &
 KENT, LLC
 HOULIHAN LOKEY ADVISORS,
 LLC
 HOULIHAN LOKEY CAPITAL,
 INC.
 HOVDE GROUP, LLC
 HOWARD WEIL
 INCORPORATED
 HPS SECURITIES, LLC
 HRT FINANCIAL LP
 HSBC SECURITIES (USA) INC.

HUATAI SECURITIES (USA),
 INC.
 HUB INTERNATIONAL
 INVESTMENT SERVICES INC.
 HULL PARTNERS LLC
 HUNT FINANCIAL
 SECURITIES, LLC
 HUNTINGTON SECURITIES,
 INC.
 IB CAPITAL LLC
 IBERIA CAPITAL PARTNERS
 L.L.C.
 IBKR SECURITIES SERVICES
 LLC
 IBS HOLDING CORPORATION
 ICAPITAL MARKETS LLC
 ICBC STANDARD SECURITIES
 INC.
 ICE BONDS SECURITIES
 CORPORATION
 ICE SECURITIES EXECUTION &
 CLEARING, LLC
 ICM SECURITIES, LLC
 ICR CAPITAL LLC
 IDB CAPITAL CORP.
 IEX SERVICES LLC
 IFC HOLDINGS, INC.
 IMA WEALTH, INC.
 IMC EXECUTION SERVICES
 LLC
 IMC SECURITIES LLC
 IMC-CHICAGO, LLC
 IMPERIAL CAPITAL, LLC
 INCENTER SECURITIES
 GROUP LLC
 INCENTRUM SECURITIES, LLC
 INDEPENDENCE POINT
 SECURITIES LLC
 INDEPENDENT FINANCIAL
 GROUP, LLC
 INDUSTRIAL AND
 COMMERCIAL BANK OF
 CHINA FINANCIAL SERVICES
 LLC

Public Company Accounting Oversight Board
 Brokers and Dealers With No Outstanding
 Past-Due Share as of July 21, 2026

ING FINANCIAL MARKETS LLC
 INGALLS & SNYDER, LLC
 INSIGNEO INTERNATIONAL
 FINANCIAL SERVICES LLC
 INSIGNEO SECURITIES, LLC
 INSPEREX LLC
 INSTITUTIONAL CASH
 DISTRIBUTORS, LLC
 INTELLIGENT CROSS LLC
 INTERACTIVE BROKERS
 CORP.
 INTERACTIVE BROKERS LLC
 INTERCOASTAL CAPITAL
 MARKETS, INC.
 INTERNATIONAL STRATEGY &
 INVESTMENT GROUP LLC
 INTESA SANPAOLO IMI
 SECURITIES CORP.
 INTL FILLMORE ADVISORS
 LLC
 INTREPID INVESTMENT
 BANKERS LLC
 INTREPID PARTNERS, LLC
 INVEMED ASSOCIATES LLC
 INVESCO CAPITAL MARKETS,
 INC.
 INVESCO DISTRIBUTORS, INC.
 INVESTACORP, INC.
 INVESTEC SECURITIES (US)
 LLC
 INVESTMENT CENTERS OF
 AMERICA, INC.
 INVESTMENT DISTRIBUTORS,
 INC.
 INVESTMENT PLACEMENT
 GROUP
 INVEX, LLC.
 ITAU BBA USA SECURITIES,
 INC.
 ITAU INTERNATIONAL
 SECURITIES INC.
 IVY DISTRIBUTORS, INC.
 J. WOOD CAPITAL ADVISORS
 LLC

J.J.B. HILLIARD, W.L. LYONS,
 LLC
 J.P. MORGAN CLEARING
 CORP.
 J.P. MORGAN INSTITUTIONAL
 INVESTMENTS INC.
 J.P. MORGAN PRIME INC.
 J.P. MORGAN SECURITIES LLC
 J.W. COLE FINANCIAL, INC.
 JACKSON NATIONAL LIFE
 DISTRIBUTORS LLC
 JAG TRADING L.L.C.
 JANE STREET CAPITAL, LLC
 JANE STREET EXECUTION
 SERVICES, LLC
 JANE STREET MARKETS, LLC
 JANNEY MONTGOMERY
 SCOTT LLC
 JANUS HENDERSON
 DISTRIBUTORS US LLC
 JEFFERIES BACHE
 SECURITIES, LLC
 JEFFERIES EXECUTION
 SERVICES, INC.
 JEFFERIES HIGH YIELD
 TRADING, LLC
 JEFFERIES LLC
 JOE JOLLY & CO., INC.
 JOHN HANCOCK
 DISTRIBUTORS LLC
 JOHN HANCOCK
 INVESTMENT MANAGEMENT
 DISTRIBUTORS LLC
 JOHNSON RICE & COMPANY
 L.L.C.
 JONES LANG LASALLE
 SECURITIES, LLC
 JONESTRADING
 INSTITUTIONAL SERVICES LLC
 JPMORGAN DISTRIBUTION
 SERVICES, INC.
 JUMP EXECUTION, LLC
 JUMP TRADING, LLC
 JVB FINANCIAL GROUP, LLC

JWTT INC.
 KBC SECURITIES USA LLC
 KEEFE, BRUYETTE & WOODS,
 INC.
 KEPLER CAPITAL MARKETS,
 INC.
 KEY INVESTMENT SERVICES
 LLC
 KEYBANC CAPITAL MARKETS
 INC.
 KGS-ALPHA CAPITAL
 MARKETS, L.P.
 KIPPSDESANTO & COMPANY
 KKR CAPITAL MARKETS II LLC
 KKR CAPITAL MARKETS LLC
 KMS FINANCIAL SERVICES,
 INC.
 KNIGHT CAPITAL AMERICAS,
 L.P.
 KOYOTE TRADING LLC
 KPMG CORPORATE FINANCE
 LLC
 KROLL SECURITIES LLC
 L.J. HART AND COMPANY
 L.M. KOHN & COMPANY
 LADDER CAPITAL SECURITIES
 LLC
 LADENBURG THALMANN &
 CO. INC.
 LAFISE SECURITIES LLC
 LAKE STREET CAPITAL
 MARKETS, LLC
 LAKESHORE SECURITIES, L.P.
 LARRAINVIAL SECURITIES US
 LLC
 LASALLE INVESTMENT
 MANAGEMENT
 DISTRIBUTORS, LLC
 LATOUR TRADING LLC
 LAVAFLOW, INC.
 LAZARD FRERES & CO. LLC
 LAZARD MIDDLE MARKET LLC
 LEERINK PARTNERS LLC

Public Company Accounting Oversight Board
Brokers and Dealers With No Outstanding
Past-Due Share as of July 21, 2026

LEK SECURITIES
CORPORATION
LEONIS SECURITIES, LLC
LEVEL MARKETS, LLC
LFT SECURITIES, LLC
LIFESCI CAPITAL, LLC
LIGHTSPEED FINANCIAL
SERVICES GROUP LLC
LIME TRADING CORP
LINCOLN FINANCIAL
DISTRIBUTORS, INC.
LINCOLN INTERNATIONAL
LLC
LINCOLN INVESTMENT
PLANNING, LLC
LIONTREE ADVISORS LLC
LIQUIDITYEDGE, LLC.
LIQUIDNET, INC.
LIQUIDPOINT, LLC
LLOYDS SECURITIES INC.
LOEB PARTNERS
CORPORATION
LOMBARD ODIER
TRANSATLANTIC LIMITED
LOOP CAPITAL MARKETS LLC
LORD ABBETT DISTRIBUTOR
LLC
LPL FINANCIAL LLC
LUMA SECURITIES LLC
LUMENT SECURITIES, LLC
LUPO SECURITIES, LLC
M&I FINANCIAL ADVISORS,
INC.
M&T SECURITIES, INC.
M1 FINANCE LLC
M3 INVESTMENT GROUP LLC
MACQUARIE CAPITAL
MARKETS NORTH AMERICA
LTD.
MACRO RISK ADVISORS LLC
MAN INVESTMENTS INC.
MANULIFE JOHN HANCOCK
BROKERAGE SERVICES LLC

MAPLE SECURITIES U.S.A.
INC.
MARATHON CAPITAL
MARKETS, LLC
MAREX CAPITAL MARKETS
INC.
MAREX NORTH AMERICA
SECURITIES LLC
MARIVA CAPITAL MARKETS,
LLC
MARKETAXESS
CORPORATION
MARKS BAUGHAN
SECURITIES LLC
MARSHBERRY CAPITAL, LLC.
MATTHEWS SOUTH, LLC
MAVEN GLOBAL MARKETS
TRADING LLP
MBS CAPITAL MARKETS, LLC
MBX CLEARING LLC
MCADAMS WRIGHT RAGEN,
INC.
MCAP LLC
MEDIOBANCA SECURITIES
USA LLC
MERCER ALLIED COMPANY,
L.P.
MERCHANT CAPITAL, L.L.C.
MERIDIAN CAPITAL LLC
MERRILL LYNCH FINANCIAL
MARKETS, INC.
MERRILL LYNCH
PROFESSIONAL CLEARING
CORP.
MERRILL LYNCH, PIERCE,
FENNER & SMITH
INCORPORATED
MERUS CAPITAL PARTNERS,
LLC
MESIROW FINANCIAL, INC.
METLIFE INVESTORS
DISTRIBUTION COMPANY
METRIC POINT CAPITAL, LLC
MFR SECURITIES, INC.

MFS FUND DISTRIBUTORS,
INC.
MICROVENTURE
MARKETPLACE INC.
MIDDLEGATE SECURITIES
INC.
MIDLAND SECURITIES, LTD
MILLBURN RIDGEFIELD LLC
MILLCO ADVISORS, LP
MILLENNIUM ADVISORS, LLC
MILLER BUCKFIRE & CO., LLC
MILLER TABAK + CO., LLC
MINT BROKERS
MIRAE ASSET SECURITIES
(USA) INC.
MIRAE ASSET WEALTH
MANAGEMENT (USA) INC.
MISCHLER FINANCIAL
GROUP, INC.
MITCHELL ENERGY
ADVISORS, LLC
MIURA CAPITAL, LLC
MIZUHO SECURITIES
CANADA INC.
MIZUHO SECURITIES USA LLC
MKM PARTNERS LLC
MMA SECURITIES LLC
MMC SECURITIES LLC
MML INVESTORS SERVICES,
LLC
MND PARTNERS, INC.
MOCHO TRADING LLC
MOELIS & COMPANY LLC
MOG CAPITAL L.L.C.
MOMENTUS SECURITIES LLC
MONEX SECURITIES, INC.
MONEY CONCEPTS CAPITAL
CORP
MONTEC SECURITIES LLC
MONTMINY SECURITIES, LLC
MOOMOO FINANCIAL INC.
MOORELAND PARTNERS LLC
MOORS & CABOT, INC.

Public Company Accounting Oversight Board
 Brokers and Dealers With No Outstanding
 Past-Due Share as of July 21, 2026

MORGAN JOSEPH
 TRIARTISAN LLC
 MORGAN KEEGAN &
 COMPANY, LLC
 MORGAN STANLEY & CO. LLC
 MORGAN STANLEY
 DISTRIBUTION, INC.
 MORGAN STANLEY SMITH
 BARNEY LLC
 MORNINGSTAR INVESTMENT
 SERVICES LLC
 MOTIF INVESTING, INC.
 MOUNTAINSIDE SECURITIES
 LLC
 MOUNTAINVIEW
 SECURITIES, LLC
 MPS GLOBAL SECURITIES, LLC
 MS SECURITIES SERVICES
 INC.
 MSEC, LLC
 MSI FINANCIAL SERVICES,
 INC.
 MTG, LLC
 MTS SECURITIES, LLC
 MUFG AMERICAS
 CORPORATE ADVISORY, INC.
 MUFG SECURITIES AMERICAS
 INC.
 MULTI-BANK SECURITIES,
 INC.
 MURIEL SIEBERT & CO., LLC
 MUTUAL OF AMERICA LIFE
 INSURANCE COMPANY
 N. B. ZOULLAS SECURITIES,
 INC.
 N.A. INVESTCORP LLC
 N.I.S. FINANCIAL SERVICES,
 INC.
 NABSECURITIES, LLC
 NASDAQ EXECUTION
 SERVICES, LLC
 NASDAQ OPTIONS SERVICES,
 LLC

NATALLIANCE SECURITIES,
 LLC
 NATHAN HALE CAPITAL, LLC
 NATIONAL BANK OF CANADA
 FINANCIAL INC.
 NATIONAL FINANCIAL
 SERVICES LLC
 NATIONAL PLANNING
 CORPORATION
 NATIONAL SECURITIES
 CORPORATION
 NATIONWIDE FUND
 DISTRIBUTORS LLC
 NATIONWIDE SECURITIES,
 LLC
 NATIXIS DERIVATIVES INC.
 NATIXIS DISTRIBUTION, LLC
 NATIXIS SECURITIES
 AMERICAS LLC
 NATWEST MARKETS
 SECURITIES INC.
 NAVY FEDERAL INVESTMENT
 SERVICES, LLC
 NBC SECURITIES, INC.
 NBF SECURITIES (USA) CORP.
 NEEDHAM & COMPANY, LLC
 NELNET CAPITAL LLC
 NETREX CAPITAL MARKETS,
 LLC
 NETWORK 1 FINANCIAL
 SECURITIES INC.
 NEUBERGER BERMAN BD LLC
 NEUBERGER BERMAN
 MANAGEMENT LLC
 NEW ENGLAND SECURITIES
 CORPORATION
 NEWEDGE SECURITIES, LLC
 NEWEDGE USA, LLC
 NEWPORT GROUP
 SECURITIES, INC.
 NEXBANK SECURITIES INC
 NFSTX, LLC
 NH INVESTMENT &
 SECURITIES AMERICA, INC.

NOLITA CAPITAL, LLC
 NOMURA GLOBAL FINANCIAL
 PRODUCTS INC.
 NOMURA GREENTECH
 CAPITAL ADVISORS, LLC
 NOMURA SECURITIES
 INTERNATIONAL, INC.
 NOMURA SECURITIES NORTH
 AMERICA, LLC
 NORDEA SECURITIES LLC
 NORTH BRIDGE CAPITAL, LLC
 NORTH POINT MERGERS
 AND ACQUISITIONS, INC.
 NORTHERN TRUST
 SECURITIES, INC.
 NORTHLAND SECURITIES,
 INC.
 NORTHSTAR SECURITIES, LLC
 NORTHWESTERN MUTUAL
 INVESTMENT SERVICES, LLC
 NUMIS SECURITIES INC.
 NUVEEN SECURITIES, LLC
 NYLIFE DISTRIBUTORS LLC
 NYLIFE SECURITIES LLC
 O'NEIL SECURITIES
 INCORPORATED
 OASIS PRO MARKETS LLC
 OBD SECURITIES LLC
 OCTEG, LLC
 ODDO BHF NEW YORK
 CORPORATION
 ODEON CAPITAL GROUP LLC
 OHIO NATIONAL EQUITIES,
 INC.
 OLD MISSION CAPITAL, LLC
 OLD MISSION MARKETS LLC
 ONEAMERICA SECURITIES,
 INC.
 ONPEAK CAPITAL LLC
 OPEN TO THE PUBLIC
 INVESTING, INC.
 OPENDOOR SECURITIES LLC
 OPPENHEIMER & CO. INC.

Public Company Accounting Oversight Board
 Brokers and Dealers With No Outstanding
 Past-Due Share as of July 21, 2026

OPPENHEIMERFUNDS
 DISTRIBUTOR, INC.
 OPTIONSHOUSE, LLC
 OPTIONSXPRESS, INC.
 OPTIVER EXECUTION
 SERVICES LLC
 OPTIVER US LLC
 ORIENTAL FINANCIAL
 SERVICES LLC
 OSAIC FA, INC.
 OSAIC FS, INC.
 OSAIC INSTITUTIONS, INC.
 OSAIC SERVICES, INC.
 OSAIC WEALTH, INC.
 OSCAR GRUSS & SON
 INCORPORATED
 OTA LLC
 OTC LINK LLC
 P.J. ROBB VARIABLE, LLC
 PACER FINANCIAL, INC.
 PACIFIC CENTURY
 SECURITIES, LLC
 PACIFIC CREST SECURITIES
 LLC
 PACIFIC SELECT
 DISTRIBUTORS, LLC
 PALAFOX TRADING LLC
 PARADIGM EQUITIES, INC.
 PARETO SECURITIES INC.
 PARIS SECURITIES
 CORPORATION
 PARK AVENUE SECURITIES
 LLC
 PARK HILL REAL ESTATE
 GROUP LLC
 PARK SUTTON SECURITIES,
 LLC
 PARKLAND SECURITIES, LLC
 PAULSON INVESTMENT
 COMPANY LLC
 PAYCHEX SECURITIES
 CORPORATION
 PEAK6 CAPITAL
 MANAGEMENT LLC

PEAK6 PERFORMANCE
 MANAGEMENT LLC
 PEAK6 VEGA MASTER FUND
 LLC
 PENSERRA SECURITIES, LLC
 PENSON FINANCIAL
 SERVICES, INC.
 PEOPLE'S SECURITIES, INC.
 PERELLA WEINBERG
 PARTNERS LP
 PERFORMANCE TRUST
 CAPITAL PARTNERS, LLC
 PERSHING ADVISOR
 SOLUTIONS LLC
 PERSHING LLC
 PFM FUND DISTRIBUTORS,
 INC.
 PFS INVESTMENTS INC.
 PGM GLOBAL INC.
 PHILLIP CAPITAL INC.
 PHOENIX DERIVATIVES
 GROUP, LLC
 PHX FINANCIAL, INC.
 PICTET OVERSEAS INC.
 PIMCO INVESTMENTS LLC
 PIPELINE TRADING SYSTEMS
 LLC
 PIPER SANDLER & CO.
 PJT PARTNERS LP
 PLANMEMBER SECURITIES
 CORPORATION
 PMA SECURITIES, LLC
 PNC CAPITAL MARKETS LLC
 PNC INVESTMENTS LLC
 POPULAR SECURITIES, LLC
 PORTIGON SECURITIES INC.
 POTAMUS TRADING, LLC
 PRAGMA LLC
 PRECISION SECURITIES, LLC
 PREFERRED CAPITAL
 SECURITIES, LLC
 PRICEWATERHOUSECOOOPE
 RS CORPORATE FINANCE LLC

PRIME CAPITAL MARKETS,
 LLC
 PRIME DEALER SERVICES
 CORP.
 PRIMERICA BROKERAGE
 SERVICES, INC.
 PRINCIPAL FUNDS
 DISTRIBUTOR, INC.
 PRINCIPAL SECURITIES, INC.
 PROBITAS FUNDS GROUP,
 LLC
 PROSPERA FINANCIAL
 SERVICES, INC.
 PRUCO SECURITIES, LLC.
 PRUDENTIAL ANNUITIES
 DISTRIBUTORS, INC
 PRUDENTIAL INVESTMENT
 MANAGEMENT SERVICES LLC
 PTR, INC.
 PUBLIC VENTURES, LLC
 PULSE TRADING, INC.
 PUNDION LLC
 PURESTREAM, LLC
 PUTNAM RETAIL
 MANAGEMENT LIMITED
 PARTNERSHIP
 Q ADVISORS LLC
 QATALYST PARTNERS LP
 QUAD CAPITAL, LLC
 QUAD SECURITIES LLC
 QUANTITATIVE BROKERS, LLC
 QUANTLAB SECURITIES LP
 QUASAR DISTRIBUTORS, LLC
 QUESTAR CAPITAL
 CORPORATION
 QUIET LIGHT SECURITIES, LLC
 R. F. LAFFERTY & CO., INC.
 R. SEELAUS & CO., LLC
 R.J. O'BRIEN SECURITIES, LLC
 R.W.PRESSPRICH & CO.
 RABO SECURITIES USA, INC.
 RAFFERTY CAPITAL
 MARKETS, LLC
 RAINE SECURITIES LLC

Public Company Accounting Oversight Board
 Brokers and Dealers With No Outstanding
 Past-Due Share as of July 21, 2026

RAINIER SECURITIES, LLC
 RAMAT SECURITIES LTD.
 RAYMOND JAMES &
 ASSOCIATES, INC.
 RAYMOND JAMES (USA) LTD.
 RAYMOND JAMES FINANCIAL
 SERVICES, INC.
 RAYMOND JAMES
 INSURANCE GROUP, INC.
 RB INTERNATIONAL
 MARKETS (USA) LLC
 RBC CAPITAL MARKETS, LLC
 RBC CMA LTD
 RCI LIMITED PARTNERSHIP
 REALCADRE LLC
 RED CEDAR TRADING, LLC
 REDBIRD BD, LLC
 REDBURN (USA) LLC
 REDI GLOBAL TECHNOLOGIES
 LLC
 REGAL SECURITIES, INC.
 REGIONS SECURITIES LLC
 RELATED FINANCIAL
 SERVICES, LLC
 RENCAP SECURITIES, INC.
 REPUBLIC PARTNERS, LLC
 RESOLUTE INVESTMENT
 DISTRIBUTORS, INC.
 REUTERS TRANSACTION
 SERVICES LLC
 RGM SECURITIES, LLC
 RIVERSOURCE
 DISTRIBUTORS, INC.
 RIVERSTONE CAPITAL
 SERVICES LLC
 ROBERT W. BAIRD & CO.
 INCORPORATED
 ROBINHOOD FINANCIAL, LLC
 ROBINHOOD SECURITIES, LLC
 ROCKEFELLER FINANCIAL LLC
 RODMAN & RENSHAW, LLC
 RONIN CAPITAL, LLC
 ROOSEVELT & CROSS,
 INCORPORATED

ROSELAINE SECURITIES LLC
 ROSENBLATT SECURITIES
 INC.
 ROSENTHAL GLOBAL
 SECURITIES LLC
 ROSS, SINCLAIRE &
 ASSOCIATES, LLC
 ROTH CAPITAL PARTNERS,
 LLC
 ROTHSCHILD & CO US INC.
 ROYCE FUND SERVICES, LLC
 RQD* CLEARING, LLC
 RUANE, CUNNIFF &
 GOLDFARB LLC
 RUSSELL INVESTMENTS
 FINANCIAL SERVICES, LLC
 RUSSELL INVESTMENTS
 IMPLEMENTATION SERVICES,
 LLC
 SABADELL SECURITIES USA,
 INC.
 SAFRA SECURITIES LLC
 SAL EQUITY TRADING, GP
 SAMCO CAPITAL MARKETS,
 INC.
 SAMI BROKERAGE LLC
 SAMMONS FINANCIAL
 NETWORK, LLC
 SAMSUNG SECURITIES
 (AMERICA), INC.
 SANCTUARY SECURITIES, INC.
 SANDERS MORRIS HARRIS
 INC.
 SANDLER, O'NEILL &
 PARTNERS, L.P.
 SANFORD C. BERNSTEIN &
 CO., LLC
 SANTANDER INTERNATIONAL
 SECURITIES, INC.
 SANTANDER INVESTMENT
 SECURITIES INC.
 SANTANDER SECURITIES LLC
 SANTANDER US CAPITAL
 MARKETS LLC

SBERBANK CIB USA, INC
 SCHRODER FUND ADVISORS
 LLC
 SCOTIA CAPITAL (USA) INC.
 SCOTT & STRINGFELLOW, LLC
 SCOTTRADE, INC.
 SCOUT TRADING, LLC
 SEA OTTER SECURITIES
 GROUP LLC
 SEAPORT GLOBAL
 SECURITIES LLC
 SEAPORT SECURITIES CORP.
 SEARLE & CO.
 SEATTLE-NORTHWEST
 SECURITIES CORPORATION
 SEB SECURITIES, INC.
 SECURIAN FINANCIAL
 SERVICES, INC.
 SECURITIES AMERICA, INC.
 SECURITIES MANAGEMENT
 AND RESEARCH, INC.
 SECURITIES SERVICE
 NETWORK, LLC
 SECURITY DISTRIBUTORS, LLC
 SEI INVESTMENTS
 DISTRIBUTION CO.
 SENTINEL FINANCIAL
 SERVICES COMPANY
 SENVEST INTERNATIONAL
 L.L.C.
 SETTER CAPITAL INC.
 SEVEN POINTS CAPITAL, LLC
 SG AMERICAS SECURITIES,
 LLC
 SHAY FINANCIAL SERVICES,
 INC.
 SHEA & COMPANY, LLC
 SHEARMAN, RALSTON INC.
 SHELBY CULLOM DAVIS &
 CO., L.P.
 SHERMAN & COMPANY LLC
 SIEBERT WILLIAMS SHANK &
 CO., LLC

Public Company Accounting Oversight Board
 Brokers and Dealers With No Outstanding
 Past-Due Share as of July 21, 2026

SIERRA PACIFIC SECURITIES,
 LLC
 SIGMA FINANCIAL
 CORPORATION
 SIGNAL HILL CAPITAL GROUP
 LLC
 SIGNATOR INVESTORS, INC.
 SII INVESTMENTS, INC.
 SIMMONS & COMPANY
 INTERNATIONAL
 SIMMONS FIRST
 INVESTMENT GROUP, INC.
 SIMON MARKETS LLC
 SIMPLEX TRADING, LLC
 SIXPOINT PARTNERS LLC
 SMBC NIKKO SECURITIES
 AMERICA, INC.
 SMBC NIKKO SECURITIES
 CANADA, LTD.
 SOFI SECURITIES LLC
 SOLEBURY CAPITAL LLC
 SOLOMON PARTNERS
 SECURITIES, LLC
 SOUTH STREET SECURITIES
 LLC
 SOUTHSTATE|DUNCANWILLI
 AMS SECURITIES CORP.
 SPARTAN CAPITAL
 SECURITIES, LLC
 SPC CAPITAL MARKETS LLC
 SPHINX TRADING, LP
 SPIDERROCK EXS, LLC
 SPOT TRADING L.L.C.
 SPROTT GLOBAL RESOURCE
 INVESTMENTS LTD
 SPURRIER CAPITAL
 PARTNERS LLC
 SS&C MARKET SERVICES, LLC
 STANDARD CHARTERED
 SECURITIES NORTH AMERICA
 LLC
 STANDARD CREDIT
 SECURITIES INC.
 STANDARD NEW YORK, INC.

STASH CAPITAL LLC
 STATE FARM VP
 MANAGEMENT CORP.
 STATE STREET GLOBAL
 ADVISORS FUNDS
 DISTRIBUTORS, LLC
 STATE STREET GLOBAL
 MARKETS, LLC
 STEBEN & COMPANY, LLC
 STEPHENS INC.
 STERLING SMITH
 CORPORATION
 STERLING, GRACE
 MUNICIPAL SECURITIES
 CORPORATION
 STERN BROTHERS & CO.
 STERNE, AGEE & LEACH, INC.
 STEWARD PARTNERS
 INVESTMENT SOLUTIONS,
 LLC
 STIFEL, NICOLAUS &
 COMPANY, INCORPORATED
 STOCKCROSS FINANCIAL
 SERVICES, INC.
 STOEVER, GLASS & COMPANY
 INC.
 STONE & YOUNGBERG LLC
 STONEPEAK SECURITIES LLC
 STONEX FINANCIAL INC.
 STORMHARBOUR SECURITIES
 LP
 STOUT CAPITAL, LLC
 STRATEGAS SECURITIES, LLC
 STUDENT OPTIONS, LLC
 SUMMIT BROKERAGE
 SERVICES, INC.
 SUMMIT SECURITIES GROUP
 LLC
 SUMRIDGE PARTNERS, LLC
 SUN LIFE FINANCIAL
 DISTRIBUTORS, INC.
 SUNGARD INSTITUTIONAL
 BROKERAGE INC.
 SUNRISE BROKERS LLC

SUSQUEHANNA FINANCIAL
 GROUP, LLLP
 SUSQUEHANNA SECURITIES,
 LLC
 SVB INVESTMENT SERVICES
 INC.
 SVB SECURITIES, A NON-
 BANK AFFILIATE OF SILICON
 VALLEY BANK
 SWBC INVESTMENT
 SERVICES, LLC
 SWEDBANK SECURITIES US,
 LLC
 SWENEY CARTWRIGHT &
 COMPANY
 SWISS RE CAPITAL MARKETS
 CORPORATION
 SYCAMORE FINANCIAL
 GROUP
 SYNOVUS SECURITIES, INC.
 SYSTEMATIZED BENEFITS
 ADMINISTRATORS, INC.
 T. ROWE PRICE INVESTMENT
 SERVICES, INC.
 T.R. WINSTON & COMPANY,
 LLC
 T3 TRADING GROUP, LLC
 TALCOTT RESOLUTION
 DISTRIBUTION COMPANY,
 INC.
 TCBI SECURITIES, INC.
 TCG CAPITAL MARKETS L.L.C.
 TD AMERITRADE CLEARING,
 INC.
 TD AMERITRADE, INC.
 TD ARRANGED SERVICES LLC
 TD FINANCIAL PRODUCTS LLC
 TD PRIME SERVICES LLC
 TD PRIVATE CLIENT WEALTH
 LLC
 TD SECURITIES (USA) LLC
 TEACHERS PERSONAL
 INVESTORS SERVICES, INC.

Public Company Accounting Oversight Board
Brokers and Dealers With No Outstanding
Past-Due Share as of July 21, 2026

TELEMETRY SECURITIES,
L.L.C.
TFS DERIVATIVES LLC
THE BAKER GROUP, LP
THE BANK STREET GROUP
L.L.C.
THE BENCHMARK COMPANY,
LLC
THE BUCKINGHAM
RESEARCH GROUP
INCORPORATED
THE GMS GROUP, LLC
THE HUNTINGTON
INVESTMENT COMPANY
THE KLEIN GROUP, LLC
THE LEADERS GROUP, INC.
THE O.N. EQUITY SALES
COMPANY
THE VERTICAL TRADING
GROUP, LLC
THE WILLIAMS CAPITAL
GROUP, L.P.
THINKEQUITY LLC
THOMA BRAVO CAPITAL
MARKETS, LLC
THOMAS WEISEL PARTNERS
LLC
THREE BROTHERS TRADING,
LLC
THRIVENT DISTRIBUTORS,
LLC
THRIVENT INVESTMENT
MANAGEMENT INC.
TIAA-CREF INDIVIDUAL &
INSTITUTIONAL SERVICES,
LLC
TIBRA TRADING AMERICA
LLC
TICKRS CLEARING
TICONDEROGA SECURITIES
LLC
TIDAL PARTNERS, LLC
TIGRESS FINANCIAL
PARTNERS, LLC

TJM INVESTMENTS, LLC
TM CAPITAL CORP.
TMC BONDS L.L.C.
TMT INVESTMENTS, LLC
TORA TRADING SERVICES,
LLC
TORO TRADING LLC
TOUCHSTONE SECURITIES,
INC.
TOURMALINE PARTNERS, LLC
TOWER PRINCIPAL MARKETS
LLC
TOWER SQUARE SECURITIES,
INC.
TOWERS WATSON CAPITAL
MARKETS INC.
TOYOTA FINANCIAL SERVICES
SECURITIES USA
CORPORATION
TP ICAP GLOBAL MARKETS
AMERICAS LLC
TPG CAPITAL BD, LLC
TRADE INFORMATICS LLC
TRADE-PMR INC.
TRADEBOT SYSTEMS, INC.
TRADELINK L.L.C.
TRADELINK SECURITIES, LLC
TRADEMAS INC.
TRADESTATION SECURITIES,
INC.
TRADEUP SECURITIES, INC.
TRADEWEB DIRECT LLC
TRADEWEB L.L.C
TRADITION SECURITIES AND
DERIVATIVES LLC
TRANSAMERICA CAPITAL, LLC
TRANSAMERICA FINANCIAL
ADVISORS, LLC
TRANSAMERICA INVESTORS
SECURITIES, LLC
TRANSMARKET GROUP L.L.C.
TRB CAPITAL MARKETS, LLC
TRC MARKETS LLC
TRIAD ADVISORS LLC

TRIBAL CAPITAL MARKETS,
LLC
TRIPLETREE, LLC
TRUIST INVESTMENT
SERVICES, INC.
TRUIST SECURITIES, INC.
TRUMID FINANCIAL LLC
TUDOR PICKERING HOLT &
CO ADVISORS LP
TUDOR, PICKERING, HOLT &
CO. SECURITIES, LLC
TULLETT PREBON FINANCIAL
SERVICES LLC
TWEEDY, BROWNE
COMPANY LLC
TWO RIVERS TRADING
GROUP LLC
TWO SIGMA EXECUTION
SERVICES, LLC
TWO SIGMA SECURITIES, LLC
TZ CLEAR LLC
U.S. BANCORP ADVISORS,
LLC
U.S. BANCORP
INVESTMENTS, INC.
UBS ASSET MANAGEMENT
(US) INC.
UBS FINANCIAL SERVICES
INC.
UBS FINANCIAL SERVICES
INCORPORATED OF PUERTO
RICO
UBS SECURITIES LLC
ULLICO INVESTMENT
COMPANY, LLC
UMB FINANCIAL SERVICES,
INC.
UNICREDIT CAPITAL
MARKETS LLC
UNITED CAPITAL MARKETS,
INC.
UNITED PLANNERS'
FINANCIAL SERVICES OF

Public Company Accounting Oversight Board
 Brokers and Dealers With No Outstanding
 Past-Due Share as of July 21, 2026

AMERICA A LIMITED
 PARTNER
 UNIVEST SECURITIES, LLC
 US TIGER SECURITIES, INC.
 USAA FINANCIAL ADVISORS,
 INC.
 USAA INVESTMENT SERVICES
 COMPANY
 U.S. BANCORP
 INVESTMENTS, INC.
 UVEST FINANCIAL SERVICES
 GROUP, INC.
 VALLEY FINANCIAL
 MANAGEMENT, INC.
 VALLEY FORGE ASSET
 MANAGEMENT, LLC
 VAN ECK SECURITIES
 CORPORATION
 VAN LANSCHOT KEMPEN
 (USA) INC.
 VANAHEIM SECURITIES, LLC
 VANGUARD MARKETING
 CORPORATION
 VAQUERO CAPITAL LLC
 VECTORGLOBAL WMG, INC.
 VELOCITY CAPITAL, LLC
 VELOX CLEARING LLC
 VICENTE & PARTNERS LLC
 VIEWTRADE SECURITIES, INC.
 VINING-SPARKS IBG, LLC
 VIRTU AMERICAS LLC
 VIRTU FINANCIAL BD LLC
 VIRTU FINANCIAL CAPITAL
 MARKETS LLC
 VIRTU ITG LLC
 VIRTUS CAPITAL MARKETS
 LLC
 VISION FINANCIAL MARKETS
 LLC
 VISTA POINT ADVISORS, LLC
 VOLANT LIQUIDITY, LLC
 VOYA FINANCIAL ADVISORS,
 INC.

VOYA FINANCIAL PARTNERS,
 LLC
 VOYA INVESTMENTS
 DISTRIBUTOR, LLC
 VOYA RETIREMENT
 ADVISORS, LLC
 VP DISTRIBUTORS LLC
 VRA PARTNERS, LLC
 WACHTEL & CO., INC.
 WADDELL & REED, INC.
 WAKE USA LLC
 WALL STREET ACCESS
 WALLACHBETH CAPITAL LLC
 WALNUT STREET SECURITIES,
 INC.
 WEALTHFRONT BROKERAGE
 LLC
 WEBULL FINANCIAL LLC
 WEDBUSH SECURITIES INC.
 WEEDEN & CO.L.P.
 WELLINGTON SHIELDS & CO.,
 LLC
 WELLS FARGO ADVISORS
 FINANCIAL NETWORK, LLC
 WELLS FARGO CLEARING
 SERVICES, LLC
 WELLS FARGO
 INSTITUTIONAL SECURITIES,
 LLC
 WELLS FARGO PRIME
 SERVICES, LLC
 WESTMINSTER RESEARCH
 ASSOCIATES LLC
 WESTPAC CAPITAL MARKETS
 LLC
 WILLIAM BLAIR & COMPANY
 L.L.C.
 WILLIAMS TRADING LLC
 WILLIS TOWERS WATSON
 SECURITIES, LLC
 WILMINGTON BROKERAGE
 SERVICES COMPANY
 WILSON-DAVIS & CO., INC.

WINTRUST INVESTMENTS
 LLC
 WOLVERINE EXECUTION
 SERVICES, LLC
 WOLVERINE SECURITIES, LLC
 WOLVERINE TRADING, LLC
 WOODBURY FINANCIAL
 SERVICES, INC.
 WOODROCK SECURITIES, L.P.
 WORLD GROUP SECURITIES,
 INC.
 WR SECURITIES, LLC
 WSA FIXED INCOME, LLC
 X-CHANGE FINANCIAL
 ACCESS, LLC
 XAMBALA CAPITAL, LLC
 XMS CAPITAL PARTNERS, LLC
 XP INVESTMENTS US, LLC
 XR SECURITIES LLC
 XTELLUS CAPITAL PARTNERS,
 INC.
 XTX EXECUTION SERVICES
 LLC
 XTX MARKETS LLC
 ZEBED SECURITIES, LLC
 ZELMAN PARTNERS, LLC
 ZEUS FINANCIAL, LLC
 ZIONS DIRECT, INC.
 ZIV INVESTMENT COMPANY