

**List of Brokers and Dealers with No Outstanding  
Past-Due Share of the Broker-Dealer Accounting Support Fee**

**Determination of Payment under PCAOB Rule 7104(b)**

**As of February 01, 2026**

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The following is a list of brokers and dealers that have been assessed and have no outstanding past-due share of the broker-dealer accounting support fee of the Public Company Accounting Oversight Board ("PCAOB"), as of February 01, 2026.<sup>1/</sup>

A registered public accounting firm may take the inclusion of a broker or dealer on this list as an indication that the broker or dealer has no outstanding past-due share of the broker-dealer accounting support fee for purposes of PCAOB Rule 7104(b).

This list will be updated at least bi-weekly. If a registered public accounting firm cannot obtain a representation from the broker or dealer or cannot determine by reviewing the list below whether the broker or dealer has no past-due share of the accounting support fee outstanding, the registered public accounting firm may contact the staff by phone at 1-202-207-9294 (Business Days: 9:00 a.m. to 5:00 p.m. ET) or by email at [confirm@pcaobus.org](mailto:confirm@pcaobus.org).

If you believe a broker or dealer has been excluded from this list in error, please contact the staff by phone at 1-202-207-9294 (Business Days: 9:00 a.m. to 5:00 p.m. ET) or by email at [confirm@pcaobus.org](mailto:confirm@pcaobus.org).

Additional information about the broker-dealer accounting support fee and the funding process is available in the Frequently Asked Questions ("FAQs") available in the Accounting Support Fee section of the PCAOB's Web site (<http://pcaobus.org/About/Administration/SupportFee/Pages/BDSupportFeeFAQ.aspx>).

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<sup>1/</sup> Just because a broker or dealer does not appear on this list does not mean that the broker or dealer has outstanding a past-due share of the broker-dealer accounting support fee. Many "brokers" or "dealers," as such terms are defined in the Sarbanes-Oxley Act and the Board's rules, are not allocated a share of the broker-dealer accounting support fee under the Board's funding rules and therefore are not on this list even though they have outstanding no past-due share of the accounting support fee. In addition, payments are received periodically by the PCAOB and are not reflected on this list until it is next updated. For more information regarding the determination of payment of accounting support fees by registered accounting firms, please see rule 7104(b).

Public Company Accounting Oversight Board  
 Brokers and Dealers With No Outstanding  
 Past-Due Share as of February 01, 2026

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&PARTNERS	ALLSTATE FINANCIAL	APTO PARTNERS, LLC
2021 CAPITAL, LLC	SERVICES, LLC	AQR INVESTMENTS, LLC
280 SECURITIES LLC	ALLSTON TRADING LLC	AQUILO PARTNERS, L.P.
303 EQUITY TRADING GROUP	ALLY INVEST SECURITIES LLC	ARBOR RESEARCH &
II, LLC	ALLY SECURITIES LLC	TRADING, LLC
A. R. SCHMEIDLER & CO.,	ALPACA SECURITIES LLC	ARCA CAPITAL
INC.	ALPHA TRADING L.P.	INVESTMENTS, INC.
A.B. FINANCIAL L.L.C.	ALPINE SECURITIES	ARCHIPELAGO TRADING
A.G.P. / ALLIANCE GLOBAL	CORPORATION	SERVICES, INC.
PARTNERS, LLC	ALTERNA SECURITIES, INC.	ARCOLA SECURITIES, INC.
AARDVARK SECURITIES LLC	ALTRUIST FINANCIAL LLC	ARDEA PARTNERS LP
ABBEY NATIONAL SECURITIES	ALVAREZ & MARSAL	ARES WEALTH
INC.	SECURITIES, LLC	MANAGEMENT SOLUTIONS,
ABEL NOSER, LLC.	AMERANT INVESTMENTS,	LLC
ABEL/NOSER CORP.	INC.	ARIEL DISTRIBUTORS, LLC
ABG SUNDAL COLLIER INC.	AMERICA'S GROWTH	ARTIST CAPITAL LLC
ABN AMRO CLEARING USA	CAPITAL, LLC	ARVEST INVESTMENTS, INC.
LLC	AMERICAN CENTURY	ARXIS SECURITIES LLC
ABN AMRO SECURITIES (USA)	INVESTMENT SERVICES, INC.	ASCENSUS BROKER DEALER
LLC	AMERICAN ENTERPRISE	SERVICES, LLC
ABSA SECURITIES U.S. INC.	INVESTMENT SERVICES INC.	ASHMORE INVESTMENT
ACADEMY SECURITIES, INC.	AMERICAN PORTFOLIOS	MANAGEMENT (US)
ACCESS SECURITIES, LLC	FINANCIAL SERVICES, INC.	CORPORATION
ACORNS SECURITIES, LLC	AMERIPRISE FINANCIAL	ASL CAPITAL MARKETS INC.
ADELPHI STOCK BROKERS	SERVICES, LLC	ASPEN TRADING, L.L.C
INC.	AMERITAS INVESTMENT	ASSOCIATED INVESTMENT
ADVISORS ASSET	COMPANY, LLC	SERVICES, INC.
MANAGEMENT, INC.	AMERIVET SECURITIES, INC.	ASSOCIATED OPTIONS, INC.
AEGIS CAPITAL CORP.	AMHERST SECURITIES	ATHENE SECURITIES, LLC
AERIS PARTNERS LLC	GROUP, L.P.	ATLANTIC - PACIFIC CAPITAL,
AIG FINANCIAL SECURITIES	AMS DERIVATIVES B.V.	INC.
CORP.	AMUNDI DISTRIBUTOR US,	ATLAS ONE FINANCIAL
AK CAPITAL LLC	INC.	GROUP, LLC
AKUNA SECURITIES LLC	AMUNI FINANCIAL, INC.	ATLAS TECHNOLOGY GROUP
ALADDIN CAPITAL LLC	ANGEL ISLAND CAPITAL	LLC
ALANTRA, LLC	SERVICES, LLC	AUERBACH GRAYSON &
ALLEN & COMPANY LLC	ANZ SECURITIES, INC.	COMPANY LLC
ALLIANCEBERNSTEIN	AON SECURITIES LLC	AURIGA USA, LLC
INVESTMENTS, INC.	APERTURE, LLC	AUTOMATED TRADING DESK
ALLIANZ LIFE FINANCIAL	APEX CLEARING	BROKERAGE SERVICES, LLC
SERVICES, LLC	CORPORATION	AUTONOMOUS RESEARCH
ALLSPRING FUNDS	APOLLO GLOBAL SECURITIES,	LLP
DISTRIBUTOR, LLC	LLC	

Public Company Accounting Oversight Board  
 Brokers and Dealers With No Outstanding  
 Past-Due Share as of February 01, 2026

AVANTAX INVESTMENT SERVICES, INC.	BD25-HONEY BADGER INVESTMENT SECURITIES, LLC	BLOOMBERG TRADEBOOK LLC
AVATAR CAPITAL GROUP LLC	BDT & MSD PARTNERS, LLC	BLUE CAPITAL GROUP LLC
AVATAR SECURITIES, LLC	BEDROK SECURITIES LLC	BLUE FIRE CAPITAL, LLC
AVM, L.P.	BELLE HAVEN INVESTMENTS, L.P.	BLUEFIN CAPITAL MANAGEMENT, LLC
AXOS CLEARING LLC	BELVEDERE TRADING LLC	BMO CAPITAL MARKETS CORP.
B. C. ZIEGLER AND COMPANY	BENCHMARK SECURITIES, LLC	BMO CAPITAL MARKETS GKST INC.
B. RILEY SECURITIES, INC.	BENGAL CAPITAL TRADING LLC	BMO HARRIS FINANCIAL ADVISORS, INC.
B. RILEY WEALTH MANAGEMENT, INC.	BENGAL CAPITAL, LLC	BMO INVESTMENT DISTRIBUTORS, LLC
BAADER HELVEA INC.	BENJAMIN F. EDWARDS & COMPANY, INC.	BMO NESBITT BURNS TRADING CORP. S.A.
BAKER TILLY CAPITAL, LLC	BERENBERG CAPITAL MARKETS LLC	BNP PARIBAS PRIME BROKERAGE, INC.
BALANCE SHEET SOLUTIONS LLC	BERENSON & COMPANY, LLC	BNP PARIBAS SECURITIES CORP.
BANC OF AMERICA SPECIALIST, INC.	BERKSHIRE GLOBAL ADVISORS LP	BNY MELLON CAPITAL MARKETS, LLC
BANCO DO BRASIL SECURITIES LLC	BERNARD HEROLD & CO., INC.	BNY MELLON SECURITIES CORPORATION
BANCO VOTORANTIM SECURITIES, INC.	BERNSTEIN INSTITUTIONAL SERVICES LLC	BOENNING & SCATTERGOOD, INC.
BANCROFT CAPITAL, LLC	BESTEX RESEARCH SECURITIES, LLC	BOFA DISTRIBUTORS, INC.
BANCWEST INVESTMENT SERVICES, INC.	BETA CAPITAL SECURITIES LLC	BOFA SECURITIES PRIME, INC.
BANORTE SECURITIES INTERNATIONAL LTD	BETHESDA SECURITIES, LLC	BOFA SECURITIES, INC.
BARCLAYS CAPITAL INC.	BEXIL SECURITIES LLC	BOK FINANCIAL SECURITIES, INC.
BARON CAPITAL, INC.	BGC FINANCIAL, L.P.	BOLTON GLOBAL CAPITAL BONDS.COM, INC.
BB&T INVESTMENT SERVICES, INC.	BIDS TRADING L.P.	BOREAL CAPITAL SECURITIES LLC
BB&T SECURITIES, LLC	BLACKARCH SECURITIES LLC	BOSTON PARTNERS SECURITIES, L.L.C.
BBVA COMPASS INVESTMENT SOLUTIONS, INC.	BLACKROCK CAPITAL MARKETS, LLC	BOUSTEAD SECURITIES, LLC
BBVA SECURITIES INC.	BLACKROCK EXECUTION SERVICES	BRADESCO SECURITIES, INC.
BBVA SECURITIES OF PUERTO RICO, INC.	BLACKROCK FUND	BRANDT EQUITIES LLC
BC PARTNERS SECURITIES LLC	DISTRIBUTION COMPANY	BRASIL PLURAL SECURITIES LLC
BCI SECURITIES, INC.	BLACKROCK INVESTMENTS, LLC	
BCP SECURITIES, INC.	BLACKSTONE SECURITIES PARTNERS L.P.	
BCS AMERICAS, INC.	BLAYLOCK VAN, LLC	
BD25 -CHILIAN PARTNERS, L.P.		

Public Company Accounting Oversight Board  
 Brokers and Dealers With No Outstanding  
 Past-Due Share as of February 01, 2026

BRAVER STERN SECURITIES LLC	CALVERT INVESTMENT DISTRIBUTORS, INC.	CENTAURUS FINANCIAL, INC.
BREAN CAPITAL, LLC	CAMBRIDGE INTERNATIONAL SECURITIES, INC.	CENTERVIEW PARTNERS LLC
BREX TREASURY LLC	CAMBRIDGE INVESTMENT RESEARCH, INC.	CETERA ADVISORS LLC
BRICKELL GLOBAL MARKETS, INC.	CAMPBELL LUTYENS & CO. INC.	CETERA FINANCIAL SPECIALISTS LLC
BRIGHT TRADING, LLC	CANACCORD GENUITY LLC	CETERA INVESTMENT SERVICES LLC
BRIGHTHOUSE SECURITIES, LLC	CANACCORD GENUITY SECURITIES LLC	CETERA WEALTH SERVICES, LLC
BROADHAVEN SECURITIES LLC	CANACCORD WEALTH MANAGEMENT (USA) INC.	CF SECURED, LLC
BROADRIDGE BUSINESS PROCESS OUTSOURCING, LLC	CANTOR FITZGERALD & CO.	CGIS SECURITIES LLC
BROKERTEC AMERICAS LLC	CAPITAL BROKERAGE CORPORATION	CHAMPLAIN ADVISORS, LLC
BROOKFIELD OAKTREE WEALTH SOLUTIONS LLC	CAPITAL CLIENT GROUP, INC.	CHARDAN CAPITAL MARKETS LLC
BROOKFIELD PRIVATE ADVISORS LLC	CAPITAL INSTITUTIONAL SERVICES, INC.	CHARLES RIVER BROKERAGE, LLC
BROOKFIELD SECURITIES LLC	CAPITAL ONE INVESTING, LLC	CHARLES SCHWAB & CO., INC.
BROWN, GIBBONS, LANG & COMPANY SECURITIES, LLC	CAPITAL ONE SECURITIES, INC.	CHASE INVESTMENT SERVICES CORP.
BROWNSTONE INVESTMENT GROUP, LLC	CAPSTONE CAPITAL MARKETS LLC	CHICAGO CAPITAL MANAGEMENT, L.P.
BTG PACTUAL US CAPITAL, LLC	CARBON REDUCTION CAPITAL, LLC	CHIMERA SECURITIES, LLC
BTIG, LLC	CARILLON FUND DISTRIBUTORS, INC.	CHINA RENAISSANCE SECURITIES (US) INC.
BUCKLER SECURITIES LLC	CARTA CAPITAL MARKETS, LLC	CHOPPER SECURITIES, LLC
BUILDER ADVISOR GROUP, LLC	CARTY, HARDING & HEARN, INC.	CIBC WORLD MARKETS CORP.
BURKE & QUICK PARTNERS LLC	CASGRAIN & COMPANY (USA) LIMITED	CICC US SECURITIES, INC.
BYRD TRADING, LLC	CASTLEOAK SECURITIES, LP	CIMB SECURITIES (USA), INC.
C&C TRADING L.L.C.	CBOE FIXED INCOME MARKETS, LLC	CITADEL CLEARING LLC
C. L. KING & ASSOCIATES, INC.	CBOE TRADING, INC.	CITADEL SECURITIES INSTITUTIONAL LLC
C6 CAPITAL SECURITIES LLC	CBRE CAPITAL ADVISORS, INC.	CITADEL SECURITIES LLC
CABRERA CAPITAL MARKETS, LLC	CCA CAPITAL LLC	CITI ORDER ROUTING AND EXECUTION, LLC
CAIN BROTHERS & COMPANY, LLC	CCB INTERNATIONAL	CITIC SECURITIES INTERNATIONAL USA, LLC
CAIS CAPITAL LLC	OVERSEAS (USA) INC.	CITICORP SECURITIES SERVICES, INC.
CALAMOS FINANCIAL SERVICES LLC	CCS TRANSACTIONS, LLC	CITIGROUP DERIVATIVES MARKETS INC.
		CITIGROUP GLOBAL MARKETS INC.

Public Company Accounting Oversight Board  
 Brokers and Dealers With No Outstanding  
 Past-Due Share as of February 01, 2026

CITIZENS CAPITAL MARKETS, INC.	COMERICA SECURITIES, INC.	CREDIT SUISSE PRIVATE ADVISORS AG
CITIZENS JMP SECURITIES, LLC	COMHAR CAPITAL MARKETS, LLC	CREDIT SUISSE SECURITIES (USA) LLC
CITIZENS SECURITIES, INC.	COMMERZ MARKETS LLC	CREWS & ASSOCIATES, INC.
CITY NATIONAL SECURITIES, INC.	COMMONFUND SECURITIES, INC.	CRITICAL TRADING, LLC
CITY SECURITIES CORPORATION	COMMONWEALTH	CRITICALPOINT PARTNERS
CLARK SECURITIES, INC.	AUSTRALIA SECURITIES LLC	CROFT & BENDER, LP
CLARKSON CAPITAL MARKETS LLC	COMMONWEALTH EQUITY SERVICES, LLC	CROSSTREE CAPITAL SECURITIES, LLC
CLARKSONS SECURITIES, INC.	COMPASS POINT RESEARCH & TRADING, LLC	CROWELL, WEEDON & CO.
CLASS VI SECURITIES, LLC	CONCOURSE FINANCIAL GROUP SECURITIES, INC.	CRT CAPITAL GROUP LLC
CLEAR STREET LLC	CONTINUITY PARTNERS	CSCA CAPITAL ADVISORS, LLC
CLEARPOOL EXECUTION SERVICES, LLC	GROUP, LLC	CSS, LLC
CLEARY GULL INC.	COREBRIDGE CAPITAL SERVICES, INC.	CT COLLATERAL FUNDING, LLC
CLEVELAND RESEARCH COMPANY LLC	CORMARK SECURITIES (USA) LIMITED	CTC, LLC
CLIFTONLARSONALLEN WEALTH ADVISORS, LLC	CORNERSTONE MACRO LLC	CUNA BROKERAGE SERVICES, INC.
CLSA AMERICAS, LLC	CORNERSTONE TRADING, LLC	CURIAN CLEARING, LLC
CMCJL, LLC	CORTVIEW CAPITAL SECURITIES LLC	CURTISWOOD CAPITAL, LLC
CME BD SERVICES, INC.	COUNTRY CLUB FINANCIAL SERVICES, INC.	CURVATURE SECURITIES LLC
CMG SECURITIES LLC	COWEN AND COMPANY, LLC	CUTLER GROUP, LLC
CMT TRADING LLC	COWEN EQUITY FINANCE LP	CUTWATER ASSET MANAGEMENT CORP.
CMZ TRADING, LLC	COWEN EXECUTION SERVICES LLC	CVC FUNDING, LLC
COASTAL SECURITIES, INC.	COWEN PRIME SERVICES LLC	CYNDX ADVISORS LLC
COBRA TRADING, INC.	CP COGENT SECURITIES LP	D. BORAL CAPITAL LLC
CODE ADVISORS LLC	CRAIG-HALLUM CAPITAL GROUP LLC	D.A. DAVIDSON & CO.
COGNITIVE CAPITAL, LLC	CREDICORP CAPITAL LLC	D.M. KELLY & COMPANY
COHEN & COMPANY CAPITAL MARKETS, LLC	CREDIT AGRICOLE	DAHLMAN ROSE & COMPANY, LLC
COHEN & COMPANY SECURITIES, LLC	CHEUVREUX NORTH	DAIWA CAPITAL MARKETS AMERICA INC.
COLLIERS SECURITIES LLC	AMERICA, INC.	DANSKE MARKETS INC.
COLLINS/BAY ISLAND SECURITIES, LLC	CREDIT AGRICOLE SECURITIES (USA) INC.	DASH FINANCIAL TECHNOLOGIES LLC
COLUMBIA MANAGEMENT INVESTMENT DISTRIBUTORS, INC.	CREDIT SUISSE CAPITAL LLC	DAVENPORT & COMPANY LLC
	CREDIT SUISSE PRIME SECURITIES SERVICES (USA) LLC	DAVID LERNER ASSOCIATES, INC.
		DAVIS DISTRIBUTORS, LLC
		DAVY SECURITIES

Public Company Accounting Oversight Board  
 Brokers and Dealers With No Outstanding  
 Past-Due Share as of February 01, 2026

DAWSON JAMES SECURITIES, INC.	DV SECURITIES, LLC	ERNST & YOUNG CAPITAL ADVISORS, LLC
DB SECURITIES SERVICES NJ INC.	DVB CAPITAL MARKETS LLC	ESL INVESTMENT SERVICES, LLC
DBO PARTNERS LLC	DWS DISTRIBUTORS, INC.	ESTRADA HINOJOSA & COMPANY, INC.
DBS VICKERS SECURITIES (USA) INC.	DZ FINANCIAL MARKETS LLC	ETC BROKERAGE SERVICES, LLC
DCCA SECURITIES, LLC	E*TRADE CLEARING LLC	EVERCORE GROUP L.L.C.
DEALERWEB LLC	E*TRADE SECURITIES LLC	EXANE, INC.
DELANEY EQUITY GROUP LLC	E.J. DE LA ROSA & CO., INC.	EXECUTION ACCESS, LLC
DELAWARE DISTRIBUTORS, L.P.	EARLYBIRDCAPITAL, INC.	EXOTIX USA INC.
DELOITTE CORPORATE FINANCE LLC	EASTDIL SECURED ADVISORS LLC	FACTSET DATA SYSTEMS, INC.
DESJARDINS SECURITIES INTERNATIONAL INC.	EATON PARTNERS, LLC	FAGENSON & CO., INC.
DEUTSCHE BANK SECURITIES INC.	EATON VANCE DISTRIBUTORS, INC.	FAIRMOUNT PARTNERS LP
DEVELOPMENT CORPORATION FOR ISRAEL	EBX LLC	FELTL & COMPANY
DIFFUSION MARKETS, L.L.C.	ECHOTRADE LLC	FHN FINANCIAL SECURITIES CORP.
DIGITAL BROKERAGE SERVICES LLC	EDGEMONT CAPITAL PARTNERS, L.P.	FIDELITY BROKERAGE SERVICES LLC
DIMENSION TRADING GROUP, LLC	EDWARD D. JONES & CO., L.P.	FIDELITY DISTRIBUTORS COMPANY LLC
DINOSAUR FINANCIAL GROUP, L.L.C	EFG CAPITAL	FIDELITY DISTRIBUTORS CORPORATION
DIRECT EDGE ECN LLC	INTERNATIONAL CORP.	FIDELITY PRIME FINANCING, LLC
DIRECTED SERVICES LLC	EG MARKET TECHNOLOGIES LLC	FIFTH THIRD SECURITIES, INC.
DOFT & CO., INC.	ELECTRONIC TRANSACTION CLEARING, INC.	FIG PARTNERS, LLC
DOMINARI SECURITIES LLC	ELEQUIN SECURITIES LLC	FINANCIAL NORTHEASTERN SECURITIES, INC.
DOUGH LLC	ELEVATION, LLC	FIRST ALLIED SECURITIES, INC.
DOWLING & PARTNERS SECURITIES LLC	EMBED CLEARING LLC	FIRST ANALYSIS SECURITIES CORPORATION
DREXEL HAMILTON, LLC	EMMET & CO, INC.	FIRST BALLANTYNE, LLC
DRIEHAUS SECURITIES LLC	EMPIRICAL RESEARCH PARTNERS LLC	FIRST BANKERS' BANC SECURITIES, INC
DRIVEWEALTH INSTITUTIONAL LLC	EMPOWER FINANCIAL SERVICES, INC.	FIRST BROKERS SECURITIES LLC
DRIVEWEALTH, LLC	EPOCH SECURITIES, INC.	FIRST CITIZENS CAPITAL SECURITIES, LLC
DRW EXECUTION SERVICES, LLC	EQUATEX US INC	
DRW SECURITIES, L.L.C.	EQUILEND LLC	
DUNDEE SECURITIES INC.	EQUITABLE ADVISORS, LLC	
DV EQUITIES, LLC	EQUITABLE DISTRIBUTORS, LLC	
	EQUITEC PROPRIETARY MARKETS, LLC	
	EQUITEC SPECIALISTS, LLC	
	EQUITYZEN SECURITIES LLC	

Public Company Accounting Oversight Board  
 Brokers and Dealers With No Outstanding  
 Past-Due Share as of February 01, 2026

FIRST CITIZENS INVESTOR SERVICES, INC.	FMSBONDS, INC.	GBM INTERNATIONAL, INC.
FIRST CITIZENS SECURITIES CORPORATION	FMX EXECUTION, LLC	GBS RETIREMENT SERVICES, INC.
FIRST CLEARING, LLC	FOCALPOINT SECURITIES, LLC	GDK, INC.
FIRST COMMAND BROKERAGE SERVICES, INC.	FOLGER NOLAN FLEMING	GE CAPITAL MARKETS, INC.
FIRST DERIVATIVE TRADERS, L.P.	DOUGLAS INCORPORATED	GENESIS CAPITAL, LLC
FIRST EMPIRE SECURITIES, INC.	FOLIO INVESTMENTS, INC.	GEORGE K. BAUM & COMPANY
FIRST HORIZON ADVISORS, INC.	FORESTERS FINANCIAL SERVICES, INC.	GEORGESON SECURITIES CORPORATION
FIRST MANHATTAN SECURITIES LLC	FORETHOUGHT DISTRIBUTORS, LLC	GETCO EXECUTION SERVICES LLC
FIRST NATIONAL CAPITAL MARKETS, INC.	FORGE MARKETS LLC	GETCO SECURITIES, LLC
FIRST NEW YORK SECURITIES L.L.C.	FORGE SECURITIES LLC	GFI SECURITIES LLC
FIRST PUBLIC, LLC	FOX RIVER EXECUTION TECHNOLOGY, LLC	GLEACHER & COMPANY SECURITIES, INC.
FIRST REPUBLIC SECURITIES COMPANY, LLC	FRANKLIN DISTRIBUTORS, LLC	GLICKENHAUS & CO.
FIRST SOUTHWEST COMPANY, LLC	FRANKLIN/TEMPLETON DISTRIBUTORS, INC.	GLOBAL ALTERNATIVE INVESTMENT SERVICES, INC.
FIRST TRUST PORTFOLIOS L.P.	FREEDOM INVESTMENTS, INC.	GLOBAL EXECUTION BROKERS, LP
FIRST TRYON SECURITIES, LLC	FROST BROKERAGE SERVICES, INC.	GLOBAL HUNTER SECURITIES, LLC
FIRST WINSTON SECURITIES, INC.	FS INVESTMENT SOLUTIONS, LLC	GMP SECURITIES, LLC
FIRSTTRADE SECURITIES INC.	FSC SECURITIES CORPORATION	GOLDMAN SACHS & CO. LLC
FIS BROKERAGE & SECURITIES SERVICES LLC	FSP INVESTMENTS LLC	GOLDMAN SACHS
FIXED INCOME CAPITAL PARTNERS, LLC	FT GLOBAL CAPITAL, INC.	EXECUTION & CLEARING, L.P.
FLAGSTAR ADVISORS INC	FTI CAPITAL ADVISORS, LLC	GOLDMAN SACHS FINANCIAL MARKETS, L.P.
FLEXTRADE LLC	FTP SECURITIES LLC	GORDON DYAL & CO., LLC
FLORIDA ATLANTIC SECURITIES CORP.	FUTU CLEARING INC	GP BULLHOUND INC.
FLOURISH FINANCIAL LLC	G-2 TRADING,LLC	GRAY WHALE CAPITAL, LLC
FLOW TRADERS U.S.	G-BAR LIMITED	GREAT PACIFIC SECURITIES
INSTITUTIONAL TRADING LLC	PARTNERSHIP	GREAT POINT CAPITAL LLC
FLOW TRADERS U.S. LLC	G-TRADE SERVICES LLC	GREEN PIER FINTECH LLC
FM PARTNERS HOLDINGS LLC	G1 EXECUTION SERVICES, LLC	GREEN STREET ADVISORS, LLC
FMI CAPITAL ADVISORS, INC.	G2 TRADING LLC	GREENHILL & CO., LLC
	GAGNON SECURITIES, LLC	GREENSLEDGE CAPITAL
	GALAXY DIGITAL PARTNERS LLC	MARKETS LLC
	GALLAGHER SECURITIES, INC	GRIFFIN CAPITAL SECURITIES, LLC
	GAMMA SECURITIES, LLC	GRIFFIN FINANCIAL GROUP, LLC
	GARGOYLE STRATEGIC INVESTMENTS L.L.C.	

Public Company Accounting Oversight Board  
 Brokers and Dealers With No Outstanding  
 Past-Due Share as of February 01, 2026

GRIFFITHS MCBURNEY CORP.	HEALTHCARE COMMUNITY SECURITIES CORP.	ICM SECURITIES, LLC
GROUP ONE TRADING LLC	HEFREN-TILLOTSON, INC.	ICR CAPITAL LLC
GROVE POINT INVESTMENTS, LLC	HENNION & WALSH, INC.	IDB CAPITAL CORP.
GTS EXECUTION SERVICES LLC	HERBERT J. SIMS & CO, INC.	IEX SERVICES LLC
GTS SECURITIES LLC	HFF SECURITIES L.P.	IFC HOLDINGS, INC.
GUARDIAN INVESTOR SERVICES LLC	HIGHTOWER SECURITIES, LLC	IMA WEALTH, INC.
GUGGENHEIM DISTRIBUTORS, LLC	HIVE MARKETS LIMITED	IMC EXECUTION SERVICES LLC
GUGGENHEIM FUNDS DISTRIBUTORS, LLC	HILLTOP SECURITIES INC.	IMC SECURITIES LLC
GUGGENHEIM INVESTOR SERVICES, LLC	HOLD BROTHERS CAPITAL LLC	IMC-CHICAGO, LLC
GUGGENHEIM SECURITIES, LLC	HOME FINANCIAL SERVICES, INC.	IMPERIAL CAPITAL, LLC
GUZMAN & COMPANY	HOULIHAN LOKEY ADVISORS, LLC	INCENTER SECURITIES GROUP LLC
GWN SECURITIES INC.	HOULIHAN LOKEY CAPITAL, INC.	INCENTRUM SECURITIES, LLC
GX2 SPREAD MARKETS, LLC	HOVDE GROUP, LLC	INDEPENDENCE POINT SECURITIES LLC
H2C SECURITIES INC.	HOWARD WEIL	INDEPENDENT FINANCIAL GROUP, LLC
HAITONG SECURITIES USA LLC	INCORPORATED	INDUSTRIAL AND COMMERCIAL BANK OF CHINA FINANCIAL SERVICES LLC
HALES SECURITIES, LLC	HRT FINANCIAL LP	ING FINANCIAL MARKETS LLC
HANCOCK WHITNEY INVESTMENT SERVICES INC.	HSBC SECURITIES (USA) INC.	INGALLS & SNYDER, LLC
HANDELSBANKEN MARKETS SECURITIES, INC.	HUATAI SECURITIES (USA), INC.	INSIGNEO INTERNATIONAL FINANCIAL SERVICES LLC
HANSON MCCLAIN	HUB INTERNATIONAL	INSIGNEO SECURITIES, LLC
RETIREMENT NETWORK, LLC	INVESTMENT SERVICES INC.	INSPEREX LLC
HANTZ FINANCIAL SERVICES, INC.	HULL PARTNERS LLC	INSTITUTIONAL CASH DISTRIBUTORS, LLC
HAPOALIM SECURITIES USA, INC.	HUNT FINANCIAL SECURITIES, LLC	INTELLIGENT CROSS LLC
HARD EIGHT TRADING, LLC	IB CAPITAL LLC	INTERACTIVE BROKERS CORP.
HARDCASTLE TRADING USA L.L.C.	IBERIA CAPITAL PARTNERS L.L.C.	INTERACTIVE BROKERS LLC
HARRIS WILLIAMS LLC	IBKR SECURITIES SERVICES LLC	INTERCOASTAL CAPITAL MARKETS, INC.
HARTFORD FUNDS DISTRIBUTORS, LLC	IBS HOLDING CORPORATION	INTERNATIONAL STRATEGY & INVESTMENT GROUP LLC
HAYWOOD SECURITIES (USA) INC.	ICAPITAL MARKETS LLC	INTESA SANPAOLO IMI SECURITIES CORP.
HBK GLOBAL SECURITIES L.P.	ICBC STANDARD SECURITIES INC.	INTL FILLMORE ADVISORS LLC
	ICE BONDS SECURITIES CORPORATION	INTREPID INVESTMENT BANKERS LLC
	ICE SECURITIES EXECUTION & CLEARING, LLC	

Public Company Accounting Oversight Board  
 Brokers and Dealers With No Outstanding  
 Past-Due Share as of February 01, 2026

INTREPID PARTNERS, LLC	JEFFERIES EXECUTION SERVICES, INC.	KROLL SECURITIES LLC
INVEMED ASSOCIATES LLC	JEFFERIES HIGH YIELD TRADING, LLC	L.J. HART AND COMPANY
INVESCO CAPITAL MARKETS, INC.	JEFFERIES LLC	L.M. KOHN & COMPANY
INVESCO DISTRIBUTORS, INC.	JOE JOLLY & CO., INC.	LADDER CAPITAL SECURITIES LLC
INVESTACORP, INC.	JOHN HANCOCK	LADBURG THALMANN & CO. INC.
INVESTEC SECURITIES (US) LLC	DISTRIBUTORS LLC	LAFISE SECURITIES LLC
INVESTMENT CENTERS OF AMERICA, INC.	JOHN HANCOCK	LAKE STREET CAPITAL MARKETS, LLC
INVESTMENT DISTRIBUTORS, INC.	INVESTMENT MANAGEMENT DISTRIBUTORS LLC	LAKESHORE SECURITIES, L.P.
INVESTMENT PLACEMENT GROUP	JOHNSON RICE & COMPANY L.L.C.	LARRAINVIAL SECURITIES US LLC
INVEX, LLC.	JONES LANG LASALLE SECURITIES, LLC	LASALLE INVESTMENT MANAGEMENT
ITAU BBA USA SECURITIES, INC.	JONESTRADING	DISTRIBUTORS, LLC
ITAU INTERNATIONAL SECURITIES INC.	INSTITUTIONAL SERVICES LLC	LATOUR TRADING LLC
IVY DISTRIBUTORS, INC.	JPMORGAN DISTRIBUTION SERVICES, INC.	LAVAFLOW, INC.
J. WOOD CAPITAL ADVISORS LLC	JUMP EXECUTION, LLC	LAZARD FRERES & CO. LLC
J.J.B. HILLIARD, W.L. LYONS, LLC	JUMP TRADING, LLC	LAZARD MIDDLE MARKET LLC
J.P. MORGAN CLEARING CORP.	JVB FINANCIAL GROUP, LLC	LEERINK PARTNERS LLC
J.P. MORGAN INSTITUTIONAL INVESTMENTS INC.	JWTT INC.	LEK SECURITIES CORPORATION
J.P. MORGAN PRIME INC.	KBC SECURITIES USA LLC	LEONIS SECURITIES, LLC
J.P. MORGAN SECURITIES LLC	KEEFE, BRUYETTE & WOODS, INC.	LEVEL MARKETS, LLC
J.W. COLE FINANCIAL, INC.	KEPLER CAPITAL MARKETS, INC.	LFT SECURITIES, LLC
JACKSON NATIONAL LIFE DISTRIBUTORS LLC	KEY INVESTMENT SERVICES LLC	LIFESCI CAPITAL, LLC
JAG TRADING L.L.C.	KEYBANC CAPITAL MARKETS INC.	LIGHTSPEED FINANCIAL SERVICES GROUP LLC
JANE STREET CAPITAL, LLC	KGS-ALPHA CAPITAL MARKETS, L.P.	LIME TRADING CORP
JANE STREET EXECUTION SERVICES, LLC	KIPPSDESANTO & COMPANY	LINCOLN FINANCIAL
JANE STREET MARKETS, LLC	KKR CAPITAL MARKETS II LLC	DISTRIBUTORS, INC.
JANNEY MONTGOMERY SCOTT LLC	KKR CAPITAL MARKETS LLC	LINCOLN INTERNATIONAL LLC
JANUS HENDERSON DISTRIBUTORS US LLC	KMS FINANCIAL SERVICES, INC.	LINCOLN INVESTMENT PLANNING, LLC
JEFFERIES BACHE SECURITIES, LLC	KNIGHT CAPITAL AMERICAS, L.P.	LIONTREE ADVISORS LLC
	KOYOTE TRADING LLC	LIQUIDITYEDGE, LLC.
	KPMG CORPORATE FINANCE LLC	LIQUIDNET, INC.
		LIQUIDPOINT, LLC
		LLOYDS SECURITIES INC.
		LOEB PARTNERS CORPORATION

Public Company Accounting Oversight Board  
 Brokers and Dealers With No Outstanding  
 Past-Due Share as of February 01, 2026

LOMBARD ODIER	MEDIOBANCA SECURITIES	MIZUHO SECURITIES
TRANSATLANTIC LIMITED	USA LLC	CANADA INC.
LOOP CAPITAL MARKETS LLC	MERCER ALLIED COMPANY, L.P.	MIZUHO SECURITIES USA LLC
LORD ABBETT DISTRIBUTOR LLC	MERCHANT CAPITAL, L.L.C.	MKM PARTNERS LLC
LPL FINANCIAL LLC	MERIDIAN CAPITAL LLC	MMA SECURITIES LLC
LUMA SECURITIES LLC	MERRILL LYNCH FINANCIAL MARKETS, INC.	MMC SECURITIES LLC
LUMENT SECURITIES, LLC	MERRILL LYNCH	MML INVESTORS SERVICES, LLC
LUPO SECURITIES, LLC	PROFESSIONAL CLEARING CORP.	MND PARTNERS, INC.
M&I FINANCIAL ADVISORS, INC.	MERRILL LYNCH, PIERCE, FENNER & SMITH	MOCHO TRADING LLC
M&T SECURITIES, INC.	INCORPORATED	MOELIS & COMPANY LLC
M1 FINANCE LLC	MERUS CAPITAL PARTNERS, LLC	MOG CAPITAL L.L.C.
M3 INVESTMENT GROUP LLC	MESIROW FINANCIAL, INC.	MOMENTUS SECURITIES LLC
MACQUARIE CAPITAL	METLIFE INVESTORS	MONEX SECURITIES, INC.
MARKETS NORTH AMERICA LTD.	DISTRIBUTION COMPANY	MONEY CONCEPTS CAPITAL CORP
MACRO RISK ADVISORS LLC	METRIC POINT CAPITAL, LLC	MONTEC SECURITIES LLC
MAN INVESTMENTS INC.	MFR SECURITIES, INC.	MONTMINY SECURITIES, LLC
MANULIFE JOHN HANCOCK	MFS FUND DISTRIBUTORS, INC.	MOOMOO FINANCIAL INC.
BROKERAGE SERVICES LLC	MICROVENTURE	MOORELAND PARTNERS LLC
MAPLE SECURITIES U.S.A. INC.	MARKETPLACE INC.	MOORS & CABOT, INC.
MARATHON CAPITAL MARKETS, LLC	MIDDLEGATE SECURITIES INC.	MORGAN JOSEPH
MAREX CAPITAL MARKETS INC.	MIDLAND SECURITIES, LTD	TRIARTISAN LLC
MAREX NORTH AMERICA SECURITIES LLC	MILLBURN RIDGEFIELD LLC	MORGAN KEEGAN & COMPANY, LLC
MARIVA CAPITAL MARKETS, LLC	MILLCO ADVISORS, LP	MORGAN STANLEY & CO. LLC
MARKETAXESS CORPORATION	MILLENNIUM ADVISORS, LLC	MORGAN STANLEY
MARKS BAUGHAN SECURITIES LLC	MILLER BUCKFIRE & CO., LLC	DISTRIBUTION, INC.
MARSHBERRY CAPITAL, LLC.	MILLER TABAK + CO., LLC	MORGAN STANLEY SMITH
MATTHEWS SOUTH, LLC	MINT BROKERS	BARNEY LLC
MAVEN GLOBAL MARKETS TRADING LLP	MIRAE ASSET SECURITIES (USA) INC.	MORNINGSTAR INVESTMENT SERVICES LLC
MBS CAPITAL MARKETS, LLC	MIRAE ASSET WEALTH MANAGEMENT (USA) INC.	MOTIF INVESTING, INC.
MBX CLEARING LLC	MISCHLER FINANCIAL GROUP, INC.	MOUNTAINSIDE SECURITIES LLC
MCADAMS WRIGHT RAGEN, INC.	MITCHELL ENERGY ADVISORS, LLC	MOUNTAINVIEW SECURITIES, LLC
MCAP LLC	MIURA CAPITAL, LLC	MPS GLOBAL SECURITIES, LLC
		MS SECURITIES SERVICES INC.
		MSEC, LLC
		MSI FINANCIAL SERVICES, INC.
		MTS SECURITIES, LLC

Public Company Accounting Oversight Board  
 Brokers and Dealers With No Outstanding  
 Past-Due Share as of February 01, 2026

MUFG AMERICAS	NEEDHAM & COMPANY, LLC	O'NEIL SECURITIES
CORPORATE ADVISORY, INC.	NELNET CAPITAL LLC	INCORPORATED
MUFG SECURITIES AMERICAS INC.	NETREX CAPITAL MARKETS, LLC	OASIS PRO MARKETS LLC
MULTI-BANK SECURITIES, INC.	NETWORK 1 FINANCIAL SECURITIES INC.	OBD SECURITIES LLC
MURIEL SIEBERT & CO., LLC	NEUBERGER BERMAN BD LLC	OCTEG, LLC
MUTUAL OF AMERICA LIFE INSURANCE COMPANY	NEUBERGER BERMAN MANAGEMENT LLC	ODDO BHF NEW YORK CORPORATION
N. B. ZOULLAS SECURITIES, INC.	NEW ENGLAND SECURITIES CORPORATION	ODEON CAPITAL GROUP LLC
N.A. INVESTCORP LLC	NEWEDGE SECURITIES, LLC	OHIO NATIONAL EQUITIES, INC.
N.I.S. FINANCIAL SERVICES, INC.	NEWEDGE USA, LLC	OLD MISSION CAPITAL, LLC
NABSECURITIES, LLC	NEWPORT GROUP	OLD MISSION MARKETS LLC
NASDAQ EXECUTION SERVICES, LLC	SECURITIES, INC.	ONEAMERICA SECURITIES, INC.
NASDAQ OPTIONS SERVICES, LLC	NEXBANK SECURITIES INC	ONPEAK CAPITAL LLC
NATALLIANCE SECURITIES, LLC	NFSTX, LLC	OPEN TO THE PUBLIC INVESTING, INC.
NATHAN HALE CAPITAL, LLC	NH INVESTMENT & SECURITIES AMERICA, INC.	OPENDOOR SECURITIES LLC
NATIONAL BANK OF CANADA FINANCIAL INC.	NOLITA CAPITAL, LLC	OPPENHEIMER & CO. INC.
NATIONAL FINANCIAL SERVICES LLC	NOMURA GLOBAL FINANCIAL PRODUCTS INC.	OPPENHEIMERFUNDS DISTRIBUTOR, INC.
NATIONAL PLANNING CORPORATION	NOMURA GREENTECH CAPITAL ADVISORS, LLC	OPTIONSHOUSE, LLC
NATIONAL SECURITIES CORPORATION	NOMURA SECURITIES INTERNATIONAL, INC.	OPTIONSXPRESS, INC.
NATIONWIDE FUND DISTRIBUTORS LLC	NOMURA SECURITIES NORTH AMERICA, LLC	OPTIVER EXECUTION SERVICES LLC
NATIONWIDE SECURITIES, LLC	NORDEA SECURITIES LLC	OPTIVER US LLC
NATIXIS DERIVATIVES INC.	NORTH BRIDGE CAPITAL, LLC	ORIENTAL FINANCIAL SERVICES LLC
NATIXIS DISTRIBUTION, LLC	NORTH POINT MERGERS AND ACQUISITIONS, INC.	OSAIC FA, INC.
NATIXIS SECURITIES AMERICAS LLC	NORTHERN TRUST SECURITIES, INC.	OSAIC FS, INC.
NATWEST MARKETS SECURITIES INC.	NORTHLAND SECURITIES, INC.	OSAIC SERVICES, INC.
NAVY FEDERAL INVESTMENT SERVICES, LLC	NORTHSTAR SECURITIES, LLC	OSAIC WEALTH, INC.
NBC SECURITIES, INC.	NORTHWESTERN MUTUAL INVESTMENT SERVICES, LLC	OSCAR GRUSS & SON INCORPORATED
NBF SECURITIES (USA) CORP.	NUMIS SECURITIES INC.	OTA LLC
	NUVEEN SECURITIES, LLC	OTC LINK LLC
	NYLIFE DISTRIBUTORS LLC	P.J. ROBB VARIABLE, LLC
	NYLIFE SECURITIES LLC	PACER FINANCIAL, INC.

Public Company Accounting Oversight Board  
 Brokers and Dealers With No Outstanding  
 Past-Due Share as of February 01, 2026

PALAFOX TRADING LLC	PIPELINE TRADING SYSTEMS	Q ADVISORS LLC
PARADIGM EQUITIES, INC.	LLC	QATALYST PARTNERS LP
PARETO SECURITIES INC.	PIPER SANDLER & CO.	QUAD CAPITAL, LLC
PARIS SECURITIES	PJT PARTNERS LP	QUAD SECURITIES LLC
CORPORATION	PLANMEMBER SECURITIES	QUANTITATIVE BROKERS, LLC
PARK AVENUE SECURITIES	CORPORATION	QUANTLAB SECURITIES LP
LLC	PMA SECURITIES, LLC	QUASAR DISTRIBUTORS, LLC
PARK HILL REAL ESTATE	PNC CAPITAL MARKETS LLC	QUESTAR CAPITAL
GROUP LLC	PNC INVESTMENTS LLC	CORPORATION
PARK SUTTON SECURITIES,	POPULAR SECURITIES, LLC	QUIET LIGHT SECURITIES, LLC
LLC	PORTIGON SECURITIES INC.	R. F. LAFFERTY & CO., INC.
PARKLAND SECURITIES, LLC	POTAMUS TRADING, LLC	R. SEELAUS & CO., LLC
PAULSON INVESTMENT	PRAGMA LLC	R.J. O'BRIEN SECURITIES, LLC
COMPANY LLC	PRECISION SECURITIES, LLC	R.W.PRESSPRICH & CO.
PAYCHEX SECURITIES	PREFERRED CAPITAL	RABO SECURITIES USA, INC.
CORPORATION	SECURITIES, LLC	RAFFERTY CAPITAL
PEAK6 CAPITAL	PRICEWATERHOUSECOOPE	MARKETS, LLC
MANAGEMENT LLC	RS CORPORATE FINANCE LLC	RAINE SECURITIES LLC
PEAK6 PERFORMANCE	PRIME CAPITAL MARKETS,	RAINIER SECURITIES, LLC
MANAGEMENT LLC	LLC	RAMAT SECURITIES LTD.
PEAK6 VEGA MASTER FUND	PRIME DEALER SERVICES	RAYMOND JAMES &
LLC	CORP.	ASSOCIATES, INC.
PENSERRA SECURITIES, LLC	PRIMERICA BROKERAGE	RAYMOND JAMES (USA) LTD.
PENSON FINANCIAL	SERVICES, INC.	RAYMOND JAMES FINANCIAL
SERVICES, INC.	PRINCIPAL FUNDS	SERVICES, INC.
PEOPLE'S SECURITIES, INC.	DISTRIBUTOR, INC.	RAYMOND JAMES
PERELLA WEINBERG	PRINCIPAL SECURITIES, INC.	INSURANCE GROUP, INC.
PARTNERS LP	PROBITAS FUNDS GROUP,	RB INTERNATIONAL
PERFORMANCE TRUST	LLC	MARKETS (USA) LLC
CAPITAL PARTNERS, LLC	PROSPERA FINANCIAL	RBC CAPITAL MARKETS, LLC
PERSHING ADVISOR	SERVICES, INC.	RBC CMA LTD
SOLUTIONS LLC	PRUCO SECURITIES, LLC.	RCI LIMITED PARTNERSHIP
PERSHING LLC	PRUDENTIAL ANNUITIES	REALCADRE LLC
PFM FUND DISTRIBUTORS,	DISTRIBUTORS, INC	RED CEDAR TRADING, LLC
INC.	PRUDENTIAL INVESTMENT	REDBIRD BD, LLC
PFS INVESTMENTS INC.	MANAGEMENT SERVICES LLC	REDBURN (USA) LLC
PGM GLOBAL INC.	PTR, INC.	REDI GLOBAL TECHNOLOGIES
PHILLIP CAPITAL INC.	PUBLIC VENTURES, LLC	LLC
PHOENIX DERIVATIVES	PULSE TRADING, INC.	REGAL SECURITIES, INC.
GROUP, LLC	PUNDION LLC	REGIONS SECURITIES LLC
PHX FINANCIAL, INC.	PURESTREAM, LLC	RELATED FINANCIAL
PICTET OVERSEAS INC.	PUTNAM RETAIL	SERVICES, LLC
PIMCO INVESTMENTS LLC	MANAGEMENT LIMITED	RENCAP SECURITIES, INC.
	PARTNERSHIP	REPUBLIC PARTNERS, LLC

Public Company Accounting Oversight Board  
 Brokers and Dealers With No Outstanding  
 Past-Due Share as of February 01, 2026

RESOLUTE INVESTMENT	SAMMONS FINANCIAL	SENVEST INTERNATIONAL
DISTRIBUTORS, INC.	NETWORK, LLC	L.L.C.
REUTERS TRANSACTION	SAMSUNG SECURITIES	SETTER CAPITAL INC.
SERVICES LLC	(AMERICA), INC.	SEVEN POINTS CAPITAL, LLC
RGM SECURITIES, LLC	SANDERS MORRIS HARRIS	SG AMERICAS SECURITIES,
RIVERSOURCE	INC.	LLC
DISTRIBUTORS, INC.	SANDLER, O'NEILL &	SHAY FINANCIAL SERVICES,
RIVERSTONE CAPITAL	PARTNERS, L.P.	INC.
SERVICES LLC	SANFORD C. BERNSTEIN &	SHEA & COMPANY, LLC
ROBERT W. BAIRD & CO.	CO., LLC	SHEARMAN, RALSTON INC.
INCORPORATED	SANTANDER INTERNATIONAL	SHELBY CULLOM DAVIS &
ROBINHOOD FINANCIAL, LLC	SECURITIES, INC.	CO., L.P.
ROBINHOOD SECURITIES, LLC	SANTANDER INVESTMENT	SHERMAN & COMPANY LLC
ROCKEFELLER FINANCIAL LLC	SECURITIES INC.	SIEBERT WILLIAMS SHANK &
RODMAN & RENSHAW, LLC	SANTANDER SECURITIES LLC	CO., LLC
RONIN CAPITAL, LLC	SBERBANK CIB USA, INC	SIERRA PACIFIC SECURITIES,
ROOSEVELT & CROSS,	SCHRODER FUND ADVISORS	LLC
INCORPORATED	LLC	SIGMA FINANCIAL
ROSELAIN SECURITIES LLC	SCOTIA CAPITAL (USA) INC.	CORPORATION
ROSENBLATT SECURITIES	SCOTT & STRINGFELLOW, LLC	SIGNAL HILL CAPITAL GROUP
INC.	SCOTTRADE, INC.	LLC
ROSENTHAL GLOBAL	SCOUT TRADING, LLC	SIGNATOR INVESTORS, INC.
SECURITIES LLC	SEA OTTER SECURITIES	SII INVESTMENTS, INC.
ROSS, SINCLAIRE &	GROUP LLC	SIMMONS & COMPANY
ASSOCIATES, LLC	SEAPORT GLOBAL	INTERNATIONAL
ROTH CAPITAL PARTNERS,	SECURITIES LLC	SIMMONS FIRST
LLC	SEAPORT SECURITIES CORP.	INVESTMENT GROUP, INC.
ROTHSCHILD & CO US INC.	SEARLE & CO.	SIMON MARKETS LLC
ROYCE FUND SERVICES, LLC	SEATTLE-NORTHWEST	SIXPOINT PARTNERS LLC
RQD* CLEARING, LLC	SECURITIES CORPORATION	SMBC NIKKO SECURITIES
RUANE, CUNNIFF &	SEB SECURITIES, INC.	AMERICA, INC.
GOLDFARB LLC	SECURIAN FINANCIAL	SMBC NIKKO SECURITIES
RUSSELL INVESTMENTS	SERVICES, INC.	CANADA, LTD.
FINANCIAL SERVICES, LLC	SECURITIES AMERICA, INC.	SOFI SECURITIES LLC
RUSSELL INVESTMENTS	SECURITIES MANAGEMENT	SOLEBURY CAPITAL LLC
IMPLEMENTATION SERVICES,	AND RESEARCH, INC.	SOLOMON PARTNERS
LLC	SECURITIES SERVICE	SECURITIES, LLC
SABADELL SECURITIES USA,	NETWORK, LLC	SOUTH STREET SECURITIES
INC.	SECURITY DISTRIBUTORS, LLC	LLC
SAFRA SECURITIES LLC	SEI INVESTMENTS	SOUTHSTATE DUNCANWILLI
SAL EQUITY TRADING, GP	DISTRIBUTION CO.	AMS SECURITIES CORP.
SAMCO CAPITAL MARKETS,	SENTINEL FINANCIAL	SPARTAN CAPITAL
INC.	SERVICES COMPANY	SECURITIES, LLC
SAMI BROKERAGE LLC		SPC CAPITAL MARKETS LLC

Public Company Accounting Oversight Board  
 Brokers and Dealers With No Outstanding  
 Past-Due Share as of February 01, 2026

SPHINX TRADING, LP	STORMHARBOUR SECURITIES	TALCOTT RESOLUTION
SPIDERROCK EXS, LLC	LP	DISTRIBUTION COMPANY, INC.
SPOT TRADING L.L.C.	STOUT CAPITAL, LLC	TCBI SECURITIES, INC.
SPROTT GLOBAL RESOURCE	STRATEGAS SECURITIES, LLC	TCG CAPITAL MARKETS L.L.C.
INVESTMENTS LTD	STUDENT OPTIONS, LLC	TD AMERITRADE CLEARING, INC.
SPURRIER CAPITAL	SUMMIT BROKERAGE	TD AMERITRADE, INC.
PARTNERS LLC	SERVICES, INC.	TD ARRANGED SERVICES LLC
SS&C MARKET SERVICES, LLC	SUMMIT SECURITIES GROUP	TD FINANCIAL PRODUCTS LLC
STANDARD CHARTERED	LLC	TD PRIME SERVICES LLC
SECURITIES NORTH AMERICA	SUMRIDGE PARTNERS, LLC	TD PRIVATE CLIENT WEALTH
LLC	SUN LIFE FINANCIAL	LLC
STANDARD CREDIT	DISTRIBUTORS, INC.	TD SECURITIES (USA) LLC
SECURITIES INC.	SUNGARD INSTITUTIONAL	TEACHERS PERSONAL
STANDARD NEW YORK, INC.	BROKERAGE INC.	INVESTORS SERVICES, INC.
STASH CAPITAL LLC	SUNRISE BROKERS LLC	TELEMETRY SECURITIES, L.L.C.
STATE FARM VP	SUSQUEHANNA FINANCIAL	TFS DERIVATIVES LLC
MANAGEMENT CORP.	GROUP, LLLP	THE BAKER GROUP, LP
STATE STREET GLOBAL	SUSQUEHANNA SECURITIES,	THE BANK STREET GROUP
ADVISORS FUNDS	LLC	L.L.C.
DISTRIBUTORS, LLC	SVB INVESTMENT SERVICES	THE BENCHMARK COMPANY, LLC
STATE STREET GLOBAL	INC.	THE BUCKINGHAM
MARKETS, LLC	SVB SECURITIES, A NON-	RESEARCH GROUP
STEBEN & COMPANY, LLC	BANK AFFILIATE OF SILICON	INCORPORATED
STEPHENS INC.	VALLEY BANK	THE GMS GROUP, LLC
STERLING SMITH	SWBC INVESTMENT	THE KLEIN GROUP, LLC
CORPORATION	SERVICES, LLC	THE LEADERS GROUP, INC.
STERLING, GRACE	SWEDBANK SECURITIES US,	THE O.N. EQUITY SALES
MUNICIPAL SECURITIES	LLC	COMPANY
CORPORATION	SWENY CARTWRIGHT &	THE VERTICAL TRADING
STERN BROTHERS & CO.	COMPANY	GROUP, LLC
STERNE, AGEE & LEACH, INC.	SWISS RE CAPITAL MARKETS	THE WILLIAMS CAPITAL
STEWARD PARTNERS	CORPORATION	GROUP, L.P.
INVESTMENT SOLUTIONS,	SYCAMORE FINANCIAL	THINKEQUITY LLC
LLC	GROUP	THOMA BRAVO CAPITAL
STIFEL, NICOLAUS &	SYNOVUS SECURITIES, INC.	MARKETS, LLC
COMPANY, INCORPORATED	SYSTEMATIZED BENEFITS	THOMAS WEISEL PARTNERS
STOCKCROSS FINANCIAL	ADMINISTRATORS, INC.	LLC
SERVICES, INC.	T. ROWE PRICE INVESTMENT	THREE BROTHERS TRADING, LLC
STOEVER, GLASS & COMPANY	SERVICES, INC.	
INC.	T.R. WINSTON & COMPANY,	
STONE & YOUNGBERG LLC	LLC	
STONEPEAK SECURITIES LLC	T3 TRADING GROUP, LLC	
STONEX FINANCIAL INC.		

Public Company Accounting Oversight Board  
 Brokers and Dealers With No Outstanding  
 Past-Due Share as of February 01, 2026

THRIVENT DISTRIBUTORS, LLC	TRADEWEB DIRECT LLC	UMB FINANCIAL SERVICES, INC.
THRIVENT INVESTMENT MANAGEMENT INC.	TRADEWEB L.L.C	UNICREDIT CAPITAL MARKETS LLC
TIAA-CREF INDIVIDUAL & INSTITUTIONAL SERVICES, LLC	TRADITION SECURITIES AND DERIVATIVES LLC	UNITED CAPITAL MARKETS, INC.
TIBRA TRADING AMERICA LLC	TRANSAMERICA CAPITAL, LLC	UNITED PLANNERS' FINANCIAL SERVICES OF AMERICA A LIMITED PARTNER
TICKRS CLEARING TICONDEROGA SECURITIES LLC	TRANSAMERICA INVESTORS SECURITIES, LLC	UNIVEST SECURITIES, LLC
TIDAL PARTNERS, LLC	TRANSMARKET GROUP L.L.C.	US TIGER SECURITIES, INC.
TIGRESS FINANCIAL PARTNERS, LLC	TRB CAPITAL MARKETS, LLC	USAA FINANCIAL ADVISORS, INC.
TJM INVESTMENTS, LLC	TRC MARKETS LLC	USAA INVESTMENT SERVICES COMPANY
TM CAPITAL CORP.	TRIAD ADVISORS LLC	UVEST FINANCIAL SERVICES GROUP, INC.
TMC BONDS L.L.C.	TRIBAL CAPITAL MARKETS, LLC	VALLEY FINANCIAL MANAGEMENT, INC.
TMT INVESTMENTS, LLC	TRIPLETREE, LLC	VALLEY FORGE ASSET MANAGEMENT, LLC
TORA TRADING SERVICES, LLC	TRUIST INVESTMENT SERVICES, INC.	VAN ECK SECURITIES CORPORATION
TORO TRADING LLC	TRUIST SECURITIES, INC.	VAN LANSCHOT KEMPEN (USA) INC.
TOUCHSTONE SECURITIES, INC.	TRUMID FINANCIAL LLC	VANAHEIM SECURITIES, LLC
TOURMALINE PARTNERS, LLC	TUDOR PICKERING HOLT & CO ADVISORS LP	VANGUARD MARKETING CORPORATION
TOWER PRINCIPAL MARKETS LLC	TUDOR, PICKERING, HOLT & CO. SECURITIES, LLC	VAQUERO CAPITAL LLC
TOWER SQUARE SECURITIES, INC.	TULLETT PREBON FINANCIAL SERVICES LLC	VECTORGLOBAL WMG, INC.
TOWERS WATSON CAPITAL MARKETS INC.	TWEEDY, BROWNE COMPANY LLC	VELOCITY CAPITAL, LLC
TOYOTA FINANCIAL SERVICES SECURITIES USA CORPORATION	TWO RIVERS TRADING GROUP LLC	VELOX CLEARING LLC
TP ICAP GLOBAL MARKETS AMERICAS LLC	TWO SIGMA EXECUTION SERVICES, LLC	VICENTE & PARTNERS LLC
TPG CAPITAL BD, LLC	TWO SIGMA SECURITIES, LLC	VINING-SPARKS IBG, LLC
TRADE INFORMATICS LLC	TZ CLEAR LLC	VIRTU AMERICAS LLC
TRADE-PMR INC.	UBS ASSET MANAGEMENT (US) INC.	VIRTU FINANCIAL BD LLC
TRADEBOT SYSTEMS, INC.	UBS FINANCIAL SERVICES INC.	VIRTU FINANCIAL CAPITAL MARKETS LLC
TRADELINK L.L.C.	UBS FINANCIAL SERVICES INCORPORATED OF PUERTO RICO	VIRTU ITG LLC
TRADELINK SECURITIES, LLC	ULLICO INVESTMENT COMPANY, LLC	VIRTUS CAPITAL MARKETS LLC
TRADEMAS INC.		VISION FINANCIAL MARKETS LLC
TRADEUP SECURITIES, INC.		

Public Company Accounting Oversight Board  
Brokers and Dealers With No Outstanding  
Past-Due Share as of February 01, 2026

VISTA POINT ADVISORS, LLC	WILMINGTON BROKERAGE
VOLANT LIQUIDITY, LLC	SERVICES COMPANY
VOYA FINANCIAL ADVISORS, INC.	WILSON-DAVIS & CO., INC.
VOYA FINANCIAL PARTNERS, LLC	WINTRUST INVESTMENTS
VOYA INVESTMENTS	LLC
DISTRIBUTOR, LLC	WOLVERINE EXECUTION
VOYA RETIREMENT ADVISORS, LLC	SERVICES, LLC
VP DISTRIBUTORS LLC	WOLVERINE SECURITIES, LLC
VRA PARTNERS, LLC	WOLVERINE TRADING, LLC
WACHTEL & CO., INC.	WOODBURY FINANCIAL
WADDELL & REED, INC.	SERVICES, INC.
WAKE USA LLC	WOODROCK SECURITIES, L.P.
WALL STREET ACCESS	WORLD GROUP SECURITIES, INC.
WALLACHBETH CAPITAL LLC	WR SECURITIES, LLC
WALNUT STREET SECURITIES, INC.	WSA FIXED INCOME, LLC
WEALTHFRONT BROKERAGE LLC	X-CHANGE FINANCIAL
WEBBUSH SECURITIES INC.	ACCESS, LLC
WEEDEN & CO.L.P.	XAMBALA CAPITAL, LLC
WELLINGTON SHIELDS & CO., LLC	XMS CAPITAL PARTNERS, LLC
WELLS FARGO ADVISORS FINANCIAL NETWORK, LLC	XP INVESTMENTS US, LLC
WELLS FARGO CLEARING SERVICES, LLC	XR SECURITIES LLC
WELLS FARGO INSTITUTIONAL SECURITIES, LLC	XTELLUS CAPITAL PARTNERS, INC.
WELLS FARGO PRIME SERVICES, LLC	XTX EXECUTION SERVICES
WESTMINSTER RESEARCH ASSOCIATES LLC	LLC
WESTPAC CAPITAL MARKETS LLC	XTX MARKETS LLC
WILLIAM BLAIR & COMPANY L.L.C.	ZEBED SECURITIES, LLC
WILLIAMS TRADING LLC	ZELMAN PARTNERS, LLC
WILLIS TOWERS WATSON SECURITIES, LLC	ZEUS FINANCIAL, LLC
	ZIONS DIRECT, INC.
	ZIV INVESTMENT COMPANY