

**List of Brokers and Dealers with No Outstanding
Past-Due Share of the Broker-Dealer Accounting Support Fee**

Determination of Payment under PCAOB Rule 7104(b)

As of January 15, 2026

The following is a list of brokers and dealers that have been assessed and have no outstanding past-due share of the broker-dealer accounting support fee of the Public Company Accounting Oversight Board ("PCAOB"), as of December 15, 2025.^{1/}

A registered public accounting firm may take the inclusion of a broker or dealer on this list as an indication that the broker or dealer has no outstanding past-due share of the broker-dealer accounting support fee for purposes of PCAOB Rule 7104(b).

This list will be updated at least bi-weekly. If a registered public accounting firm cannot obtain a representation from the broker or dealer or cannot determine by reviewing the list below whether the broker or dealer has no past-due share of the accounting support fee outstanding, the registered public accounting firm may contact the staff by phone at 1-202-207-9294 (Business Days: 9:00 a.m. to 5:00 p.m. ET) or by email at confirm@pcaobus.org.

If you believe a broker or dealer has been excluded from this list in error, please contact the staff by phone at 1-202-207-9294 (Business Days: 9:00 a.m. to 5:00 p.m. ET) or by email at confirm@pcaobus.org.

Additional information about the broker-dealer accounting support fee and the funding process is available in the Frequently Asked Questions ("FAQs") available in the Accounting Support Fee section of the PCAOB's Web site (<http://pcaobus.org/About/Administration/SupportFee/Pages/BDSupportFeeFAQ.aspx>).

^{1/} Just because a broker or dealer does not appear on this list does not mean that the broker or dealer has outstanding a past-due share of the broker-dealer accounting support fee. Many "brokers" or "dealers," as such terms are defined in the Sarbanes-Oxley Act and the Board's rules, are not allocated a share of the broker-dealer accounting support fee under the Board's funding rules and therefore are not on this list even though they have outstanding no past-due share of the accounting support fee. In addition, payments are received periodically by the PCAOB and are not reflected on this list until it is next updated. For more information regarding the determination of payment of accounting support fees by registered accounting firms, please see rule 7104(b).

Public Company Accounting Oversight Board
 Brokers and Dealers With No Outstanding
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&PARTNERS	ALLSTATE FINANCIAL	APTO PARTNERS, LLC
2021 CAPITAL, LLC	SERVICES, LLC	AQR INVESTMENTS, LLC
280 SECURITIES LLC	ALLSTON TRADING LLC	AQUILO PARTNERS, L.P.
303 EQUITY TRADING GROUP	ALLY INVEST SECURITIES LLC	ARBOR RESEARCH &
II, LLC	ALLY SECURITIES LLC	TRADING, LLC
A. R. SCHMEIDLER & CO.,	ALPACA SECURITIES LLC	ARCA CAPITAL
INC.	ALPHA TRADING L.P.	INVESTMENTS, INC.
A.B. FINANCIAL L.L.C.	ALPINE SECURITIES	ARCHIPELAGO TRADING
A.G.P. / ALLIANCE GLOBAL	CORPORATION	SERVICES, INC.
PARTNERS, LLC	ALTERNA SECURITIES, INC.	ARCOLA SECURITIES, INC.
AARDVARK SECURITIES LLC	ALTRUIST FINANCIAL LLC	ARDEA PARTNERS LP
ABBEY NATIONAL SECURITIES	ALVAREZ & MARSAL	ARES WEALTH
INC.	SECURITIES, LLC	MANAGEMENT SOLUTIONS,
ABEL NOSER, LLC.	AMERANT INVESTMENTS,	LLC
ABEL/NOSER CORP.	INC.	ARIEL DISTRIBUTORS, LLC
ABG SUNDAL COLLIER INC.	AMERICA'S GROWTH	ARTIST CAPITAL LLC
ABN AMRO CLEARING USA	CAPITAL, LLC	ARVEST INVESTMENTS, INC.
LLC	AMERICAN CENTURY	ARXIS SECURITIES LLC
ABN AMRO SECURITIES (USA)	INVESTMENT SERVICES, INC.	ASCENSUS BROKER DEALER
LLC	AMERICAN ENTERPRISE	SERVICES, LLC
ABSA SECURITIES U.S. INC.	INVESTMENT SERVICES INC.	ASHMORE INVESTMENT
ACADEMY SECURITIES, INC.	AMERICAN PORTFOLIOS	MANAGEMENT (US)
ACCESS SECURITIES, LLC	FINANCIAL SERVICES, INC.	CORPORATION
ACORNS SECURITIES, LLC	AMERIPRISE FINANCIAL	ASL CAPITAL MARKETS INC.
ADELPHI STOCK BROKERS	SERVICES, LLC	ASPEN TRADING, L.L.C
INC.	AMERITAS INVESTMENT	ASSOCIATED INVESTMENT
ADVISORS ASSET	COMPANY, LLC	SERVICES, INC.
MANAGEMENT, INC.	AMERIVET SECURITIES, INC.	ASSOCIATED OPTIONS, INC.
AEGIS CAPITAL CORP.	AMHERST SECURITIES	ATHENE SECURITIES, LLC
AERIS PARTNERS LLC	GROUP, L.P.	ATLANTIC - PACIFIC CAPITAL,
AIG FINANCIAL SECURITIES	AMS DERIVATIVES B.V.	INC.
CORP.	AMUNDI DISTRIBUTOR US,	ATLAS ONE FINANCIAL
AK CAPITAL LLC	INC.	GROUP, LLC
AKUNA SECURITIES LLC	AMUNI FINANCIAL, INC.	ATLAS TECHNOLOGY GROUP
ALADDIN CAPITAL LLC	ANGEL ISLAND CAPITAL	LLC
ALANTRA, LLC	SERVICES, LLC	AUERBACH GRAYSON &
ALLEN & COMPANY LLC	ANZ SECURITIES, INC.	COMPANY LLC
ALLIANCEBERNSTEIN	AON SECURITIES LLC	AURIGA USA, LLC
INVESTMENTS, INC.	APERTURE, LLC	AUTOMATED TRADING DESK
ALLIANZ LIFE FINANCIAL	APEX CLEARING	BROKERAGE SERVICES, LLC
SERVICES, LLC	CORPORATION	AUTONOMOUS RESEARCH
ALLSPRING FUNDS	APOLLO GLOBAL SECURITIES,	LLP
DISTRIBUTOR, LLC	LLC	

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AVANTAX INVESTMENT SERVICES, INC.	BD25-HONEY BADGER INVESTMENT SECURITIES, LLC	BLOOMBERG TRADEBOOK LLC
AVATAR CAPITAL GROUP LLC	BDT & MSD PARTNERS, LLC	BLUE CAPITAL GROUP LLC
AVATAR SECURITIES, LLC	BEDROK SECURITIES LLC	BLUE FIRE CAPITAL, LLC
AVM, L.P.	BELLE HAVEN INVESTMENTS, L.P.	BLUEFIN CAPITAL MANAGEMENT, LLC
AXOS CLEARING LLC	BELVEDERE TRADING LLC	BMO CAPITAL MARKETS CORP.
B. C. ZIEGLER AND COMPANY	BENCHMARK SECURITIES, LLC	BMO CAPITAL MARKETS GKST INC.
B. RILEY SECURITIES, INC.	BENGAL CAPITAL TRADING LLC	BMO HARRIS FINANCIAL ADVISORS, INC.
B. RILEY WEALTH MANAGEMENT, INC.	BENGAL CAPITAL, LLC	BMO INVESTMENT DISTRIBUTORS, LLC
BAADER HELVEA INC.	BENJAMIN F. EDWARDS & COMPANY, INC.	BMO NESBITT BURNS TRADING CORP. S.A.
BAKER TILLY CAPITAL, LLC	BERENBERG CAPITAL MARKETS LLC	BNP PARIBAS PRIME BROKERAGE, INC.
BALANCE SHEET SOLUTIONS LLC	BERENSON & COMPANY, LLC	BNP PARIBAS SECURITIES CORP.
BANC OF AMERICA SPECIALIST, INC.	BERKSHIRE GLOBAL ADVISORS LP	BNY MELLON CAPITAL MARKETS, LLC
BANCO DO BRASIL SECURITIES LLC	BERNARD HEROLD & CO., INC.	BNY MELLON SECURITIES CORPORATION
BANCO VOTORANTIM SECURITIES, INC.	BERNSTEIN INSTITUTIONAL SERVICES LLC	BOENNING & SCATTERGOOD, INC.
BANCROFT CAPITAL, LLC	BESTEX RESEARCH SECURITIES, LLC	BOFA DISTRIBUTORS, INC.
BANCWEST INVESTMENT SERVICES, INC.	BETA CAPITAL SECURITIES LLC	BOFA SECURITIES PRIME, INC.
BANORTE SECURITIES INTERNATIONAL LTD	BETHESDA SECURITIES, LLC	BOFA SECURITIES, INC.
BARCLAYS CAPITAL INC.	BEXIL SECURITIES LLC	BOK FINANCIAL SECURITIES, INC.
BARON CAPITAL, INC.	BGC FINANCIAL, L.P.	BOLTON GLOBAL CAPITAL BONDS.COM, INC.
BB&T INVESTMENT SERVICES, INC.	BIDS TRADING L.P.	BOREAL CAPITAL SECURITIES LLC
BB&T SECURITIES, LLC	BLACKARCH SECURITIES LLC	BOSTON PARTNERS SECURITIES, L.L.C.
BBVA COMPASS INVESTMENT SOLUTIONS, INC.	BLACKROCK CAPITAL MARKETS, LLC	BOUSTEAD SECURITIES, LLC
BBVA SECURITIES INC.	BLACKROCK EXECUTION SERVICES	BRADESCO SECURITIES, INC.
BBVA SECURITIES OF PUERTO RICO, INC.	BLACKROCK FUND DISTRIBUTION COMPANY	BRANDT EQUITIES LLC
BC PARTNERS SECURITIES LLC	BLACKROCK INVESTMENTS, LLC	BRASIL PLURAL SECURITIES LLC
BCI SECURITIES, INC.	BLACKSTONE SECURITIES PARTNERS L.P.	
BCP SECURITIES, INC.	BLAYLOCK VAN, LLC	
BCS AMERICAS, INC.		
BD25 -CHILIAN PARTNERS, L.P.		

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BRAVER STERN SECURITIES LLC	CALVERT INVESTMENT DISTRIBUTORS, INC.	CENTAURUS FINANCIAL, INC.
BREAN CAPITAL, LLC	CAMBRIDGE INTERNATIONAL SECURITIES, INC.	CENTERVIEW PARTNERS LLC
BREX TREASURY LLC	CAMBRIDGE INVESTMENT RESEARCH, INC.	CETERA ADVISORS LLC
BRICKELL GLOBAL MARKETS, INC.	CAMPBELL LUTYENS & CO. INC.	CETERA FINANCIAL SPECIALISTS LLC
BRIGHT TRADING, LLC	CANACCORD GENUITY LLC	CETERA INVESTMENT SERVICES LLC
BRIGHTHOUSE SECURITIES, LLC	CANACCORD GENUITY SECURITIES LLC	CETERA WEALTH SERVICES, LLC
BROADHAVEN SECURITIES LLC	CANACCORD WEALTH MANAGEMENT (USA) INC.	CF SECURED, LLC
BROADRIDGE BUSINESS PROCESS OUTSOURCING, LLC	CANTOR FITZGERALD & CO.	CGIS SECURITIES LLC
BROKERTEC AMERICAS LLC	CAPITAL BROKERAGE CORPORATION	CHAMPLAIN ADVISORS, LLC
BROOKFIELD OAKTREE WEALTH SOLUTIONS LLC	CAPITAL CLIENT GROUP, INC.	CHARDAN CAPITAL MARKETS LLC
BROOKFIELD PRIVATE ADVISORS LLC	CAPITAL INSTITUTIONAL SERVICES, INC.	CHARLES RIVER BROKERAGE, LLC
BROOKFIELD SECURITIES LLC	CAPITAL ONE INVESTING, LLC	CHARLES SCHWAB & CO., INC.
BROWN, GIBBONS, LANG & COMPANY SECURITIES, LLC	CAPITAL ONE SECURITIES, INC.	CHASE INVESTMENT SERVICES CORP.
BROWNSTONE INVESTMENT GROUP, LLC	CAPSTONE CAPITAL MARKETS LLC	CHICAGO CAPITAL MANAGEMENT, L.P.
BTG PACTUAL US CAPITAL, LLC	CARBON REDUCTION CAPITAL, LLC	CHIMERA SECURITIES, LLC
BTIG, LLC	CARILLON FUND DISTRIBUTORS, INC.	CHINA RENAISSANCE SECURITIES (US) INC.
BUCKLER SECURITIES LLC	CARTA CAPITAL MARKETS, LLC	CHOPPER SECURITIES, LLC
BUILDER ADVISOR GROUP, LLC	CARTY, HARDING & HEARN, INC.	CIBC WORLD MARKETS CORP.
BURKE & QUICK PARTNERS LLC	CASGRAIN & COMPANY (USA) LIMITED	CICC US SECURITIES, INC.
BYRD TRADING, LLC	CASTLEOAK SECURITIES, LP	CIMB SECURITIES (USA), INC.
C&C TRADING L.L.C.	CBOE FIXED INCOME MARKETS, LLC	CITADEL CLEARING LLC
C. L. KING & ASSOCIATES, INC.	CBOE TRADING, INC.	CITADEL SECURITIES INSTITUTIONAL LLC
C6 CAPITAL SECURITIES LLC	CBRE CAPITAL ADVISORS, INC.	CITADEL SECURITIES LLC
CABRERA CAPITAL MARKETS, LLC	CCA CAPITAL LLC	CITI ORDER ROUTING AND EXECUTION, LLC
CAIN BROTHERS & COMPANY, LLC	CCB INTERNATIONAL	CITIC SECURITIES INTERNATIONAL USA, LLC
CAIS CAPITAL LLC	OVERSEAS (USA) INC.	CITICORP SECURITIES SERVICES, INC.
CALAMOS FINANCIAL SERVICES LLC	CCS TRANSACTIONS, LLC	CITIGROUP DERIVATIVES MARKETS INC.
		CITIGROUP GLOBAL MARKETS INC.

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CITIZENS CAPITAL MARKETS, INC.	COMERICA SECURITIES, INC.	CREDIT SUISSE PRIVATE ADVISORS AG
CITIZENS JMP SECURITIES, LLC	COMHAR CAPITAL MARKETS, LLC	CREDIT SUISSE SECURITIES (USA) LLC
CITIZENS SECURITIES, INC.	COMMERZ MARKETS LLC	CREWS & ASSOCIATES, INC.
CITY NATIONAL SECURITIES, INC.	COMMONFUND SECURITIES, INC.	CRITICAL TRADING, LLC
CITY SECURITIES CORPORATION	COMMONWEALTH	CRITICALPOINT PARTNERS
CLARK SECURITIES, INC.	AUSTRALIA SECURITIES LLC	CROFT & BENDER, LP
CLARKSON CAPITAL MARKETS LLC	COMMONWEALTH EQUITY SERVICES, LLC	CROSSTREE CAPITAL SECURITIES, LLC
CLARKSONS SECURITIES, INC.	COMPASS POINT RESEARCH & TRADING, LLC	CROWELL, WEEDON & CO.
CLASS VI SECURITIES, LLC	CONCOURSE FINANCIAL GROUP SECURITIES, INC.	CRT CAPITAL GROUP LLC
CLEAR STREET LLC	CONTINUITY PARTNERS	CSCA CAPITAL ADVISORS, LLC
CLEARPOOL EXECUTION SERVICES, LLC	GROUP, LLC	CSS, LLC
CLEARY GULL INC.	COREBRIDGE CAPITAL SERVICES, INC.	CT COLLATERAL FUNDING, LLC
CLEVELAND RESEARCH COMPANY LLC	CORMARK SECURITIES (USA) LIMITED	CTC, LLC
CLIFTONLARSONALLEN WEALTH ADVISORS, LLC	CORNERSTONE MACRO LLC	CUNA BROKERAGE SERVICES, INC.
CLSA AMERICAS, LLC	CORNERSTONE TRADING, LLC	CURIAN CLEARING, LLC
CMCJL, LLC	CORTVIEW CAPITAL SECURITIES LLC	CURTISWOOD CAPITAL, LLC
CME BD SERVICES, INC.	COUNTRY CLUB FINANCIAL SERVICES, INC.	CURVATURE SECURITIES LLC
CMG SECURITIES LLC	COWEN AND COMPANY, LLC	CUTLER GROUP, LLC
CMT TRADING LLC	COWEN EQUITY FINANCE LP	CUTWATER ASSET MANAGEMENT CORP.
CMZ TRADING, LLC	COWEN EXECUTION SERVICES LLC	CVC FUNDING, LLC
COASTAL SECURITIES, INC.	COWEN PRIME SERVICES LLC	CYNDX ADVISORS LLC
COBRA TRADING, INC.	CP COGENT SECURITIES LP	D. BORAL CAPITAL LLC
CODE ADVISORS LLC	CRAIG-HALLUM CAPITAL GROUP LLC	D.A. DAVIDSON & CO.
COGNITIVE CAPITAL, LLC	CREDICORP CAPITAL LLC	D.M. KELLY & COMPANY
COHEN & COMPANY CAPITAL MARKETS, LLC	CREDIT AGRICOLE	DAHLMAN ROSE & COMPANY, LLC
COHEN & COMPANY SECURITIES, LLC	CHEUVREUX NORTH AMERICA, INC.	DAIWA CAPITAL MARKETS AMERICA INC.
COLLIERS SECURITIES LLC	CREDIT AGRICOLE SECURITIES (USA) INC.	DANSKE MARKETS INC.
COLLINS/BAY ISLAND SECURITIES, LLC	CREDIT SUISSE CAPITAL LLC	DASH FINANCIAL TECHNOLOGIES LLC
COLUMBIA MANAGEMENT INVESTMENT DISTRIBUTORS, INC.	CREDIT SUISSE PRIME SECURITIES SERVICES (USA) LLC	DAVENPORT & COMPANY LLC
		DAVID LERNER ASSOCIATES, INC.
		DAVIS DISTRIBUTORS, LLC
		DAVY SECURITIES

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DAWSON JAMES SECURITIES, INC.	DV SECURITIES, LLC	ERNST & YOUNG CAPITAL ADVISORS, LLC
DB SECURITIES SERVICES NJ INC.	DVB CAPITAL MARKETS LLC	ESL INVESTMENT SERVICES, LLC
DBO PARTNERS LLC	DWS DISTRIBUTORS, INC.	ESTRADA HINOJOSA & COMPANY, INC.
DBS VICKERS SECURITIES (USA) INC.	DZ FINANCIAL MARKETS LLC	ETC BROKERAGE SERVICES, LLC
DCCA SECURITIES, LLC	E*TRADE CLEARING LLC	EVERCORE GROUP L.L.C.
DEALERWEB LLC	E*TRADE SECURITIES LLC	EXANE, INC.
DELANEY EQUITY GROUP LLC	E.J. DE LA ROSA & CO., INC.	EXECUTION ACCESS, LLC
DELAWARE DISTRIBUTORS, L.P.	EARLYBIRDCAPITAL, INC.	EXOTIX USA INC.
DELOITTE CORPORATE FINANCE LLC	EASTDIL SECURED ADVISORS LLC	FACTSET DATA SYSTEMS, INC.
DESJARDINS SECURITIES INTERNATIONAL INC.	EATON PARTNERS, LLC	FAGENSON & CO., INC.
DEUTSCHE BANK SECURITIES INC.	EATON VANCE DISTRIBUTORS, INC.	FAIRMOUNT PARTNERS LP
DEVELOPMENT CORPORATION FOR ISRAEL	EBX LLC	FELTL & COMPANY
DIFFUSION MARKETS, L.L.C.	ECHOTRADE LLC	FHN FINANCIAL SECURITIES CORP.
DIGITAL BROKERAGE SERVICES LLC	EDGEMONT CAPITAL PARTNERS, L.P.	FIDELITY BROKERAGE SERVICES LLC
DIMENSION TRADING GROUP, LLC	EDWARD D. JONES & CO., L.P.	FIDELITY DISTRIBUTORS COMPANY LLC
DINOSAUR FINANCIAL GROUP, L.L.C	EFG CAPITAL	FIDELITY DISTRIBUTORS CORPORATION
DIRECT EDGE ECN LLC	INTERNATIONAL CORP.	FIDELITY PRIME FINANCING, LLC
DIRECTED SERVICES LLC	EG MARKET TECHNOLOGIES LLC	FIFTH THIRD SECURITIES, INC.
DOFT & CO., INC.	ELECTRONIC TRANSACTION CLEARING, INC.	FIG PARTNERS, LLC
DOMINARI SECURITIES LLC	ELEQUIN SECURITIES LLC	FINANCIAL NORTHEASTERN SECURITIES, INC.
DOUGH LLC	ELEVATION, LLC	FIRST ALLIED SECURITIES, INC.
DOWLING & PARTNERS SECURITIES LLC	EMBED CLEARING LLC	FIRST ANALYSIS SECURITIES CORPORATION
DREXEL HAMILTON, LLC	EMMET & CO, INC.	FIRST BALLANTYNE, LLC
DRIEHAUS SECURITIES LLC	EMPIRICAL RESEARCH PARTNERS LLC	FIRST BANKERS' BANC SECURITIES, INC
DRIVEWEALTH INSTITUTIONAL LLC	EMPOWER FINANCIAL SERVICES, INC.	FIRST BROKERS SECURITIES LLC
DRIVEWEALTH, LLC	EPOCH SECURITIES, INC.	FIRST CITIZENS CAPITAL SECURITIES, LLC
DRW EXECUTION SERVICES, LLC	EQUATEX US INC	
DRW SECURITIES, L.L.C.	EQUILEND LLC	
DUNDEE SECURITIES INC.	EQUITABLE ADVISORS, LLC	
DV EQUITIES, LLC	EQUITABLE DISTRIBUTORS, LLC	
	EQUITEC PROPRIETARY MARKETS, LLC	
	EQUITEC SPECIALISTS, LLC	
	EQUITYZEN SECURITIES LLC	

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FIRST CITIZENS INVESTOR SERVICES, INC.	FMSBONDS, INC.	GBM INTERNATIONAL, INC.
FIRST CITIZENS SECURITIES CORPORATION	FMX EXECUTION, LLC	GBS RETIREMENT SERVICES, INC.
FIRST CLEARING, LLC	FOCALPOINT SECURITIES, LLC	GDK, INC.
FIRST COMMAND BROKERAGE SERVICES, INC.	FOLGER NOLAN FLEMING	GE CAPITAL MARKETS, INC.
FIRST DERIVATIVE TRADERS, L.P.	DOUGLAS INCORPORATED	GENESIS CAPITAL, LLC
FIRST EMPIRE SECURITIES, INC.	FOLIO INVESTMENTS, INC.	GEORGE K. BAUM & COMPANY
FIRST HORIZON ADVISORS, INC.	FORESTERS FINANCIAL SERVICES, INC.	GEORGESON SECURITIES CORPORATION
FIRST MANHATTAN SECURITIES LLC	FORETHOUGHT DISTRIBUTORS, LLC	GETCO EXECUTION SERVICES LLC
FIRST NATIONAL CAPITAL MARKETS, INC.	FORGE MARKETS LLC	GETCO SECURITIES, LLC
FIRST NEW YORK SECURITIES L.L.C.	FORGE SECURITIES LLC	GFI SECURITIES LLC
FIRST PUBLIC, LLC	FOX RIVER EXECUTION TECHNOLOGY, LLC	GLEACHER & COMPANY SECURITIES, INC.
FIRST REPUBLIC SECURITIES COMPANY, LLC	FRANKLIN DISTRIBUTORS, LLC	GLICKENHAUS & CO.
FIRST SOUTHWEST COMPANY, LLC	FRANKLIN/TEMPLETON DISTRIBUTORS, INC.	GLOBAL ALTERNATIVE INVESTMENT SERVICES, INC.
FIRST TRUST PORTFOLIOS L.P.	FREEDOM INVESTMENTS, INC.	GLOBAL EXECUTION BROKERS, LP
FIRST TRYON SECURITIES, LLC	FROST BROKERAGE SERVICES, INC.	GLOBAL HUNTER SECURITIES, LLC
FIRST WINSTON SECURITIES, INC.	FS INVESTMENT SOLUTIONS, LLC	GMP SECURITIES, LLC
FIRSTTRADE SECURITIES INC.	FSC SECURITIES CORPORATION	GOLDMAN SACHS & CO. LLC
FIS BROKERAGE & SECURITIES SERVICES LLC	FSP INVESTMENTS LLC	GOLDMAN SACHS
FIXED INCOME CAPITAL PARTNERS, LLC	FT GLOBAL CAPITAL, INC.	EXECUTION & CLEARING, L.P.
FLAGSTAR ADVISORS INC	FTI CAPITAL ADVISORS, LLC	GOLDMAN SACHS FINANCIAL MARKETS, L.P.
FLEXTRADE LLC	FTP SECURITIES LLC	GORDON DYAL & CO., LLC
FLORIDA ATLANTIC SECURITIES CORP.	FUTU CLEARING INC	GP BULLHOUND INC.
FLOURISH FINANCIAL LLC	G-2 TRADING,LLC	GRAY WHALE CAPITAL, LLC
FLOW TRADERS U.S.	G-BAR LIMITED	GREAT PACIFIC SECURITIES
INSTITUTIONAL TRADING LLC	PARTNERSHIP	GREAT POINT CAPITAL LLC
FLOW TRADERS U.S. LLC	G-TRADE SERVICES LLC	GREEN PIER FINTECH LLC
FM PARTNERS HOLDINGS LLC	G1 EXECUTION SERVICES, LLC	GREEN STREET ADVISORS, LLC
FMI CAPITAL ADVISORS, INC.	G2 TRADING LLC	GREENSLEDGE CAPITAL MARKETS LLC
	GAGNON SECURITIES, LLC	GRiffin CAPITAL SECURITIES, LLC
	GALAXY DIGITAL PARTNERS LLC	GRiffin FINANCIAL GROUP, LLC
	GALLAGHER SECURITIES, INC	GRIFFITHS MCBURNEY CORP.
	GAMMA SECURITIES, LLC	
	GARGOYLE STRATEGIC INVESTMENTS L.L.C.	

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GROUP ONE TRADING LLC	HEFREN-TILLOTSON, INC.	IDB CAPITAL CORP.
GROVE POINT INVESTMENTS, LLC	HENNION & WALSH, INC.	IEX SERVICES LLC
GTS EXECUTION SERVICES LLC	HERBERT J. SIMS & CO, INC.	IFC HOLDINGS, INC.
GTS SECURITIES LLC	HFF SECURITIES L.P.	IMA WEALTH, INC.
GUARDIAN INVESTOR SERVICES LLC	HIGHTOWER SECURITIES, LLC	IMC EXECUTION SERVICES LLC
GUGGENHEIM DISTRIBUTORS, LLC	HIIVE MARKETS LIMITED	IMC SECURITIES LLC
GUGGENHEIM FUNDS	HILLTOP SECURITIES INC.	IMC-CHICAGO, LLC
DISTRIBUTORS, LLC	HOLD BROTHERS CAPITAL LLC	IMPERIAL CAPITAL, LLC
GUGGENHEIM INVESTOR SERVICES, LLC	HOME FINANCIAL SERVICES, INC.	INCENTER SECURITIES GROUP LLC
GUGGENHEIM SECURITIES, LLC	HOULIHAN LOKEY ADVISORS, LLC	INCENTRUM SECURITIES, LLC
GUZMAN & COMPANY	HOULIHAN LOKEY CAPITAL, INC.	INDEPENDENCE POINT SECURITIES LLC
GWN SECURITIES INC.	HOVDE GROUP, LLC	INDEPENDENT FINANCIAL GROUP, LLC
GX2 SPREAD MARKETS, LLC	HOWARD WEIL	INDUSTRIAL AND COMMERCIAL BANK OF
H2C SECURITIES INC.	INCORPORATED	CHINA FINANCIAL SERVICES LLC
HAITONG SECURITIES USA LLC	HRT FINANCIAL LP	ING FINANCIAL MARKETS LLC
HALES SECURITIES, LLC	HSBC SECURITIES (USA) INC.	INGALLS & SNYDER, LLC
HANCOCK WHITNEY INVESTMENT SERVICES INC.	HUATAI SECURITIES (USA), INC.	INSIGNEO INTERNATIONAL
HANDELSBANKEN MARKETS SECURITIES, INC.	HUB INTERNATIONAL	FINANCIAL SERVICES LLC
HANSON MCCLAIN	INVESTMENT SERVICES INC.	INSIGNEO SECURITIES, LLC
RETIREMENT NETWORK, LLC	HULL PARTNERS LLC	INSPEREX LLC
HANTZ FINANCIAL SERVICES, INC.	HUNT FINANCIAL SECURITIES, LLC	INSTITUTIONAL CASH DISTRIBUTORS, LLC
HAPOALIM SECURITIES USA, INC.	HUNTINGTON SECURITIES, INC.	INTELLIGENT CROSS LLC
HARD EIGHT TRADING, LLC	IB CAPITAL LLC	INTERACTIVE BROKERS CORP.
HARDCASTLE TRADING USA L.L.C.	IBERIA CAPITAL PARTNERS L.L.C.	INTERACTIVE BROKERS LLC
HARRIS WILLIAMS LLC	IBKR SECURITIES SERVICES LLC	INTERCOASTAL CAPITAL MARKETS, INC.
HARTFORD FUNDS DISTRIBUTORS, LLC	IBS HOLDING CORPORATION	INTERNATIONAL STRATEGY & INVESTMENT GROUP LLC
HAYWOOD SECURITIES (USA) INC.	ICAPITAL MARKETS LLC	INTESA SANPAOLO IMI SECURITIES CORP.
HBK GLOBAL SECURITIES L.P.	ICBC STANDARD SECURITIES INC.	INTL FILLMORE ADVISORS LLC
HEALTHCARE COMMUNITY SECURITIES CORP.	ICE BONDS SECURITIES CORPORATION	INTREPID INVESTMENT BANKERS LLC
	ICE SECURITIES EXECUTION & CLEARING, LLC	INTREPID PARTNERS, LLC
	ICM SECURITIES, LLC	INVEMED ASSOCIATES LLC
	ICR CAPITAL LLC	

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INVESCO CAPITAL MARKETS, INC.	JEFFERIES HIGH YIELD TRADING, LLC	L.M. KOHN & COMPANY
INVESCO DISTRIBUTORS, INC.	JEFFERIES LLC	LADDER CAPITAL SECURITIES
INVESTACORP, INC.	JOE JOLLY & CO., INC.	LLC
INVESTEC SECURITIES (US) LLC	JOHN HANCOCK DISTRIBUTORS LLC	LADBURG THALMANN & CO. INC.
INVESTMENT CENTERS OF AMERICA, INC.	JOHN HANCOCK INVESTMENT MANAGEMENT	LAFISE SECURITIES LLC
INVESTMENT DISTRIBUTORS, INC.	DISTRIBUTORS LLC	LAKE STREET CAPITAL
INVESTMENT PLACEMENT GROUP	JOHNSON RICE & COMPANY	MARKETS, LLC
INVEX, LLC.	L.L.C.	LAKESHORE SECURITIES, L.P.
ITAU BBA USA SECURITIES, INC.	JONES LANG LASALLE SECURITIES, LLC	LARRAINVIAL SECURITIES US
ITAU INTERNATIONAL SECURITIES INC.	JONESTRADING	LLC
IVY DISTRIBUTORS, INC.	INSTITUTIONAL SERVICES LLC	LASALLE INVESTMENT
J. WOOD CAPITAL ADVISORS LLC	JPMORGAN DISTRIBUTION	MANAGEMENT
J.J.B. HILLIARD, W.L. LYONS, LLC	SERVICES, INC.	DISTRIBUTORS, LLC
J.P. MORGAN CLEARING CORP.	JUMP EXECUTION, LLC	LATOUR TRADING LLC
J.P. MORGAN INSTITUTIONAL INVESTMENTS INC.	JUMP TRADING, LLC	LAVAFLOW, INC.
J.P. MORGAN PRIME INC.	JVB FINANCIAL GROUP, LLC	LAZARD FRERES & CO. LLC
J.P. MORGAN SECURITIES LLC	JWTT INC.	LAZARD MIDDLE MARKET LLC
J.W. COLE FINANCIAL, INC.	KBC SECURITIES USA LLC	LEERINK PARTNERS LLC
JACKSON NATIONAL LIFE DISTRIBUTORS LLC	KEEFE, BRUYETTE & WOODS, INC.	LEK SECURITIES
JAG TRADING L.L.C.	KEPLER CAPITAL MARKETS, INC.	CORPORATION
JANE STREET CAPITAL, LLC	KEY INVESTMENT SERVICES	LEONIS SECURITIES, LLC
JANE STREET EXECUTION SERVICES, LLC	LLC	LEVEL MARKETS, LLC
JANE STREET MARKETS, LLC	KEYBANC CAPITAL MARKETS	LFT SECURITIES, LLC
JANNEY MONTGOMERY SCOTT LLC	INC.	LIFESCI CAPITAL, LLC
JANUS HENDERSON DISTRIBUTORS US LLC	KGS-ALPHA CAPITAL	LIGHTSPEED FINANCIAL
JEFFERIES BACHE SECURITIES, LLC	MARKETS, L.P.	SERVICES GROUP LLC
JEFFERIES EXECUTION SERVICES, INC.	KIPPSDESANTO & COMPANY	LIME TRADING CORP
	KKR CAPITAL MARKETS II LLC	LINCOLN FINANCIAL
	KKR CAPITAL MARKETS LLC	DISTRIBUTORS, INC.
	KMS FINANCIAL SERVICES, INC.	LINCOLN INTERNATIONAL
	KNIGHT CAPITAL AMERICAS, L.P.	LLC
	KOYOTE TRADING LLC	LINCOLN INVESTMENT
	KPMG CORPORATE FINANCE	PLANNING, LLC
	LLC	LIONTREE ADVISORS LLC
	KROLL SECURITIES LLC	LIQUIDITYEDGE, LLC.
	L.J. HART AND COMPANY	LIQUIDNET, INC.
		LIQUIDPOINT, LLC
		LLOYDS SECURITIES INC.
		LOEB PARTNERS
		CORPORATION
		LOMBARD ODIER
		TRANSATLANTIC LIMITED
		LOOP CAPITAL MARKETS LLC

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LORD ABBETT DISTRIBUTOR LLC	MERCER ALLIED COMPANY, L.P.	MKM PARTNERS LLC
LPL FINANCIAL LLC	MERCHANT CAPITAL, L.L.C.	MMA SECURITIES LLC
LUMA SECURITIES LLC	MERIDIAN CAPITAL LLC	MMC SECURITIES LLC
LUMENT SECURITIES, LLC	MERRILL LYNCH FINANCIAL	MML INVESTORS SERVICES, LLC
LUPO SECURITIES, LLC	MARKETS, INC.	MND PARTNERS, INC.
M&I FINANCIAL ADVISORS, INC.	MERRILL LYNCH	MOCHO TRADING LLC
M&T SECURITIES, INC.	PROFESSIONAL CLEARING CORP.	MOELIS & COMPANY LLC
M1 FINANCE LLC	MERRILL LYNCH, PIERCE, FENNER & SMITH	MOG CAPITAL L.L.C.
M3 INVESTMENT GROUP LLC	INCORPORATED	MOMENTUS SECURITIES LLC
MACQUARIE CAPITAL	MERUS CAPITAL PARTNERS, LLC	MONEX SECURITIES, INC.
MARKETS NORTH AMERICA LTD.	MESIROW FINANCIAL, INC.	MONEY CONCEPTS CAPITAL CORP
MACRO RISK ADVISORS LLC	METLIFE INVESTORS	MONTEC SECURITIES LLC
MAN INVESTMENTS INC.	DISTRIBUTION COMPANY	MONTMINY SECURITIES, LLC
MANULIFE JOHN HANCOCK BROKERAGE SERVICES LLC	METRIC POINT CAPITAL, LLC	MOOMOO FINANCIAL INC.
MAPLE SECURITIES U.S.A. INC.	MFR SECURITIES, INC.	MOORELAND PARTNERS LLC
MARATHON CAPITAL MARKETS, LLC	MFS FUND DISTRIBUTORS, INC.	MOORS & CABOT, INC.
MAREX CAPITAL MARKETS INC.	MICROVENTURE	MORGAN JOSEPH
MAREX NORTH AMERICA SECURITIES LLC	MARKETPLACE INC.	TRIARTISAN LLC
MARIVA CAPITAL MARKETS, LLC	MIDDLEGATE SECURITIES INC.	MORGAN KEEGAN & COMPANY, LLC
MARKETAXESS CORPORATION	MIDLAND SECURITIES, LTD	MORGAN STANLEY & CO. LLC
MARKS BAUGHAN SECURITIES LLC	MILLBURN RIDGEFIELD LLC	MORGAN STANLEY
MARSHBERRY CAPITAL, LLC.	MILLCO ADVISORS, LP	DISTRIBUTION, INC.
MATTHEWS SOUTH, LLC	MILLENNIUM ADVISORS, LLC	MORGAN STANLEY SMITH
MAVEN GLOBAL MARKETS TRADING LLP	MILLER BUCKFIRE & CO., LLC	BARNEY LLC
MBS CAPITAL MARKETS, LLC	MILLER TABAK + CO., LLC	MORNINGSTAR INVESTMENT SERVICES LLC
MBX CLEARING LLC	MINT BROKERS	MOTIF INVESTING, INC.
MCADAMS WRIGHT RAGEN, INC.	MIRAE ASSET SECURITIES (USA) INC.	MOUNTAINSIDE SECURITIES LLC
MCAP LLC	MIRAE ASSET WEALTH MANAGEMENT (USA) INC.	MOUNTAINVIEW SECURITIES, LLC
MEDIOBANCA SECURITIES USA LLC	MISCHLER FINANCIAL GROUP, INC.	MPS GLOBAL SECURITIES, LLC
	MITCHELL ENERGY ADVISORS, LLC	MS SECURITIES SERVICES INC.
	MIURA CAPITAL, LLC	MSEC, LLC
	MIZUHO SECURITIES CANADA INC.	MSI FINANCIAL SERVICES, INC.
	MIZUHO SECURITIES USA LLC	MTS SECURITIES, LLC
		MUFG AMERICAS
		CORPORATE ADVISORY, INC.

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MUFG SECURITIES AMERICAS INC.	NETREX CAPITAL MARKETS, LLC	OBD SECURITIES LLC
MULTI-BANK SECURITIES, INC.	NETWORK 1 FINANCIAL SECURITIES INC.	OCTEG, LLC
MURIEL SIEBERT & CO., LLC	NEUBERGER BERMAN BD LLC	ODDO BHF NEW YORK CORPORATION
MUTUAL OF AMERICA LIFE INSURANCE COMPANY	NEUBERGER BERMAN MANAGEMENT LLC	ODEON CAPITAL GROUP LLC
N. B. ZOULLAS SECURITIES, INC.	NEW ENGLAND SECURITIES CORPORATION	OHIO NATIONAL EQUITIES, INC.
N.A. INVESTCORP LLC	NEWEDGE SECURITIES, LLC	OLD MISSION CAPITAL, LLC
N.I.S. FINANCIAL SERVICES, INC.	NEWEDGE USA, LLC	OLD MISSION MARKETS LLC
NABSECURITIES, LLC	NEWPORT GROUP SECURITIES, INC.	ONEAMERICA SECURITIES, INC.
NASDAQ EXECUTION SERVICES, LLC	NEXBANK SECURITIES INC	ONPEAK CAPITAL LLC
NASDAQ OPTIONS SERVICES, LLC	NFSTX, LLC	OPEN TO THE PUBLIC INVESTING, INC.
NATALLIANCE SECURITIES, LLC	NH INVESTMENT & SECURITIES AMERICA, INC.	OPENDOOR SECURITIES LLC
NATHAN HALE CAPITAL, LLC	NOLITA CAPITAL, LLC	OPPENHEIMER & CO. INC.
NATIONAL BANK OF CANADA FINANCIAL INC.	NOMURA GLOBAL FINANCIAL PRODUCTS INC.	OPPENHEIMERFUNDS DISTRIBUTOR, INC.
NATIONAL FINANCIAL SERVICES LLC	NOMURA GREENTECH CAPITAL ADVISORS, LLC	OPTIONSHOUSE, LLC
NATIONAL PLANNING CORPORATION	NOMURA SECURITIES INTERNATIONAL, INC.	OPTIONSXPRESS, INC.
NATIONAL SECURITIES CORPORATION	NOMURA SECURITIES NORTH AMERICA, LLC	OPTIVER EXECUTION SERVICES LLC
NATIONWIDE FUND DISTRIBUTORS LLC	NORDEA SECURITIES LLC	OPTIVER US LLC
NATIONWIDE SECURITIES, LLC	NORTH BRIDGE CAPITAL, LLC	ORIENTAL FINANCIAL SERVICES LLC
NATIXIS DERIVATIVES INC.	NORTH POINT MERGERS AND ACQUISITIONS, INC.	OSAIC FA, INC.
NATIXIS DISTRIBUTION, LLC	NORTHERN TRUST SECURITIES, INC.	OSAIC FS, INC.
NATIXIS SECURITIES AMERICAS LLC	NORTHLAND SECURITIES, INC.	OSAIC SERVICES, INC.
NATWEST MARKETS SECURITIES INC.	NORTHSTAR SECURITIES, LLC	OSAIC WEALTH, INC.
NAVY FEDERAL INVESTMENT SERVICES, LLC	NORTHWESTERN MUTUAL INVESTMENT SERVICES, LLC	OSCAR GRUSS & SON INCORPORATED
NBC SECURITIES, INC.	NUMIS SECURITIES INC.	OTA LLC
NBF SECURITIES (USA) CORP.	NUVEEN SECURITIES, LLC	OTC LINK LLC
NEEDHAM & COMPANY, LLC	NYLIFE DISTRIBUTORS LLC	P.J. ROBB VARIABLE, LLC
NELNET CAPITAL LLC	NYLIFE SECURITIES LLC	PACER FINANCIAL, INC.
	O'NEIL SECURITIES INCORPORATED	PACIFIC CENTURY SECURITIES, LLC
	OASIS PRO MARKETS LLC	PACIFIC CREST SECURITIES LLC
		PACIFIC SELECT DISTRIBUTORS, LLC
		PALAFOX TRADING LLC
		PARADIGM EQUITIES, INC.
		PARETO SECURITIES INC.

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PARIS SECURITIES CORPORATION	PLANMEMBER SECURITIES CORPORATION	QUANTITATIVE BROKERS, LLC
PARK AVENUE SECURITIES LLC	PMA SECURITIES, LLC	QUANTLAB SECURITIES LP
PARK HILL REAL ESTATE GROUP LLC	PNC CAPITAL MARKETS LLC	QUASAR DISTRIBUTORS, LLC
PARK SUTTON SECURITIES, LLC	PNC INVESTMENTS LLC	QUESTAR CAPITAL
PARKLAND SECURITIES, LLC	POPULAR SECURITIES, LLC	CORPORATION
PAULSON INVESTMENT COMPANY LLC	PORTIGON SECURITIES INC.	QUIET LIGHT SECURITIES, LLC
PAYCHEX SECURITIES CORPORATION	POTAMUS TRADING, LLC	R. F. LAFFERTY & CO., INC.
PEAK6 CAPITAL MANAGEMENT LLC	PRAGMA LLC	R. SEELAUS & CO., LLC
PEAK6 PERFORMANCE MANAGEMENT LLC	PRECISION SECURITIES, LLC	R.J. O'BRIEN SECURITIES, LLC
PEAK6 VEGA MASTER FUND LLC	PREFERRED CAPITAL SECURITIES, LLC	R.W.PRESPRICH & CO.
PENSERRA SECURITIES, LLC	PRICEWATERHOUSECOOPE RS CORPORATE FINANCE LLC	RABO SECURITIES USA, INC.
PENSON FINANCIAL SERVICES, INC.	PRIME CAPITAL MARKETS, LLC	RAFFERTY CAPITAL
PEOPLE'S SECURITIES, INC.	PRIME DEALER SERVICES CORP.	MARKETS, LLC
PERELLA WEINBERG PARTNERS LP	PRIMERICA BROKERAGE SERVICES, INC.	RAINE SECURITIES LLC
PERFORMANCE TRUST CAPITAL PARTNERS, LLC	PRINCIPAL FUNDS DISTRIBUTOR, INC.	RAINIER SECURITIES, LLC
PERSHING ADVISOR SOLUTIONS LLC	PRINCIPAL SECURITIES, INC.	RAMAT SECURITIES LTD.
PERSHING LLC	PROBITAS FUNDS GROUP, LLC	RAYMOND JAMES & ASSOCIATES, INC.
PFM FUND DISTRIBUTORS, INC.	PROSPERA FINANCIAL SERVICES, INC.	RAYMOND JAMES (USA) LTD.
PFS INVESTMENTS INC.	PRUCO SECURITIES, LLC.	RAYMOND JAMES FINANCIAL SERVICES, INC.
PGM GLOBAL INC.	PRUDENTIAL ANNUITIES DISTRIBUTORS, INC	RAYMOND JAMES INSURANCE GROUP, INC.
PHILLIP CAPITAL INC.	PRUDENTIAL INVESTMENT MANAGEMENT SERVICES LLC	RB INTERNATIONAL MARKETS (USA) LLC
PHOENIX DERIVATIVES GROUP, LLC	PTR, INC.	RBC CAPITAL MARKETS, LLC
PHX FINANCIAL, INC.	PUBLIC VENTURES, LLC	RBC CMA LTD
PICTET OVERSEAS INC.	PULSE TRADING, INC.	RCI LIMITED PARTNERSHIP
PIMCO INVESTMENTS LLC	PUNDION LLC	REALCADRE LLC
PIPELINE TRADING SYSTEMS LLC	PURESTREAM, LLC	RED CEDAR TRADING, LLC
PIPER Sandler & CO.	PUTNAM RETAIL MANAGEMENT LIMITED	REDBIRD BD, LLC
PJT PARTNERS LP	PARTNERSHIP Q ADVISORS LLC	REDBURN (USA) LLC
	QATALYST PARTNERS LP	REDI GLOBAL TECHNOLOGIES LLC
	QUAD CAPITAL, LLC	REGAL SECURITIES, INC.
	QUAD SECURITIES LLC	REGIONS SECURITIES LLC
		RELATED FINANCIAL SERVICES, LLC
		RENCAP SECURITIES, INC.
		REPUBLIC PARTNERS, LLC
		RESOLUTE INVESTMENT DISTRIBUTORS, INC.
		REUTERS TRANSACTION SERVICES LLC

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RGM SECURITIES, LLC	SANDERS MORRIS HARRIS INC.	SG AMERICAS SECURITIES, LLC
RIVERSOURCE DISTRIBUTORS, INC.	SANDLER, O'NEILL & PARTNERS, L.P.	SHAY FINANCIAL SERVICES, INC.
RIVERSTONE CAPITAL SERVICES LLC	SANFORD C. BERNSTEIN & CO., LLC	SHEA & COMPANY, LLC
ROBERT W. BAIRD & CO. INCORPORATED	SANTANDER INTERNATIONAL SECURITIES, INC.	SHEARMAN, RALSTON INC.
ROBINHOOD FINANCIAL, LLC	SANTANDER INVESTMENT SECURITIES INC.	SHELBY CULLOM DAVIS & CO., L.P.
ROBINHOOD SECURITIES, LLC	SANTANDER SECURITIES LLC	SHERMAN & COMPANY LLC
ROCKEFELLER FINANCIAL LLC	SBERBANK CIB USA, INC	SIEBERT WILLIAMS SHANK & CO., LLC
RODMAN & RENSHAW, LLC	SCHRODER FUND ADVISORS LLC	SIERRA PACIFIC SECURITIES, LLC
RONIN CAPITAL, LLC	SCOTIA CAPITAL (USA) INC.	SIGMA FINANCIAL CORPORATION
ROOSEVELT & CROSS, INCORPORATED	SCOTT & STRINGFELLOW, LLC	SIGNAL HILL CAPITAL GROUP LLC
ROSELAINE SECURITIES LLC	SCOTTRADE, INC.	SIGNATOR INVESTORS, INC.
ROSENBLATT SECURITIES INC.	SCOUT TRADING, LLC	SII INVESTMENTS, INC.
ROSENTHAL GLOBAL SECURITIES LLC	SEA OTTER SECURITIES GROUP LLC	SIMMONS & COMPANY INTERNATIONAL
ROSS, SINCLAIRE & ASSOCIATES, LLC	SEAPORT GLOBAL SECURITIES LLC	SIMMONS FIRST INVESTMENT GROUP, INC.
ROTH CAPITAL PARTNERS, LLC	SEAPORT SECURITIES CORP.	SIMON MARKETS LLC
ROTHSCHILD & CO US INC.	SEARLE & CO.	SIXPOINT PARTNERS LLC
ROYCE FUND SERVICES, LLC	SEATTLE-NORTHWEST SECURITIES CORPORATION	SMBC NIKKO SECURITIES AMERICA, INC.
RQD* CLEARING, LLC	SEB SECURITIES, INC.	SMBC NIKKO SECURITIES CANADA, LTD.
RUANE, CUNNIFF & GOLDFARB LLC	SECURIAN FINANCIAL SERVICES, INC.	SOFI SECURITIES LLC
RUSSELL INVESTMENTS FINANCIAL SERVICES, LLC	SECURITIES AMERICA, INC.	SOLEBURY CAPITAL LLC
RUSSELL INVESTMENTS IMPLEMENTATION SERVICES, LLC	SECURITIES MANAGEMENT AND RESEARCH, INC.	SOLOMON PARTNERS SECURITIES, LLC
SABADEF SECURITIES USA, INC.	SECURITIES SERVICE NETWORK, LLC	SOUTH STREET SECURITIES LLC
SAFRA SECURITIES LLC	SECURITY DISTRIBUTORS, LLC	SOUTHSTATE DUNCANWILLIAMS SECURITIES CORP.
SAL EQUITY TRADING, GP	SEI INVESTMENTS DISTRIBUTION CO.	SPARTAN CAPITAL SECURITIES, LLC
SAMCO CAPITAL MARKETS, INC.	SENTINEL FINANCIAL SERVICES COMPANY	SPC CAPITAL MARKETS LLC
SAMI BROKERAGE LLC	SENVEST INTERNATIONAL L.L.C.	SPHINX TRADING, LP
SAMMONS FINANCIAL NETWORK, LLC	SETTER CAPITAL INC.	SPIDERROCK EXS, LLC
SAMSUNG SECURITIES (AMERICA), INC.	SEVEN POINTS CAPITAL, LLC	SPOT TRADING L.L.C.

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SPROTT GLOBAL RESOURCE INVESTMENTS LTD	STUDENT OPTIONS, LLC	TD AMERITRADE CLEARING, INC.
SPURRIER CAPITAL PARTNERS LLC	SUMMIT BROKERAGE SERVICES, INC.	TD AMERITRADE, INC.
SS&C MARKET SERVICES, LLC	SUMMIT SECURITIES GROUP LLC	TD ARRANGED SERVICES LLC
STANDARD CHARTERED SECURITIES NORTH AMERICA LLC	SUMRIDGE PARTNERS, LLC	TD FINANCIAL PRODUCTS LLC
STANDARD CREDIT SECURITIES INC.	SUN LIFE FINANCIAL DISTRIBUTORS, INC.	TD PRIME SERVICES LLC
STANDARD NEW YORK, INC.	SUNGARD INSTITUTIONAL BROKERAGE INC.	TD PRIVATE CLIENT WEALTH LLC
STASH CAPITAL LLC	SUNRISE BROKERS LLC	TD SECURITIES (USA) LLC
STATE FARM VP MANAGEMENT CORP.	SUSQUEHANNA FINANCIAL GROUP, LLLP	TEACHERS PERSONAL INVESTORS SERVICES, INC.
STATE STREET GLOBAL ADVISORS FUNDS DISTRIBUTORS, LLC	SUSQUEHANNA SECURITIES, LLC	TELEMETRY SECURITIES, L.L.C.
STATE STREET GLOBAL MARKETS, LLC	SVB INVESTMENT SERVICES INC.	TFS DERIVATIVES LLC
STEBEN & COMPANY, LLC	SVB SECURITIES, A NON-BANK AFFILIATE OF SILICON VALLEY BANK	THE BAKER GROUP, LP
STEPHENS INC.	SWBC INVESTMENT SERVICES, LLC	THE BANK STREET GROUP L.L.C.
STERLING SMITH CORPORATION	SWEDBANK SECURITIES US, LLC	THE BENCHMARK COMPANY, LLC
STERLING, GRACE MUNICIPAL SECURITIES CORPORATION	SWENEY CARTWRIGHT & COMPANY	THE BUCKINGHAM RESEARCH GROUP
STERN BROTHERS & CO.	SWISS RE CAPITAL MARKETS CORPORATION	INCORPORATED
STERNE, AGEE & LEACH, INC.	SYCAMORE FINANCIAL GROUP	THE GMS GROUP, LLC
STEWARD PARTNERS INVESTMENT SOLUTIONS, LLC	SYNOVUS SECURITIES, INC.	THE KLEIN GROUP, LLC
STIFEL, NICOLAUS & COMPANY, INCORPORATED	SYSTEMATIZED BENEFITS ADMINISTRATORS, INC.	THE LEADERS GROUP, INC.
STOCKCROSS FINANCIAL SERVICES, INC.	T. ROWE PRICE INVESTMENT SERVICES, INC.	THE O.N. EQUITY SALES COMPANY
STOEVER, GLASS & COMPANY INC.	T.R. WINSTON & COMPANY, LLC	THE VERTICAL TRADING GROUP, LLC
STONE & YOUNGBERG LLC	T3 TRADING GROUP, LLC	THE WILLIAMS CAPITAL GROUP, L.P.
STONEPEAK SECURITIES LLC	TALCOTT RESOLUTION DISTRIBUTION COMPANY, INC.	THINKEQUITY LLC
STONEX FINANCIAL INC.	TCBI SECURITIES, INC.	THOMA BRAVO CAPITAL MARKETS, LLC
STORMHARBOUR SECURITIES LP	TCG CAPITAL MARKETS L.L.C.	THOMAS WEISEL PARTNERS LLC
STOUT CAPITAL, LLC		THREE BROTHERS TRADING, LLC
STRATEGAS SECURITIES, LLC		THRIVENT DISTRIBUTORS, LLC
		THRIVENT INVESTMENT MANAGEMENT INC.

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TIAA-CREF INDIVIDUAL & INSTITUTIONAL SERVICES, LLC	TRANSAMERICA CAPITAL, LLC	UNITED CAPITAL MARKETS, INC.
TIBRA TRADING AMERICA LLC	TRANSAMERICA FINANCIAL ADVISORS, LLC	UNITED PLANNERS' FINANCIAL SERVICES OF AMERICA A LIMITED PARTNER
TICKRS CLEARING TICONDEROGA SECURITIES LLC	TRANSAMERICA INVESTORS SECURITIES, LLC	UNIVEST SECURITIES, LLC
TIDAL PARTNERS, LLC	TRANSMARKET GROUP L.L.C.	US TIGER SECURITIES, INC.
TIGRESS FINANCIAL PARTNERS, LLC	TRB CAPITAL MARKETS, LLC	USAA FINANCIAL ADVISORS, INC.
TJM INVESTMENTS, LLC	TRC MARKETS LLC	USAA INVESTMENT SERVICES COMPANY
TM CAPITAL CORP.	TRIAD ADVISORS LLC	UVEST FINANCIAL SERVICES GROUP, INC.
TMC BONDS L.L.C.	TRIBAL CAPITAL MARKETS, LLC	VALLEY FINANCIAL MANAGEMENT, INC.
TMT INVESTMENTS, LLC	TRIPLETREE, LLC	VALLEY FORGE ASSET MANAGEMENT, LLC
TORA TRADING SERVICES, LLC	TRUIST INVESTMENT SERVICES, INC.	VAN ECK SECURITIES CORPORATION
TORO TRADING LLC	TRUIST SECURITIES, INC.	VAN LANSCHOT KEMPEN (USA) INC.
TOUCHSTONE SECURITIES, INC.	TRUMID FINANCIAL LLC	VANAHEIM SECURITIES, LLC
TOURMALINE PARTNERS, LLC	TUDOR PICKERING HOLT & CO ADVISORS LP	VANGUARD MARKETING CORPORATION
TOWER PRINCIPAL MARKETS LLC	TUDOR, PICKERING, HOLT & CO. SECURITIES, LLC	VAQUERO CAPITAL LLC
TOWER SQUARE SECURITIES, INC.	TULLETT PREBON FINANCIAL SERVICES LLC	VECTORGLOBAL WMG, INC.
TOWERS WATSON CAPITAL MARKETS INC.	TWEEDY, BROWNE COMPANY LLC	VELOCITY CAPITAL, LLC
TOYOTA FINANCIAL SERVICES SECURITIES USA CORPORATION	TWO RIVERS TRADING GROUP LLC	VELOX CLEARING LLC
TP ICAP GLOBAL MARKETS AMERICAS LLC	TWO SIGMA EXECUTION SERVICES, LLC	VICENTE & PARTNERS LLC
TPG CAPITAL BD, LLC	TWO SIGMA SECURITIES, LLC	VINING-SPARKS IBG, LLC
TRADE INFORMATICS LLC	TZ CLEAR LLC	VIRTU AMERICAS LLC
TRADE-PMR INC.	UBS ASSET MANAGEMENT (US) INC.	VIRTU FINANCIAL BD LLC
TRADEBOT SYSTEMS, INC.	UBS FINANCIAL SERVICES INC.	VIRTU FINANCIAL CAPITAL MARKETS LLC
TRADELINK L.L.C.	UBS FINANCIAL SERVICES INCORPORATED OF PUERTO RICO	VIRTU ITG LLC
TRADELINK SECURITIES, LLC	ULLICO INVESTMENT COMPANY, LLC	VIRTUS CAPITAL MARKETS LLC
TRADEMAS INC.	UMB FINANCIAL SERVICES, INC.	VISION FINANCIAL MARKETS LLC
TRADEUP SECURITIES, INC.	UNICREDIT CAPITAL MARKETS LLC	VISTA POINT ADVISORS, LLC
TRADEWEB DIRECT LLC		VOLANT LIQUIDITY, LLC
TRADEWEB L.L.C		VOYA FINANCIAL ADVISORS, INC.
TRADITION SECURITIES AND DERIVATIVES LLC		

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VOYA FINANCIAL PARTNERS, LLC	WOLVERINE EXECUTION SERVICES, LLC
VOYA INVESTMENTS DISTRIBUTOR, LLC	WOLVERINE SECURITIES, LLC
VOYA RETIREMENT ADVISORS, LLC	WOLVERINE TRADING, LLC
VP DISTRIBUTORS LLC	WOODBURY FINANCIAL SERVICES, INC.
VRA PARTNERS, LLC	WOODROCK SECURITIES, L.P.
WACHTEL & CO., INC.	WORLD GROUP SECURITIES, INC.
WADDELL & REED, INC.	WR SECURITIES, LLC
WAKE USA LLC	WSA FIXED INCOME, LLC
WALL STREET ACCESS	X-CHANGE FINANCIAL ACCESS, LLC
WALLACHBETH CAPITAL LLC	XAMBALA CAPITAL, LLC
WALNUT STREET SECURITIES, INC.	XMS CAPITAL PARTNERS, LLC
WEALTHFRONT BROKERAGE LLC	XP INVESTMENTS US, LLC
WEBBUSH SECURITIES INC.	XR SECURITIES LLC
WEEDEN & CO.L.P.	XTELLUS CAPITAL PARTNERS, INC.
WELLINGTON SHIELDS & CO., LLC	XTX EXECUTION SERVICES LLC
WELLS FARGO ADVISORS FINANCIAL NETWORK, LLC	XTX MARKETS LLC
WELLS FARGO CLEARING SERVICES, LLC	ZEBED SECURITIES, LLC
WELLS FARGO INSTITUTIONAL SECURITIES, LLC	ZELMAN PARTNERS, LLC
WELLS FARGO PRIME SERVICES, LLC	ZEUS FINANCIAL, LLC
WESTMINSTER RESEARCH ASSOCIATES LLC	ZIONS DIRECT, INC.
WESTPAC CAPITAL MARKETS LLC	ZIV INVESTMENT COMPANY
WILLIAM BLAIR & COMPANY L.L.C.	
WILLIAMS TRADING LLC	
WILLIS TOWERS WATSON SECURITIES, LLC	
WILMINGTON BROKERAGE SERVICES COMPANY	
WILSON-DAVIS & CO., INC.	
WINTRUST INVESTMENTS LLC	