FORM 2

ANNUAL REPORT FORM

Registered public accounting firms must provide their annual report and any amendments thereto to the PCAOB by completing and submitting this Form according to the instructions to Form 2.

It is important to refer to the instructions when completing each item of the Form. The Firm is responsible for completing each item according to those instructions, and should not merely rely on the Firm's own interpretation of the item descriptions appearing in this Form.

Terms that appear in italics have specific defined meanings that the Firm must apply in completing this Form. The definitions are found in PCAOB Rule 1001.

PART I - IDENTITY OF THE FIRM AND CONTACT PERSONS

In Part I, the Firm should provide information that is current as of the date of the certification in Part X.

ITEM 1.1 - NAME OF THE FIRM

a. Firm legal name

Ernst & Young LLP

b. Other names used in *audit reports*	
c. Former legal names	

ITEM 1.2 - CONTACT INFORMATION OF THE FIRM

a. Physical address of the Firm's headquarters office	Mailing address ☑ Same as physical address
Country: United States	Country:
Street address 1: One Manhattan West	Street address 1:
Street address 2: 395 9th Avenue	Street address 2:
City: New York	City:
State/Province: New York	State/Province:
Non-U.S. State/Province:	Non-U.S. State/Province:
Zip/Postal code: 10001	Zip/Postal code:

b. Telephone number of the Firm's headquarters office (incl. country and area codes)

1-212-773-3000

Facsimile number of the Firm's headquarters office (incl. country and area codes)

NA

Website address of the Firm

www.ey.com

Italicized terms are defined in PCAOB Rule 1001. The Firm must apply those definitions in completing this Form.

ITEM 1.3 - PRIMARY CONTACT WITH THE *BOARD*	
Name	
Family Name (last name) Newton	Given name (first name) Michele
Business title Partner, Director of PCAOB Regulatory Monitoring	
Physical business address Country United States	Business mailing address ☑ Same as physical address Country
Street address 1 One Manhattan West	Street address 1
Street address 2 395 9th Avenue	Street address 2
City New York	City
State/Province New York	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 10001	Zip/Postal code
Business telephone number (incl. country and area codes) 1-212-773-6272	
Business facsimile number (incl. country and area codes) NA	
Business e-mail address michele.newton@ey.com	

Italicized terms are defined in PCAOB Rule 1001. The Firm must apply those definitions in completing this Form.

PART II - GENERAL INFORMATION CONCERNING THIS REPORT

ITEM 2.1 - REPORTING PERIOD

State the reporting period covered by this report.

Note: The reporting period, which the Firm should enter in Item 2.1, is the period beginning on April 1 of the year before the year in which the annual report is required to be filed and ending March 31 of the year in which the annual report is required to be filed. That is the period referred to where this Form refers to the "reporting period." Note, however, the special instruction at the beginning of Part VIII concerning the first annual report filed by certain firms.

Start of reporting period (mm/dd/yyyy)	End of reporting period (mm/dd/yyyy)
4/1/2025	3/31/2026

ITEM 2.2 - AMENDMENTS

If this is an amendment to a report previously filed with the *Board* -

a. Indicate, by checking the box corresponding to this item, that this is an amendment. ☐

b. Identify the specific Part or Item numbers of this Form (other than this Item 2.2) as to which the Firm's response has changed from that provided in the most recent Form 2 or amended Form 2 filed by the Firm with respect to the reporting period.

- ☐ Part I, Identity of the Firm and Contact Persons
- Part III, General Information Concerning the Firm
  - ☐ Item 3.1, The Firm's Practice Related to the Registration Requirement
    - Item 3.2, Fees Billed to *Issuer Audit* Clients
      - ☐ Item 3.2.a.1, *Audit Services*
      - ☐ Item 3.2.a.2, *Other Accounting Services*
      - ☐ Item 3.2.a.3, *Tax Services*
      - ☐ Item 3.2.a.4, *Non-audit Services*
      - ☐ Item 3.2.b, Calculation Method
      - ☐ Item 3.2.c, Estimated Percentages
  - ☐ Item 3.3, *Foreign Registered Public Accounting Firm*'s Designation of U.S. Agent
  - ☐ Incomplete Responses Due to Asserted Non-US Legal Restrictions
- ☐ Part IV, *Audit* Clients and *Audit Reports*
- ☐ Part V, Offices and Affiliations
- Part VI, Personnel
  - Item 6.1, Number of Firm Personnel
    - ☐ Item 6.1.a, Total Number of *Accountants*
    - ☐ Item 6.1.b, Total Number of CPA's
    - ☐ Item 6.1.c, Total Number of Personnel
  - ☐ Incomplete Responses Due to Asserted Non-US Legal Restrictions
- Part VII, Certain Relationships
  - Item 7.1, Individuals with Certain Disciplinary or Other Histories
    - ☐ Item 7.1.a, Relationship Exists
    - ☐ Item 7.1.b, Individuals
  - Item 7.2, Entities with Certain Disciplinary or Other Histories
    - ☐ Item 7.2.a, Relationship Exists
    - ☐ Item 7.2.b, Entities
  - Item 7.3, Certain Arrangements to Receive Consulting or Other Professional Services
    - ☐ Item 7.3.a, Arrangement Exists
    - ☐ Item 7.3.b, Entities
  - ☐ Incomplete Responses Due to Asserted Non-US Legal Restrictions
- ☐ Part VIII, Acquisition of Another *Public Accounting Firm* or Substantial Portions of Another *Public Accounting Firm's Personnel*
- ☐ *Part IX, Affirmation of Consent*
- ☐ *Part X, Certification of Firm*

  If you check this box, use the text field below to describe the error or omission in Part X as previously filed and to supply the information as it should have been provided in the previous submission. Use Part X of this amended form only to certify the amended form, not to supply corrections to the previous form.

- Part XI, Exhibits
  - ☐ Exhibit 3.2, Description of Methodology Used to Estimate Components of Calculation in Item 3.2 and Reason for Using Estimates
  - ☐ Exhibit 99.1, Request for Confidential Treatment

☐ Exhibit 99.3, Materials Required by Rule 2207(c)(2)-(4)

Italicized terms are defined in PCAOB Rule 1001. The Firm must apply those definitions in completing this Form.

PART III - GENERAL INFORMATION CONCERNING THE FIRM

ITEM 3.1 - THE FIRM'S PRACTICE RELATED TO THE REGISTRATION REQUIREMENT

a. Indicate whether the Firm issued any *audit report* with respect to an *issuer* during the reporting period.	(•) Yes ( ) No
b. In the event of an affirmative response to Item 3.1.a, indicate whether the *issuers* with respect to which the Firm issued *audit reports* during the reporting period were limited to employee benefit plans that file reports with the *Commission* on Form 11-K.	[ ] Yes [x] No
c. In the event of a negative response to Item 3.1.a, indicate whether the Firm *played a substantial role in the preparation or furnishing of an audit report* with respect to an *issuer* during the reporting period.	[ ] Yes [ ] No
d. Indicate whether the Firm issued any *audit report* with respect to any *broker* or *dealer* during the reporting period.	[x] Yes [ ] No
e. In the event of a negative response to Item 3.1.d, indicate whether the Firm *played a substantial role in the preparation or furnishing of an audit report* with respect to a *broker* or *dealer* during the reporting period.	[ ] Yes [ ] No

ITEM 3.2 - FEES BILLED TO *ISSUER AUDIT* CLIENTS

The option to request confidential treatment for information provided in Item 3.2 is available only to *foreign registered public accounting firms*.

a. Of the total fees billed by the Firm to all clients for services that were rendered in the reporting period, state the percentage (which may be rounded, but no less specifically than to the nearest five percent) attributable to fees billed to *issuer audit* clients for–

	CA	CR		CA	CR
1. *Audit services*	[ ]	[ ]	2. *Other accounting services*	[ ]	[ ]
17			1		
3. *Tax services*	[ ]	[ ]	4. *Non-audit services*	[ ]	[ ]
2			0		

b. Indicate, by checking the appropriate box, which of the following two methods the Firm used to calculate the percentages reported in Item 3.2.a – CA [ ] CR [ ]

( ) 1. The Firm used as a denominator the total fees billed to all clients for services rendered during the reporting period and used as numerators (for each of the four categories) total fees billed to *issuer audit* clients for the relevant services rendered during the reporting period.

(•) 2. The Firm used as a denominator the total fees billed to all clients in the Firm's fiscal year that ended during the reporting period and used as numerators (for each of the four categories) total *issuer audit* client fees as determined by reference to the fee amounts disclosed to the *Commission* by those clients for each client's fiscal year that ended during the reporting period (including, for clients who have not made the required *Commission* filings, the fee amounts required to be disclosed).

c. If the Firm has used a reasonable method to estimate the components of the calculations described in Item 3.2.b, rather than using the specific data, check this box and attach Exhibit 3.2 briefly describing the reasons for doing so and the methodology used in making those estimates. CA [ ] CR [ ] [x]

Note: In responding to Item 3.2, careful attention should be paid to the definitions of the italicized terms, which are found in *Board Rules* 1001(i)(iii) (*issuer*), 1001(a)(v) (*audit*), 1001(a)(vii) (*audit services*), 1001(o)(i) (*other accounting services*), 1001(t)(i) (*tax services*), and 1001(n)(ii) (*non-audit services*). The definitions of the four categories of services correspond to the *Commission*'s descriptions of the services for which an *issuer* must disclose fees paid to its auditor. Compare the descriptions of services in Item 9(e) of *Commission* Schedule 14A (17 C.F.R. § 240.14a-101) under the headings "Audit Fees," "Audit-Related Fees," "Tax Fees," and "All Other Fees" with, respectively, the *Board*'s definitions of *Audit Services*, *Other Accounting Services, Tax Services*, and *Non-Audit Services*.

Italicized terms are defined in PCAOB Rule 1001. The Firm must apply those definitions in completing this Form.

ITEM 3.3 - *FOREIGN REGISTERED PUBLIC ACCOUNTING FIRM*'S DESIGNATION OF U.S. AGENT

a. If the Firm is a *foreign registered public accounting firm* that has designated to the *Commission* or *Board* an agent in the United States upon whom the *Commission* or the *Board* may serve any request to the Firm under Section 106 of the *Act* or any process, pleading, or other papers in any action against the Firm to enforce Section 106 of the *Act,* check here and enter the name and address of the designated agent. ☐

1. Agent Name	
2. Agent Address	
2a. Street Address 1	2d. State
2b. Street Address 2	2e. Zip Code
2c. City	

b. If the Firm is a *foreign registered public accounting firm* and did not check the box for Item 3.3.a, indicate by checking "yes" or "no" whether the Firm has, since July 21, 2010, (1) performed material services upon which another *registered public accounting firm* relied in the conduct of an audit or interim review, (2) issued an audit report, (3) performed audit work, or (4) performed interim reviews. ☐ Yes ☐ No

Note: If the Firm checks "yes" for Item 3.3.b, the Firm must immediately provide to the *Commission* or the *Board* the designation required by Section 106(d)(2) of the *Act*.

Note: If the Firm checks "no" for Item 3.3.b, and the Firm later performs any of the activities identified in Section 106(d)(2) of the Act, the Firm must immediately provide to the *Commission* or the *Board* the designation required by Section 106(d)(2) of the *Act*.

Note: If the Firm has previously designated an agent for service to the *Commission* or *Board* , the Firm must immediately communicate any change in the name or address of the agent to the *Commission* or *Board* .

INCOMPLETE RESPONSES DUE TO ASSERTED NON-U.S. LEGAL RESTRICTIONS

If the Firm is a *foreign registered public accounting firm* that, in responding to Part III, has either withheld certain information, or declined to request certain information from relevant third parties, on the ground that the Firm cannot provide the information to the *Board* on this Form 2 without violating non-U.S. law, the Firm must identify here all items -- and only those items -- with respect to which there is any information that the Firm has actually withheld or declined to request.

☐ 3.1.c	☐ 3.1.e	☐ 3.2.a.1	☐ 3.2.a.2	☐ 3.2.a.3
☐ 3.2.a.4	☐ 3.2.b	☐ 3.2.c	☐ 3.3.a	☐ 3.3.b

Italicized terms are defined in PCAOB Rule 1001. The Firm must apply those definitions in completing this Form.

PART IV - *AUDIT* CLIENTS AND *AUDIT REPORTS*

ITEM 4.1 - *AUDIT REPORTS* ISSUED BY THE FIRM FOR *ISSUERS*

a. Provide the following information concerning each *issuer* for which the Firm issued any *audit report*(s) during the reporting period -

1. *Issuer* name

WestRock Company (acquired by Smurfit Westrock plc and included in Smurfit Westrock plc filings)

2. *Issuer* CIK (Central Index Key) number, if any

1732845 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

4/3/2025	11/12/2025	

1. *Issuer* name

Quanterix Corporation

2. *Issuer* CIK (Central Index Key) number, if any

1503274 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

4/4/2025	4/10/2025	5/20/2025
6/3/2025	7/11/2025	12/22/2025
1/15/2026	3/2/2026	3/5/2026

1. *Issuer* name

CRESCENT BIOPHARMA, INC. (formerly GlycoMimetics, Inc.)

2. *Issuer* CIK (Central Index Key) number, if any

1253689 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

4/4/2025	4/28/2025	5/12/2025

1. *Issuer* name

MaverickMergerSub2LLC(fmlyMrCooperGroupInc acq by RocketCompaniesInc&incld inRocketCompaniesIncflgs)

2. *Issuer* CIK (Central Index Key) number, if any

933136 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

4/7/2025	4/29/2025	5/1/2025
7/1/2025	7/2/2025	7/25/2025
10/1/2025		

1. *Issuer* name

PAYCOR HCM, INC. (acquired by Paychex, Inc. and included in Paychex, Inc. filings)

2. *Issuer* CIK (Central Index Key) number, if any

1839439 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

4/8/2025	6/27/2025	

1. *Issuer* name
BIO-PATH HOLDINGS, INC.

2. *Issuer* CIK (Central Index Key) number, if any
1133818 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

4/11/2025	5/16/2025	

1. *Issuer* name
Guggenheim Credit Income Fund(incld in G C I Fund & G C I Fund 2016 T & G C I Fund 2019 filings)

2. *Issuer* CIK (Central Index Key) number, if any
1618697 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

4/14/2025		

1. *Issuer* name
Guggenheim Credit Income Fund 2016 T(incld in G C I Fund 2016 T & G C I Fund & G C I Fund 2019 flgs)

2. *Issuer* CIK (Central Index Key) number, if any
1618694 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

4/14/2025		

1. *Issuer* name
Guggenheim Credit Income Fund2019(incld in G C I Fund 2019 & G C I Fund 2016 T & G C I Fund filings)

2. *Issuer* CIK (Central Index Key) number, if any
1618696 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

4/14/2025		

1. *Issuer* name
QXO BUILDING PRODUCTS, INC. (frmly Beacon Roofing Supply Inc acq by QXO INC & incld in QXO INC flgs)

2. *Issuer* CIK (Central Index Key) number, if any
1124941 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

4/16/2025	4/29/2025	

1. *Issuer* name
SENSATA TECHNOLOGIES HOLDING PLC

2. *Issuer* CIK (Central Index Key) number, if any

1477294 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

4/17/2025		

1. *Issuer* name

SL GREEN OPERATING PSHIP LP (incld in both SL GREEN REALTY CORP & SL GREEN OPERATING PSHIP LP flgs)

2. *Issuer* CIK (Central Index Key) number, if any

1492869 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

4/17/2025	6/20/2025	2/17/2026

1. *Issuer* name

SL GREEN REALTY CORP(incld in both SL GREEN REALTY CORP and SL GREEN OPERATING PARTNERSHIP, LP flgs)

2. *Issuer* CIK (Central Index Key) number, if any

1040971 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

4/17/2025	6/20/2025	2/17/2026

1. *Issuer* name

Enfusion, Inc.(acq by ClearwaterAnalyticsHoldingsInc &incld in ClearwaterAnalyticsHoldingsInc flgs)

2. *Issuer* CIK (Central Index Key) number, if any

1868912 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

4/21/2025		

1. *Issuer* name

LONGLEAF PARTNERS FUNDS TRUST

2. *Issuer* CIK (Central Index Key) number, if any

806636 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

4/28/2025	11/28/2025	

1. *Issuer* name

WOODMENLIFE VARIABLE ANNUITY ACCOUNT

2. *Issuer* CIK (Central Index Key) number, if any

1205700 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

4/28/2025		

1. *Issuer* name

Prospector Funds Inc (reorg w/Managed Portfolio Series & incld in Managed Portfolio Series filings)

2. *Issuer* CIK (Central Index Key) number, if any

1402472 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

4/29/2025		

1. *Issuer* name

FS SpecialtyLndngFnd(reorg w/FS SpecialtyLndngFnd fmr NewFSSpecialtyLndngFnd &incld in FS SLF flgs)

2. *Issuer* CIK (Central Index Key) number, if any

1501729 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

4/30/2025	6/13/2025	

1. *Issuer* name

PIONEER SERIES TRUST II

2. *Issuer* CIK (Central Index Key) number, if any

1265389 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

4/30/2025		

1. *Issuer* name

Principal National Life Insurance Company Variable Life Separate Account

2. *Issuer* CIK (Central Index Key) number, if any

1526622 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

4/30/2025	10/29/2025	12/18/2025

1. *Issuer* name

Principal Life Insurance Company Variable Life Separate Account

2. *Issuer* CIK (Central Index Key) number, if any

812797 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

4/30/2025		

1. *Issuer* name

Farm Bureau Life Variable Account

2. *Issuer* CIK (Central Index Key) number, if any

811713 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

4/30/2025

1. *Issuer* name

Principal Life Insurance Company Separate Account B

2. *Issuer* CIK (Central Index Key) number, if any

9713 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

4/30/2025 | 10/14/2025

1. *Issuer* name

GABELLI CAPITAL SERIES FUNDS, INC.

2. *Issuer* CIK (Central Index Key) number, if any

901246 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

4/30/2025

1. *Issuer* name

FORWARD AIR CORPORATION

2. *Issuer* CIK (Central Index Key) number, if any

912728 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

5/7/2025 | 6/13/2025 | 11/19/2025

3/11/2026

1. *Issuer* name

Generation Bio Co.

2. *Issuer* CIK (Central Index Key) number, if any

1733294 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

5/7/2025

1. *Issuer* name

LionsgateStudiosHldgCrp(fmrLionsgateStudiosCrpfmrStudioBsnssofLionsGateEntmtCrp&incldinLS Corp flg)

2. *Issuer* CIK (Central Index Key) number, if any

2006191 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

5/7/2025

1. *Issuer* name

Cidara Therapeutics, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

1610618 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

5/8/2025	8/7/2025	

1. *Issuer* name

Managed Portfolio Series (reorg w/ TortoiseCptlSeriesTrst & incld in TortoiseCptlSeriesTrst filings)

2. *Issuer* CIK (Central Index Key) number, if any

1511699 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

5/9/2025	6/13/2025	7/11/2025
3/30/2026		

1. *Issuer* name

DEXCOM, INC.

2. *Issuer* CIK (Central Index Key) number, if any

1093557 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

5/9/2025	2/12/2026	

1. *Issuer* name

Maravai LifeSciences Holdings, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

1823239 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

5/12/2025	2/26/2026	

1. *Issuer* name

Republic Airways Holdings Inc. (formerly Mesa Air Group, Inc.)

2. *Issuer* CIK (Central Index Key) number, if any

810332 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

5/13/2025	7/10/2025	8/14/2025
9/12/2025	9/29/2025	11/20/2025

1. *Issuer* name

CNH INDUSTRIAL N.V.

2. *Issuer* CIK (Central Index Key) number, if any

1567094 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

5/14/2025

1. *Issuer* name
Clearside Biomedical, Inc.

2. *Issuer* CIK (Central Index Key) number, if any
1539029 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

5/15/2025

1. *Issuer* name
APPLE HOSPITALITY REIT, INC.

2. *Issuer* CIK (Central Index Key) number, if any
1418121 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

5/21/2025 2/23/2026

1. *Issuer* name
SANDY SPRING BANCORP INC(mrg w/AtlanticUnionBanksharesCorp&incld in AtlanticUnionBanksharesCorpflgs)

2. *Issuer* CIK (Central Index Key) number, if any
824410 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

5/21/2025

1. *Issuer* name
Dakota Gold Corp.

2. *Issuer* CIK (Central Index Key) number, if any
1852353 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

5/27/2025 7/24/2025 3/25/2026

1. *Issuer* name
MORGAN STANLEY MRTGE SECURITIES TRUST(mrgr w/MorganStnlyETF Trst &incld in MorganStnlyETF Trst flgs)

2. *Issuer* CIK (Central Index Key) number, if any
806564 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

5/28/2025

1. *Issuer* name
FTAI Aviation Ltd.

2. *Issuer* CIK (Central Index Key) number, if any
1590364 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

5/29/2025	2/27/2026	

1. *Issuer* name

Maiden Holdings, Ltd. (merger with Kestrel Group Ltd and included in Kestrel Group Ltd filings)

2. *Issuer* CIK (Central Index Key) number, if any

1412100 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

6/3/2025		

1. *Issuer* name

JUNIPER NETWORKS INC(acq byHewlettPackardEnterpriseCo &incld in JNPR NTWRKS INC&HPEnterpriseCo flgs)

2. *Issuer* CIK (Central Index Key) number, if any

1043604 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

6/12/2025	9/5/2025	

1. *Issuer* name

RAPT Therapeutics, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

1673772 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

6/20/2025		

1. *Issuer* name

Tortoise Energy Infrastructure Corporation

2. *Issuer* CIK (Central Index Key) number, if any

1268533 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

6/20/2025	7/29/2025	8/5/2025

1. *Issuer* name

TortoiseSust&SocImpTrmFnd(fmrEcofinSust&SocImpTrmFnd &incld inTortoiseEnergyInfrastructureCorp flgs)

2. *Issuer* CIK (Central Index Key) number, if any

1704299 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

6/20/2025	7/29/2025	8/5/2025

1. *Issuer* name

ImmunityBio, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

1326110 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

6/23/2025	2/23/2026	

1. *Issuer* name

POWERFLEET, INC.

2. *Issuer* CIK (Central Index Key) number, if any

1774170 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

6/26/2025		

1. *Issuer* name

QUIDELORTHO CORPORATION

2. *Issuer* CIK (Central Index Key) number, if any

1906324 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

6/26/2025	2/18/2026	

1. *Issuer* name

CalciMedica, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

1534133 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

6/27/2025		

1. *Issuer* name

Black Stone Minerals, L.P.

2. *Issuer* CIK (Central Index Key) number, if any

1621434 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

6/27/2025	2/24/2026	

1. *Issuer* name

Victory Capital Holdings, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

1570827 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

6/30/2025	2/26/2026	

1. *Issuer* name

Midstream Co LLC (formerly Equitrans Midstream Corp)(Acq by EQT Corp & incld in EQT Corp filings)

2. *Issuer* CIK (Central Index Key) number, if any

1747009 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

7/1/2025	7/25/2025	9/10/2025
12/16/2025		

1. *Issuer* name

Rani Therapeutics Holdings, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

1856725 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

7/3/2025	8/8/2025	11/7/2025

1. *Issuer* name

Alpha Teknova, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

1850902 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

7/10/2025		

1. *Issuer* name

CURIOSITYSTREAM INC.

2. *Issuer* CIK (Central Index Key) number, if any

1776909 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

7/10/2025		

1. *Issuer* name

Forge Global Holdings, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

1827821 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

7/15/2025	1/30/2026	

1. *Issuer* name

MRC GLOBAL INC. (acquired by DNOW Inc. and included in DNOW Inc. filings)

2. *Issuer* CIK (Central Index Key) number, if any

1439095 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

7/23/2025	1/9/2026	

1. *Issuer* name
DNOW INC.

2. *Issuer* CIK (Central Index Key) number, if any
1599617 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

7/23/2025	11/10/2025	2/25/2026

1. *Issuer* name
Weitz Funds

2. *Issuer* CIK (Central Index Key) number, if any
1257927 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

7/29/2025		

1. *Issuer* name
Clearway Energy, Inc.

2. *Issuer* CIK (Central Index Key) number, if any
1567683 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

8/6/2025	2/24/2026	

1. *Issuer* name
Palladyne AI Corp.

2. *Issuer* CIK (Central Index Key) number, if any
1826681 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

8/6/2025	1/9/2026	

1. *Issuer* name
FUNKO, INC.

2. *Issuer* CIK (Central Index Key) number, if any
1704711 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

8/7/2025	3/12/2026	

1. *Issuer* name
Axsome Therapeutics, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

1579428 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

8/7/2025	11/3/2025	

1. *Issuer* name

GINKGO BIOWORKS HOLDINGS, INC.

2. *Issuer* CIK (Central Index Key) number, if any

1830214 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

8/7/2025	2/26/2026	

1. *Issuer* name

AstriaTherapeuticsInc(acq byBioCrystPharmaInc&incld inAstriaTherapeuticsInc &BioCrystPharmaInc flgs)

2. *Issuer* CIK (Central Index Key) number, if any

1454789 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

8/12/2025	11/20/2025	12/15/2025
1/23/2026		

1. *Issuer* name

LiveWire Group, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

1898795 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

8/18/2025	2/20/2026	

1. *Issuer* name

BILL Holdings, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

1786352 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

8/28/2025		

1. *Issuer* name

Dine Brands Global, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

49754 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

9/3/2025	2/25/2026	

1. *Issuer* name

Solid Power, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

1844862 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

9/5/2025	1/28/2026	2/24/2026

1. *Issuer* name

GENESCO INC.

2. *Issuer* CIK (Central Index Key) number, if any

18498 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

9/16/2025	3/25/2026	

1. *Issuer* name

Wayfair Inc.

2. *Issuer* CIK (Central Index Key) number, if any

1616707 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

9/19/2025	2/19/2026	

1. *Issuer* name

Vital Energy, Inc. (acq by Crescent Energy Company and included in Crescent Energy Company filings)

2. *Issuer* CIK (Central Index Key) number, if any

1528129 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

9/19/2025	10/22/2025	12/15/2025
3/6/2026		

1. *Issuer* name

THE ARBITRAGE FUNDS

2. *Issuer* CIK (Central Index Key) number, if any

1105076 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

9/26/2025		

1. *Issuer* name

ALTSHARES TRUST

2. *Issuer* CIK (Central Index Key) number, if any

1779306 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

9/26/2025		

1. *Issuer* name

COMERICA INCORPORATED(acq byFifthThirdBancorp & incld in both COMERICA INC & FifthThirdBancorp flgs)

2. *Issuer* CIK (Central Index Key) number, if any

28412 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

11/5/2025	1/26/2026	3/4/2026

1. *Issuer* name

Maximus, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

1032220 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

11/20/2025		

1. *Issuer* name

NEWRYL HLDCO I INC(fmrGldnEnrtmtInc.AcqbyVICI PrprtsInc&incldinbthNewRyl Hldco I Inc&VICI PrprtsInc)

2. *Issuer* CIK (Central Index Key) number, if any

1071255 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

12/5/2025	2/27/2026	3/4/2026

1. *Issuer* name

Ryerson Holding Corporation

2. *Issuer* CIK (Central Index Key) number, if any

1481582 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

12/5/2025	1/13/2026	2/13/2026
2/23/2026		

1. *Issuer* name

ARCTURUS THERAPEUTICS HOLDINGS INC.

2. *Issuer* CIK (Central Index Key) number, if any

1768224 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

12/30/2025	3/3/2026	

1. *Issuer* name

Arcellx, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

1786205 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

1/2/2026	2/26/2026	

1. *Issuer* name

New Age Alpha Funds Trust

2. *Issuer* CIK (Central Index Key) number, if any

2013853 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

1/27/2026		

1. *Issuer* name

BASSETT FURNITURE INDUSTRIES, INCORPORATED

2. *Issuer* CIK (Central Index Key) number, if any

10329 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

2/5/2026	3/20/2026	

1. *Issuer* name

Rexford Industrial Realty, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

1571283 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

2/10/2026		

1. *Issuer* name

SILICON LABORATORIES INC.

2. *Issuer* CIK (Central Index Key) number, if any

1038074 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

2/10/2026		

1. *Issuer* name

BrightSpire Capital, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

1717547 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

2/18/2026 | 2/26/2026 |

1. *Issuer* name
Upbound Group, Inc.

2. *Issuer* CIK (Central Index Key) number, if any
933036 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

2/23/2026 | | |

1. *Issuer* name
Monarch Casino & Resort, Inc.

2. *Issuer* CIK (Central Index Key) number, if any
907242 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

2/23/2026 | | |

1. *Issuer* name
STIFEL FINANCIAL CORP.

2. *Issuer* CIK (Central Index Key) number, if any
720672 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

2/24/2026 | | |

1. *Issuer* name
Marqeta, Inc.

2. *Issuer* CIK (Central Index Key) number, if any
1522540 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

2/24/2026 | | |

1. *Issuer* name
RECURSION PHARMACEUTICALS, INC.

2. *Issuer* CIK (Central Index Key) number, if any
1601830 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

2/25/2026 | | |

1. *Issuer* name
Hess Midstream LP

2. *Issuer* CIK (Central Index Key) number, if any
1789832 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

2/25/2026

1. *Issuer* name

ARCBEST CORPORATION

2. *Issuer* CIK (Central Index Key) number, if any

894405 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

2/25/2026

1. *Issuer* name

Expensify, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

1476840 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

2/26/2026

1. *Issuer* name

NATIONAL HEALTHCARE CORPORATION

2. *Issuer* CIK (Central Index Key) number, if any

1047335 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

2/26/2026

1. *Issuer* name

IRONWOOD PHARMACEUTICALS, INC.

2. *Issuer* CIK (Central Index Key) number, if any

1446847 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

2/26/2026

1. *Issuer* name

SCHOLAR ROCK HOLDING CORPORATION

2. *Issuer* CIK (Central Index Key) number, if any

1727196 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

3/3/2026

1. *Issuer* name

MersanaTherapeutics,Inc.(acqbyDayOneBiopharmaceuticals,Inc&incld inDayOneBiopharmaceuticals,Incflgs)

2. *Issuer* CIK (Central Index Key) number, if any

1442836 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

3/9/2026

1. *Issuer* name

VINEBROOK HOMES TRUST, INC.

2. *Issuer* CIK (Central Index Key) number, if any

1755755 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

3/11/2026

1. *Issuer* name

PAGERDUTY, INC.

2. *Issuer* CIK (Central Index Key) number, if any

1568100 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

3/12/2026

1. *Issuer* name

W&T OFFSHORE, INC.

2. *Issuer* CIK (Central Index Key) number, if any

1288403 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

3/16/2026

1. *Issuer* name

Planet Labs PBC

2. *Issuer* CIK (Central Index Key) number, if any

1836833 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☑ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

3/23/2026

b. If the Firm identified any *issuer*s in response to Item 4.1.a., indicate, by checking the box corresponding to the appropriate range set out below, the total number of Firm personnel who exercised the authority to sign the Firm's name to an *audit report,* for an *issuer*, during the reporting period. If the Firm checks the box indicating that the number is in the range of 1-9, provide the exact number.

○ 1-9 Exact Number:
○ 10-25
○ 26-50
○ 51-100
○ 101-200
◉ More than 200

Note: In responding to Item 4.1(a), careful attention should be paid to the definition of *issuer*. The Firm should not, for example, overlook the fact that investment companies may be *issuers*, or that employee benefit plans that file reports on *Commission* Form 11-K are *issuers*.

Note: In responding to Item 4.1, do not list any *issuer* more than once. For each *issuer,* provide in Item 4.1.a.3 the *audit report* dates (as described in AU 530, Dating of the Independent Auditor's Report) of all such *audit reports* for that *issuer*, including each date of any dual-dated *audit report*.

Note: In responding to Item 4.1.a.3, it is not necessary to provide the date of any consent to an *issuer*'s use of an *audit report* previously issued for that *issuer*, except that, if such consents constitute the only instances of the Firm issuing *audit reports* for a particular *issuer* during the reporting period, the Firm should include that *issuer* in Item 4.1 and include the dates of such consents and indicate whether the dates provided correspond to the issuance of a consent to the use of a previously-issued *audit report* in Item 4.1.a.3.

Italicized terms are defined in PCAOB Rule 1001. The Firm must apply those definitions in completing this Form.

ITEM 4.2 - *ISSUER AUDIT REPORTS* WITH RESPECT TO WHICH THE FIRM *PLAYED A SUBSTANTIAL ROLE* DURING THE REPORTING PERIOD

a. If no *issuers* are identified in response to Item 4.1.a, but the Firm *played a substantial role in the preparation or furnishing of an audit report* for an *issuer* that was issued during the reporting period, provide the following information concerning each *issuer* with respect to which the Firm did so -

Note: If the Firm identifies any *issuer* in response to Item 4.1, the Firm need not respond to Item 4.2.

Note: In responding to Item 4.2, do not list any *issuer* more than once.

1. *Issuer* name

2. *Issuer* CIK (Central Index Key) number, if any

☐ Check here, if none

3. Name of the *registered public accounting firm* that issued the *audit report*(s)

4. The end date(s) of the fiscal period(s) covered by the financial statements that were the subject of the *audit report*(s)

5. *Substantial role played* by the Firm with respect to the *audit report*(s)

If other is selected, please enter *substantial role played* below -

Italicized terms are defined in PCAOB Rule 1001. The Firm must apply those definitions in completing this Form.

ITEM 4.3 - *AUDIT REPORTS* ISSUED BY THE FIRM FOR *BROKERS* OR *DEALERS*

a. Provide the following information concerning each *audit report* issued for a *broker* or *dealer* during the reporting period –

1. *Broker*'s or *Dealer*'s name
ABN AMRO Capital Markets (USA) LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
325235

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1966206 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/26/2026

1. *Broker*'s or *Dealer*'s name
ABN AMRO Clearing USA LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
14020

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
772040 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/27/2026

1. *Broker*'s or *Dealer*'s name
ABN AMRO Securities (USA) LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
151796

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1473109 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/26/2026

1. *Broker*'s or *Dealer*'s name
Alight Financial Solutions LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
36509

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
925606 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/16/2026

1. *Broker*'s or *Dealer*'s name
Allspring Funds Distributor, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
133366

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1307977 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/20/2026

1. *Broker*'s or *Dealer*'s name
Aon Securities LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
4138

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
82282 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/2/2026

1. *Broker*'s or *Dealer*'s name
Archipelago Securities, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
102500

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1098157 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/25/2026

1. *Broker*'s or *Dealer*'s name
Archipelago Trading Services, Inc.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
15853

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
759516 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/25/2026

1. *Broker*'s or *Dealer*'s name
Arcola Securities, Inc.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
147952

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1440161 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/24/2026

1. *Broker*'s or *Dealer*'s name
Ares Management Capital Markets, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
166219

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1562245 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/2/2026

1. *Broker*'s or *Dealer*'s name
Ares Wealth Management Solutions, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
119546

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1168034 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/2/2026

1. *Broker*'s or *Dealer*'s name
Ashmore Investment Management (US) Corporation

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
152920

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1482025 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
8/27/2025

1. *Broker*'s or *Dealer*'s name
ASL Capital Markets Inc.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
286115

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1690976 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
8/27/2025

1. *Broker*'s or *Dealer*'s name
Aspida Financial Distributors, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
322843

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1950039 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/30/2026

1. *Broker*'s or *Dealer*'s name
BBVA Securities Inc.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
27060

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
865838 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/23/2026

1. *Broker*'s or *Dealer*'s name
Bethesda Securities, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
281179

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1650209 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/23/2026

1. *Broker*'s or *Dealer*'s name
BGC Financial, L.P.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
19801

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
825904 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/2/2026

1. *Broker*'s or *Dealer*'s name

BOK Financial Securities, Inc.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

17530

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

786671 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

2/6/2026

1. *Broker*'s or *Dealer*'s name

BrokerTec Americas LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

47477

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

1086853 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

2/26/2026

1. *Broker*'s or *Dealer*'s name

CAIS Capital, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

154512

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

1495902 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

2/27/2026

1. *Broker*'s or *Dealer*'s name

Canaccord Genuity LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

1020

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

766050 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

5/30/2025

1. *Broker*'s or *Dealer*'s name

Cantor Fitzgerald & Co.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

134

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

17018 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

3/2/2026

1. *Broker*'s or *Dealer*'s name

Cash App Investing LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

144076

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

1398656 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

3/27/2026

1. *Broker*'s or *Dealer*'s name
Cavanal Hill Distributors, Inc.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
175445

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1636361 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
1/30/2026

1. *Broker*'s or *Dealer*'s name
CF Secured, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
285841

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1688906 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/2/2026

1. *Broker*'s or *Dealer*'s name
Charles River Brokerage, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
132267

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1296050 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/27/2026

1. *Broker*'s or *Dealer*'s name
CIBC World Markets Corp.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
630

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
74654 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
12/15/2025

1. *Broker*'s or *Dealer*'s name
Comerica Securities, Inc.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
17079

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
781875 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
1/30/2026

1. *Broker*'s or *Dealer*'s name
Country Capital Management Company

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
12060

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

25176 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/27/2026

1. *Broker*'s or *Dealer*'s name
CS Enhanced Lending LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
331620

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
2027501 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/31/2026

1. *Broker*'s or *Dealer*'s name
D. E. Shaw Securities, L.L.C.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
24332

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
845368 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/20/2026

1. *Broker*'s or *Dealer*'s name
Deutsche Bank Securities Inc.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
2525

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
58056 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/2/2026

1. *Broker*'s or *Dealer*'s name
eToro USA Securities Inc.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
298361

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1753042 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/13/2026

1. *Broker*'s or *Dealer*'s name
Executive Services Securities, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
25299

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
854822 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/27/2026

1. *Broker*'s or *Dealer*'s name
Federated Securities Corp.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

5009

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

34985 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

3/24/2026

1. *Broker*'s or *Dealer*'s name

Federated Securities Corp.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

5009

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

34985 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

5/30/2025

1. *Broker*'s or *Dealer*'s name

Federated Securities Corp.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

5009

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

34985 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

8/21/2025

1. *Broker*'s or *Dealer*'s name

FEF Distributors, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

46585

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

1075919 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

2/27/2026

1. *Broker*'s or *Dealer*'s name

FMX Execution, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

154075

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

1492598 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

3/2/2026

1. *Broker*'s or *Dealer*'s name

FNB America Securities LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

310408

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

1824758 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

3/30/2026

1. *Broker*'s or *Dealer*'s name

Frost Brokerage Services, Inc.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
17465

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
785701 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/20/2026

1. *Broker*'s or *Dealer*'s name
FS Investment Solutions, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
145244

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1412480 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/26/2026

1. *Broker*'s or *Dealer*'s name
Gallagher Securities, Inc.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
116605

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1146172 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/27/2026

1. *Broker*'s or *Dealer*'s name
GBS Retirement Services, Inc.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
34889

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
911824 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/27/2026

1. *Broker*'s or *Dealer*'s name
GFI Securities LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
19982

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
872651 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/2/2026

1. *Broker*'s or *Dealer*'s name
Greenhill & Co., LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
40290

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1006944 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
5/30/2025

1. *Broker*'s or *Dealer*'s name

GRV Securities LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

138348

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

1344690 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

2/19/2026

1. *Broker*'s or *Dealer*'s name

Hanson McClain Retirement Network, LLC dba AW Securities

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

103747

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

1102483 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

3/30/2026

1. *Broker*'s or *Dealer*'s name

HASI Securities LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

154669

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

1497227 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

3/26/2026

1. *Broker*'s or *Dealer*'s name

Headlands Technologies Securities, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

288960

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

1708825 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

2/24/2026

1. *Broker*'s or *Dealer*'s name

HedgeServ Investment Services LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

154725

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

1497721 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

2/24/2026

1. *Broker*'s or *Dealer*'s name

HRT Financial LP

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

152144

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

1475597 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/23/2026

1. *Broker*'s or *Dealer*'s name
ICE Bonds Securities Corporation

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
123635

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1198401 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/27/2026

1. *Broker*'s or *Dealer*'s name
ICE Securities Execution & Clearing, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
299634

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1760493 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/27/2026

1. *Broker*'s or *Dealer*'s name
Instinet, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
7897

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
310607 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
5/29/2025

1. *Broker*'s or *Dealer*'s name
Jane Street Capital, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
103782

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1103083 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/26/2026

1. *Broker*'s or *Dealer*'s name
Jane Street Execution Services, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
167280

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1572095 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/26/2026

1. *Broker*'s or *Dealer*'s name
Jane Street Options, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
134159

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1315511 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/26/2026

1. *Broker*'s or *Dealer*'s name
John Hancock Distributors, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
5249

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
61916 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/18/2026

1. *Broker*'s or *Dealer*'s name
John Hancock Investment Management Distributors LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
28262

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
872873 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/18/2026

1. *Broker*'s or *Dealer*'s name
Kestra Investment Services, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
42046

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1024460 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/23/2026

1. *Broker*'s or *Dealer*'s name
Key Investment Services LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
136300

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1332032 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/25/2026

1. *Broker*'s or *Dealer*'s name
KeyBanc Capital Markets Inc.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
566

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
724391 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/25/2026

1. *Broker*'s or *Dealer*'s name
Lightspeed Financial Services Group LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
104369

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1122636 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
8/29/2025

1. *Broker*'s or *Dealer*'s name
Lincoln Financial Distributors, Inc.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
145

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
19033 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/30/2026

1. *Broker*'s or *Dealer*'s name
Lord Abbett Distributor LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
530

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
60364 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
11/19/2025

1. *Broker*'s or *Dealer*'s name
Manulife John Hancock Brokerage Services LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
150842

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1467070 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/18/2026

1. *Broker*'s or *Dealer*'s name
Mint Brokers

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
13681

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
719188 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/2/2026

1. *Broker*'s or *Dealer*'s name
Mizuho Securities Canada Inc.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
300059

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1767248 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
5/21/2025

1. *Broker*'s or *Dealer*'s name

Mizuho Securities USA LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

19647

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

812291 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

5/30/2025

1. *Broker*'s or *Dealer*'s name

Montec Securities LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

309706

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

1817776 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

3/9/2026

1. *Broker*'s or *Dealer*'s name

NabSecurities, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

47390

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

1085910 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

11/24/2025

1. *Broker*'s or *Dealer*'s name

Nasdaq Capital Markets Advisory, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

104295

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

1120037 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

3/18/2026

1. *Broker*'s or *Dealer*'s name

Nasdaq Execution Services, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

7270

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

810167 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

3/17/2026

1. *Broker*'s or *Dealer*'s name

NatWest Markets Securities Inc.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

11707

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

808379 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

2/13/2026

1. *Broker*'s or *Dealer*'s name
Newmark Securities, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
309193

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1818003 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/2/2026

1. *Broker*'s or *Dealer*'s name
NFSTX, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
172583

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1615481 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/17/2026

1. *Broker*'s or *Dealer*'s name
Nomura Securities International, Inc.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
4297

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
72267 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
5/29/2025

1. *Broker*'s or *Dealer*'s name
Northern Lights Distributors, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
126159

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1223023 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/24/2026

1. *Broker*'s or *Dealer*'s name
OCM Investments, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
128803

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1265072 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/30/2026

1. *Broker*'s or *Dealer*'s name
One Growth Securities LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
310890

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

1837139 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/27/2026

1. *Broker*'s or *Dealer*'s name
One Vanderbilt Securities LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
332860

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
2036865 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/30/2026

1. *Broker*'s or *Dealer*'s name
Perella Weinberg Partners LP

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
138618

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1345290 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/23/2026

1. *Broker*'s or *Dealer*'s name
Piper Sandler & Co.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
665

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
724683 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/26/2026

1. *Broker*'s or *Dealer*'s name
Principal Funds Distributor, Inc.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
43261

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1038620 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/3/2026

1. *Broker*'s or *Dealer*'s name
Principal Securities, Inc.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
1137

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
12600 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/2/2026

1. *Broker*'s or *Dealer*'s name
Regions Securities LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

159475

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

1533318 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

3/2/2026

1. *Broker*'s or *Dealer*'s name

Robinhood Financial LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

165998

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

1561014 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

2/23/2026

1. *Broker*'s or *Dealer*'s name

Robinhood Securities, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

287900

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

1699855 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

2/23/2026

1. *Broker*'s or *Dealer*'s name

SAMI Brokerage LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

18217

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

798377 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

3/4/2026

1. *Broker*'s or *Dealer*'s name

Schroder Fund Advisors LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

24129

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

846986 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

2/25/2026

1. *Broker*'s or *Dealer*'s name

Security Distributors, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

3336

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

200684 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

3/27/2026

1. *Broker*'s or *Dealer*'s name

SouthState Securities Corp.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
6950

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
107238 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/2/2026

1. *Broker*'s or *Dealer*'s name
State Street Global Advisors Funds Distributors, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
30107

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
885750 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/26/2026

1. *Broker*'s or *Dealer*'s name
State Street Global Markets, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
285852

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1688905 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/26/2026

1. *Broker*'s or *Dealer*'s name
STG Securities, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
322438

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1934396 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/27/2026

1. *Broker*'s or *Dealer*'s name
TCBI Securities, Inc.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
313304

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1852225 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/26/2026

1. *Broker*'s or *Dealer*'s name
TCG Capital Markets L.L.C.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
291767

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1725013 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/27/2026

1. *Broker*'s or *Dealer*'s name

TD Financial Products LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

154838

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

1498570 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

12/23/2025

1. *Broker*'s or *Dealer*'s name

TD Prime Services LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

1914

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

39059 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

12/23/2025

b. If the Firm identified any *brokers* or *dealers* in response to Item 4.3.a., indicate, by checking the box corresponding to the appropriate range set out below, the total number of Firm personnel who exercised the authority to sign the Firm's name to an *audit report*, for a *broker* or *dealer*, during the reporting period. If the Firm checks the box indicating that the number is in the range of 1-9, provide the exact number.

- ○ 1-9 — Exact Number:
- ○ 10-25
- ○ 26-50
- ◉ 51-100
- ○ 101-200
- ○ More than 200

Note: For each *audit report* provide in Item 4.3.a.3 the *audit report* dates (as described in AU 530, Dating of the Independent Auditor's Report) including each date of any dual-dated *audit report*.

Italicized terms are defined in PCAOB Rule 1001. The Firm must apply those definitions in completing this Form.

ITEM 4.4 - *BROKER* OR *DEALER AUDIT REPORTS* WITH RESPECT TO WHICH THE FIRM *PLAYED A SUBSTANTIAL ROLE* DURING THE REPORTING PERIOD

a. If no *brokers* or *dealers* are identified in response to Item 4.3.a, but the Firm *played a substantial role in the preparation or furnishing of an audit report* for a *broker* or *dealer* that was issued during the reporting period, provide the following information concerning each *broker* or *dealer* with respect to which the Firm did so –

Note: If the Firm identifies any *broker* or *dealer* in response to Item 4.3, the Firm need not respond to Item 4.4.

Note: In responding to Item 4.4, do not list any *broker* or *dealer* more than once.

1. *Broker*'s or *Dealer*'s name

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

3. *Broker*'s or *Dealer*'s CIK number, if any

☐ Check here, if none

4. Name of the *registered public accounting firm* that issued the *audit report*(s)

5. The end date(s) of the fiscal period(s) covered by the financial statements that were subject of the *audit report*(s)

6. Substantial role played by the Firm with respect to the *audit report*(s)

If other is selected, please enter substantial role played below -

INCOMPLETE RESPONSES DUE TO ASSERTED NON-U.S. LEGAL RESTRICTIONS

If the Firm is a *foreign registered public accounting firm* that, in responding to Part IV, has either withheld certain information, or declined to request certain information from relevant third parties, on the ground that the Firm cannot provide the information to the *Board* on this Form 2 without violating non-U.S. law, the Firm must identify here all items -- and only those items -- with respect to which there is any information that the Firm has actually withheld or declined to request.

☐ 4.2.a.1 ☐ 4.2.a.2 ☐ 4.2.a.3 ☐ 4.2.a.4 ☐ 4.2.a.5

☐ 4.4.a.1 ☐ 4.4.a.2 ☐ 4.4.a.3 ☐ 4.4.a.4 ☐ 4.4.a.5

☐ 4.4.a.6

Italicized terms are defined in PCAOB Rule 1001. The Firm must apply those definitions in completing this Form.

PART V - OFFICES AND AFFILIATIONS

In Part V, the Firm should provide information that is current as of the last day of the reporting period.

ITEM 5.1 - FIRM'S OFFICES

List the physical address and, if different, the mailing address, of each of the Firm's offices.

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 1901 6th Avenue North	Street address 1
Street address 2 Suite 1200	Street address 2
City Birmingham	City
State/Province Alabama	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 35203	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 4100 Market Street	Street address 1
Street address 2	Street address 2
City Huntsville	City
State/Province Alabama	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 35808	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 101 East Washington St	Street address 1
Street address 2 Suite 910	Street address 2
City Phoenix	City
State/Province Arizona	State/Province
Non-U.S. State/Province	Non-U.S. State/Province

Zip/Postal code 85004	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 177 North Church Avenue	Street address 1
Street address 2 Suite 500	Street address 2
City Tucson	City
State/Province Arizona	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 85701	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 5417 Pinnacle Point Drive	Street address 1
Street address 2 Suite 501	Street address 2
City Rogers	City
State/Province Arkansas	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 72758	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 18101 Von Karman Avenue	Street address 1
Street address 2 Suite 1700	Street address 2
City Irvine	City
State/Province California	State/Province
Non-U.S. State/Province	Non-U.S. State/Province

Zip/Postal code	Zip/Postal code
92612	

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 725 South Figueroa Street	Street address 1
Street address 2 Suite 500, 600, 700, 800, 950, 1500	Street address 2
City Los Angeles	City
State/Province California	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 90017	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 550 High Street	Street address 1
Street address 2 Suite 100	Street address 2
City Palo Alto	City
State/Province California	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 94301	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 731 K Street	Street address 1
Street address 2 Suite 300	Street address 2
City Sacramento	City
State/Province California	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code	Zip/Postal code

95814	

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 4365 Executive Drive	Street address 1
Street address 2 Suite 1600	Street address 2
City San Diego	City
State/Province California	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 92121	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 560 Mission Street	Street address 1
Street address 2 Suite 800, 1400, 1500, 1600, 1700, 1850	Street address 2
City San Francisco	City
State/Province California	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 94105	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 303 Almaden Boulevard	Street address 1
Street address 2 Suite 1100	Street address 2
City San Jose	City
State/Province California	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 95110	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 520 South El Camino Real	Street address 1
Street address 2 Suite 700	Street address 2
City San Mateo	City
State/Province California	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 94402	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 370 17th Street	Street address 1
Street address 2 Suite 4800	Street address 2
City Denver	City
State/Province Colorado	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 80202	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 20 Church Street	Street address 1
Street address 2 19th Floor	Street address 2
City Hartford	City
State/Province Connecticut	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 06103	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 300 First Stamford Place	Street address 1
Street address 2	Street address 2
City Stamford	City
State/Province Connecticut	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 06902	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 1101 New York Avenue North West	Street address 1
Street address 2 Suite 300	Street address 2
City Washington	City
State/Province District of Columbia	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 20005	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 Tower I Boca Center	Street address 1
Street address 2 5200 Town Center Circle, Suite 601	Street address 2
City Boca Raton	City
State/Province Florida	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 33486	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address

Country	Country
United States	
Street address 1	Street address 1
12926 Gran Bay Parkway West	
Street address 2	Street address 2
Suite 500	
City	City
Jacksonville	
State/Province	State/Province
Florida	
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code	Zip/Postal code
32258	

Office physical address	Office mailing address ☑ Same as physical address
Country	Country
United States	
Street address 1	Street address 1
2 Miami Central	
Street address 2	Street address 2
700 North West 1st Avenue, Suite 1500	
City	City
Miami	
State/Province	State/Province
Florida	
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code	Zip/Postal code
33136	

Office physical address	Office mailing address ☑ Same as physical address
Country	Country
United States	
Street address 1	Street address 1
200 South Orange Avenue	
Street address 2	Street address 2
Suite 2800	
City	City
Orlando	
State/Province	State/Province
Florida	
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code	Zip/Postal code
32801	

Office physical address	Office mailing address ☑ Same as physical address
Country	Country

United States	
Street address 1 210 East College Avenue	Street address 1
Street address 2 Floor 1 and 2	Street address 2
City Tallahassee	City
State/Province Florida	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 32301	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 One Tampa City Center	Street address 1
Street address 2 201 North Franklin Street, Suite 2400	Street address 2
City Tampa	City
State/Province Florida	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 33602	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 1105 Lakewood Parkway	Street address 1
Street address 2 Suite 350	Street address 2
City Alpharetta	City
State/Province Georgia	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 30009	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country

Street address 1 1145 Sanctuary Parkway	Street address 1
Street address 2 Suite 200	Street address 2
City Alpharetta	City
State/Province Georgia	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 30009	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 55 Ivan Allen Jr. Boulevard	Street address 1
Street address 2 Suite 1000	Street address 2
City Atlanta	City
State/Province Georgia	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 30308	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 1003 Bishop Street	Street address 1
Street address 2 Suite 2600	Street address 2
City Honolulu	City
State/Province Hawaii	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 96813	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1	Street address 1

155 North Wacker Drive	
Street address 2	Street address 2
City Chicago	City
State/Province Illinois	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 60606	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 333 North Green Street	Street address 1
Street address 2	Street address 2
City Chicago	City
State/Province Illinois	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 60607	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 835 North College Avenue	Street address 1
Street address 2 Suite 1125	Street address 2
City Indianapolis	City
State/Province Indiana	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 46202	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 801 Grand Avenue	Street address 1

Street address 2 Suite 3100	Street address 2
City Des Moines	City
State/Province Iowa	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 50309	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 245 North Waco Avenue	Street address 1
Street address 2 Suite 100	Street address 2
City Wichita	City
State/Province Kansas	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 67202	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 400 West Market Street	Street address 1
Street address 2 Suite 1200	Street address 2
City Louisville	City
State/Province Kentucky	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 40202	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 301 Main Street	Street address 1
Street address 2	Street address 2

Suite 620	
City Baton Rouge	City
State/Province Louisiana	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 70825	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 701 Poydras Street	Street address 1
Street address 2 Suite 3900	Street address 2
City New Orleans	City
State/Province Louisiana	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 70139	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 1201 Wills Street	Street address 1
Street address 2 Suite 310	Street address 2
City Baltimore	City
State/Province Maryland	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 21231	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 200 Clarendon Street	Street address 1
Street address 2	Street address 2

City	City
Boston	
State/Province	State/Province
Massachusetts	
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code	Zip/Postal code
02116	

Office physical address	Office mailing address ☑ Same as physical address
Country	Country
United States	
Street address 1	Street address 1
One Kennedy Square	
Street address 2	Street address 2
777 Woodward Avenue, Suite 1000	
City	City
Detroit	
State/Province	State/Province
Michigan	
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code	Zip/Postal code
48226	

Office physical address	Office mailing address ☑ Same as physical address
Country	Country
United States	
Street address 1	Street address 1
171 Monroe Avenue North West	
Street address 2	Street address 2
Suite 750	
City	City
Grand Rapids	
State/Province	State/Province
Michigan	
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code	Zip/Postal code
49503	

Office physical address	Office mailing address ☑ Same as physical address
Country	Country
United States	
Street address 1	Street address 1
700 Nicollet Mall	
Street address 2	Street address 2
Suite 500	
City	City

Minneapolis	
State/Province Minnesota	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 55402	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 317 East Capitol Street	Street address 1
Street address 2 Suite 200	Street address 2
City Jackson	City
State/Province Mississippi	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 39201	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 1828 Walnut Street	Street address 1
Street address 2 Suite 400, 500, 600	Street address 2
City Kansas City	City
State/Province Missouri	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 64108	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 Centre Plaza Building	Street address 1
Street address 2 7676 Forsyth Boulevard, Suite 2600	Street address 2
City St. Louis	City

State/Province	State/Province
Missouri	
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code	Zip/Postal code
63105	

Office physical address	Office mailing address ☑ Same as physical address
Country	Country
United States	
Street address 1	Street address 1
8488 Rozita Lee Avenue	
Street address 2	Street address 2
Suite 310	
City	City
Las Vegas	
State/Province	State/Province
Nevada	
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code	Zip/Postal code
89113	

Office physical address	Office mailing address ☑ Same as physical address
Country	Country
United States	
Street address 1	Street address 1
Waterfront Corporate Center II	
Street address 2	Street address 2
121 River Street	
City	City
Hoboken	
State/Province	State/Province
New Jersey	
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code	Zip/Postal code
07030	

Office physical address	Office mailing address ☑ Same as physical address
Country	Country
United States	
Street address 1	Street address 1
99 Wood Avenue South	
Street address 2	Street address 2
City	City
Iselin	
State/Province	State/Province

New Jersey	
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 08830	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 200 Plaza Drive	Street address 1
Street address 2 Suite 102	Street address 2
City Secaucus	City
State/Province New Jersey	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 07094	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 Seneca One	Street address 1
Street address 2 1 West Seneca Street, Suite 2900	Street address 2
City Buffalo	City
State/Province New York	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 14203	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 One Jericho Plaza	Street address 1
Street address 2 Suite 105	Street address 2
City Jericho	City
State/Province New York	State/Province

Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 11753	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 218 West 18th Street	Street address 1
Street address 2	Street address 2
City New York	City
State/Province New York	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 10011	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 One Manhattan West	Street address 1
Street address 2 395 9th Avenue	Street address 2
City New York	City
State/Province New York	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 10001	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 841 Broadway	Street address 1
Street address 2 Suite 500, 800	Street address 2
City New York	City
State/Province New York	State/Province
Non-U.S. State/Province	Non-U.S. State/Province

Zip/Postal code 10003	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 710 Bausch & Lomb Place	Street address 1
Street address 2	Street address 2
City Rochester	City
State/Province New York	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 14604	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 2 3rd Street	Street address 1
Street address 2	Street address 2
City Troy	City
State/Province New York	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 12180	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 1422 South Tryon Street	Street address 1
Street address 2 Suite 400	Street address 2
City Charlotte	City
State/Province North Carolina	State/Province
Non-U.S. State/Province	Non-U.S. State/Province

Zip/Postal code	Zip/Postal code
28203	

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 100 North Tryon Street	Street address 1
Street address 2 Suite 3800	Street address 2
City Charlotte	City
State/Province North Carolina	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 28202	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 4131 Parklake Avenue	Street address 1
Street address 2 Suite 500	Street address 2
City Raleigh	City
State/Province North Carolina	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 27612	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 1200 East Market Street	Street address 1
Street address 2 Suite 720	Street address 2
City Akron	City
State/Province Ohio	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code	Zip/Postal code

44305	

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 221 East 4th Street	Street address 1
Street address 2 Suite 2900	Street address 2
City Cincinnati	City
State/Province Ohio	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 45202	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 1001 Lakeside Avenue	Street address 1
Street address 2 Suite 1800	Street address 2
City Cleveland	City
State/Province Ohio	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 44114	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 995 Yard Street	Street address 1
Street address 2 Suite 280	Street address 2
City Grandview Heights/Columbus	City
State/Province Ohio	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 43215	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 One SeaGate	Street address 1
Street address 2 Suite 2510	Street address 2
City Toledo	City
State/Province Ohio	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 43604	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 210 Park Avenue	Street address 1
Street address 2 Suite 2500	Street address 2
City Oklahoma City	City
State/Province Oklahoma	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 73102	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 1700 One Williams Center	Street address 1
Street address 2 101 East 2nd Street, Suite 1901	Street address 2
City Tulsa	City
State/Province Oklahoma	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 74172	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 810 North West Marshall Street	Street address 1
Street address 2 Suite 300	Street address 2
City Portland	City
State/Province Oregon	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 97209	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 Brewery Block 2	Street address 1
Street address 2 1120 North West Couch Street, Suite 425	Street address 2
City Portland	City
State/Province Oregon	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 97209	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 4236 Main Street	Street address 1
Street address 2	Street address 2
City Manayunk/Philadelphia	City
State/Province Pennsylvania	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 19127	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address

Country	Country
United States	
Street address 1	Street address 1
One Commerce Square	
Street address 2	Street address 2
2005 Market Street, Suite 700	
City	City
Philadelphia	
State/Province	State/Province
Pennsylvania	
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code	Zip/Postal code
19103	

Office physical address	Office mailing address ☑ Same as physical address
Country	Country
United States	
Street address 1	Street address 1
2100 One PPG Place	
Street address 2	Street address 2
City	City
Pittsburgh	
State/Province	State/Province
Pennsylvania	
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code	Zip/Postal code
15222	

Office physical address	Office mailing address ☑ Same as physical address
Country	Country
United States	
Street address 1	Street address 1
Parque Las Americas I	
Street address 2	Street address 2
235 Federico Costa Street, Suite 410	
City	City
San Juan	
State/Province	State/Province
Puerto Rico	
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code	Zip/Postal code
00918	

Office physical address	Office mailing address ☑ Same as physical address
Country	Country

United States	
Street address 1 40 Westminster Street	Street address 1
Street address 2 Suite 800	Street address 2
City Providence	City
State/Province Rhode Island	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 02903	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 2 West Washington Street	Street address 1
Street address 2 Suite 901	Street address 2
City Greenville	City
State/Province South Carolina	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 29601	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 1110 Market Street	Street address 1
Street address 2 Suite 216	Street address 2
City Chattanooga	City
State/Province Tennessee	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 37402	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country

Street address 1 6070 Poplar Avenue	Street address 1
Street address 2 Suite 370	Street address 2
City Memphis	City
State/Province Tennessee	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 38119	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 818 18th Avenue South	Street address 1
Street address 2 Suite 800	Street address 2
City Nashville	City
State/Province Tennessee	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 37203	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 222 2nd Avenue South	Street address 1
Street address 2 Suite 2100	Street address 2
City Nashville	City
State/Province Tennessee	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 37201	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1	Street address 1

Domain Place	
Street address 2 3200 Gracie Kiltz Lane, Suite 110	Street address 2
City Austin	City
State/Province Texas	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 78758	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 401 Congress Avenue	Street address 1
Street address 2 Suite 3200	Street address 2
City Austin	City
State/Province Texas	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 78701	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 2323 Victory Avenue	Street address 1
Street address 2 Suite 2000	Street address 2
City Dallas	City
State/Province Texas	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 75219	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 1201 Elm Street	Street address 1

Street address 2	Street address 2
Suite 1200	
City	City
Dallas	
State/Province	State/Province
Texas	
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code	Zip/Postal code
75270	

Office physical address	Office mailing address ☑ Same as physical address
Country	Country
United States	
Street address 1	Street address 1
425 Houston Street	
Street address 2	Street address 2
Suite 600	
City	City
Fort Worth	
State/Province	State/Province
Texas	
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code	Zip/Postal code
76102	

Office physical address	Office mailing address ☑ Same as physical address
Country	Country
United States	
Street address 1	Street address 1
1401 McKinney Street	
Street address 2	Street address 2
Suite 2400	
City	City
Houston	
State/Province	State/Province
Texas	
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code	Zip/Postal code
77010	

Office physical address	Office mailing address ☑ Same as physical address
Country	Country
United States	
Street address 1	Street address 1
12707 Silicon Drive	
Street address 2	Street address 2

Suite 200	
City San Antonio	City
State/Province Texas	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 78249	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 111 West Houston Street	Street address 1
Street address 2 Suite 1901	Street address 2
City San Antonio	City
State/Province Texas	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 78205	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 15 West South Temple	Street address 1
Street address 2 Suite 1800	Street address 2
City Salt Lake City	City
State/Province Utah	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 84101	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 2121 Crystal Drive	Street address 1
Street address 2 Suite 600	Street address 2

City	City
Arlington	
State/Province	State/Province
Virginia	
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code	Zip/Postal code
22202	

Office physical address	Office mailing address ☑ Same as physical address
Country	Country
United States	
Street address 1	Street address 1
4805 Stonecroft Boulevard	
Street address 2	Street address 2
City	City
Chantilly	
State/Province	State/Province
Virginia	
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code	Zip/Postal code
20151	

Office physical address	Office mailing address ☑ Same as physical address
Country	Country
United States	
Street address 1	Street address 1
The Corporate Office Centre at Tysons II	
Street address 2	Street address 2
1775 Tysons Boulevard, Suite 1800	
City	City
Tysons/McLean	
State/Province	State/Province
Virginia	
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code	Zip/Postal code
22102	

Office physical address	Office mailing address ☑ Same as physical address
Country	Country
United States	
Street address 1	Street address 1
The Edgeworth Building	
Street address 2	Street address 2
2100 East Cary Street, Suite 201	
City	City

Richmond	
State/Province Virginia	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 23223	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 920 Fifth Avenue	Street address 1
Street address 2 Suite 900	Street address 2
City Seattle	City
State/Province Washington	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 98104	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 900 United Center	Street address 1
Street address 2 500 Virginia Street East, Suite 600	Street address 2
City Charleston	City
State/Province West Virginia	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 25301	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 833 East Michigan Street	Street address 1
Street address 2	Street address 2
City Milwaukee	City

State/Province Wisconsin	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 53202	Zip/Postal code

Italicized terms are defined in PCAOB Rule 1001. The Firm must apply those definitions in completing this Form.

ITEM 5.2 - *AUDIT*-RELATED MEMBERSHIPS, AFFILIATIONS, OR SIMILAR ARRANGEMENTS

a. State whether the Firm has any:

1. Membership or affiliation in or with any network, arrangement, alliance, partnership or association that licenses or authorizes *audit* procedures or manuals or related materials, or the use of a name in connection with the provision of *audit services* or accounting services	(•) Yes ( ) No
2. Membership or affiliation in or with any network, arrangement, alliance, partnership or association that markets or sells *audit services* or through which joint *audits* are conducted	(•) Yes ( ) No
3. Arrangement, whether by contract or otherwise, with another entity through or from which the Firm employs or leases personnel to perform *audit services*	(•) Yes ( ) No

b. If the Firm provides any affirmative response in Item 5.2.a, identify the entity with which the Firm has each such relationship -

Entity name
Ernst & Young Global Limited

Entity Address

Country United Kingdom	State/Province
Street address 1 1 More London Place	Non-U.S. State/Province
Street address 2	Zip/Postal code SE1 2AF
City London	

Provide a brief description of the relationship the Firm has with this entity

Item 5.2.a.1 - EY refers collectively to the global organization of the member firms of Ernst & Young Global Limited (EYG). Ernst & Young LLP is a United States member firm of EYG, a UK private company limited by guarantee. EYG is the central coordinating entity of the global EY organization and does not provide any services to clients. Services are provided by individual member firms of EYG. Each of EYG and its member firms is a separate legal entity.

Entity name
Ernst & Young Global Limited

Entity Address

Country United Kingdom	State/Province
Street address 1 1 More London Place	Non-U.S. State/Province
Street address 2	Zip/Postal code SE1 2AF
City London	

Provide a brief description of the relationship the Firm has with this entity

Item 5.2.a.2 - Ernst & Young LLP is a member firm of Ernst & Young Global Limited (EYG) as described above. On occasion, certain member firms of EYG conduct joint audits.

Entity name
Ernst & Young U.S. LLP

Entity Address

Country	State/Province
United States	New York
Street address 1	Non-U.S. State/Province
One Manhattan West	
Street address 2	Zip/Postal code
395 9th Avenue	10001
City	
New York	

Provide a brief description of the relationship the Firm has with this entity

Item 5.2.a.3 - Ernst & Young U.S. LLP (EY US), a Delaware limited liability partnership, is a separate partnership affiliated with Ernst & Young LLP (EY) which includes partners that are the same CPA partners of EY and other non-licensed principals. The employees of EY US and its wholly owned subsidiaries work for and are under the supervision of EY. All professional services, including the services of all CPAs, are provided through EY. In addition, other EYG member firms may provide personnel and/or services as a subcontractor to EY on EY audit engagements.

Entity name
Ernst & Young Puerto Rico LLC

Entity Address

Country	State/Province
United States	Puerto Rico
Street address 1	Non-U.S. State/Province
Parque Las Americas I	
Street address 2	Zip/Postal code
235 Federico Costa Street, Suite 410	00918
City	
San Juan	

Provide a brief description of the relationship the Firm has with this entity

Item 5.2.a.3 — Ernst & Young Puerto Rico LLC is a subsidiary of Ernst & Young U.S. LLP (EY US). Administratively, professionals of Ernst & Young Puerto Rico LLC function as part of EY US and Puerto Rican personnel have been included in the relevant parts of Ernst & Young LLP's Form 2 submission.

Entity name
EY GDS (CS) Limited

Entity Address

Country	State/Province
United Kingdom	
Street address 1	Non-U.S. State/Province
1 More London Place	
Street address 2	Zip/Postal code
	SE1 2AF
City	
London	

Provide a brief description of the relationship the Firm has with this entity

Item 5.2.a.3 Pursuant to terms and conditions between Ernst & Young LLP and EY GDS (CS) Limited, a member firm of EYG, the Firm has a work arrangement for support services under which employees of various service entities in the global network of EY member firms are assigned to perform procedures based on engagement-specific instructions provided by the Firm's engagement team, which is responsible for the planning and supervision of the Firm's assurance engagements and the performance of audit procedures.

Entity name

Atrium Payroll Services LLC

Entity Address

Country	State/Province
United States	New York
Street address 1	Non-U.S. State/Province
387 Park Avenue South	
Street address 2	Zip/Postal code
Floor 3	10016
City	
New York	

Provide a brief description of the relationship the Firm has with this entity

Item 5.2.a.3 — EY entered into an agreement with Atrium Payroll Services LLC ("Atrium"), pursuant to which Atrium assigns certain of its professional personnel resources to EY to supplement EY's existing assurance staff in certain areas in the United States. The work of such assigned resources is under the direct supervision of EY.

Entity name

Bucher and Christian Consulting, Inc.

Entity Address

Country	State/Province
United States	Indiana
Street address 1	Non-U.S. State/Province
9777 N. College Avenue	
Street address 2	Zip/Postal code

	46280
City Indianapolis	

Provide a brief description of the relationship the Firm has with this entity

Item 5.2.a.3 — EY entered into an agreement with Bucher and Christian Consulting, Inc. (“BCForward”), pursuant to which BCForward assigns certain of its professional personnel resources to EY to supplement EY’s existing assurance staff in certain areas in the United States. The work of such assigned resources is under the direct supervision of EY.

Entity name

Clearpath Workforce Management, Inc.

Entity Address

Country United States	State/Province California
Street address 1 165 N. Maple Avenue	Non-U.S. State/Province
Street address 2 #1930	Zip/Postal code 95336
City Manteca	

Provide a brief description of the relationship the Firm has with this entity

Item 5.2.a.3 — EY entered into an agreement with Clearpath Workforce Management, Inc. ("Clearpath"), pursuant to which Clearpath assigns certain of its professional personnel resources to EY to supplement EY’s existing assurance staff in certain areas in the United States. The work of such assigned resources is under the direct supervision of EY.

Entity name

Kaygen, Inc.

Entity Address

Country United States	State/Province California
Street address 1 100 Spectrum Centre Drive	Non-U.S. State/Province
Street address 2 Suite 890	Zip/Postal code 92618
City Irvine	

Provide a brief description of the relationship the Firm has with this entity

Item 5.2.a.3 — EY entered into an agreement with Kaygen, Inc. ("Kaygen"), pursuant to which Kaygen assigns certain of its professional personnel resources to EY to supplement EY’s existing assurance staff in certain areas in the United States. The work of such assigned resources is under the direct supervision of EY.

Entity name	
LHH Recruitment Solutions, Inc.	
Entity Address	
Country United States	State/Province Florida
Street address 1 10151 Deerwood Park Boulevard	Non-U.S. State/Province
Street address 2 Building 200, Suite 400	Zip/Postal code 32256
City Jacksonville	

Provide a brief description of the relationship the Firm has with this entity

Item 5.2.a.3 — EY entered into an agreement with LHH Recruitment Solutions, Inc. (“LHH”), pursuant to which LHH assigns certain of its professional personnel resources to EY to supplement EY’s existing assurance staff in certain areas in the United States. The work of such assigned resources is under the direct supervision of EY.

Entity name	
Manpowergroup Talent Solutions, LLC	
Entity Address	
Country United States	State/Province Wisconsin
Street address 1 100 W Manpower Place	Non-U.S. State/Province
Street address 2	Zip/Postal code 53212
City Milwaukee	

Provide a brief description of the relationship the Firm has with this entity

Item 5.2.a.3 — EY entered into an agreement with Manpowergroup Talent Solutions, LLC, pursuant to which Manpowergroup Talent Solutions, LLC assigns certain of its professional personnel resources to EY to supplement EY’s existing assurance staff in certain areas in the United States. The work of such assigned resources is under the direct supervision of EY.

Entity name	
Reimbursement Recovery Resources, LLC	
Entity Address	
Country United States	State/Province Florida
Street address 1 7025 County Road 46A	Non-U.S. State/Province
Street address 2 Suite 1071-512	Zip/Postal code 32746
City Lake Mary	
Provide a brief description of the relationship the Firm has with this entity Item 5.2.a.3 — EY entered into an agreement with Reimbursement Recovery Resources, LLC, pursuant to which Reimbursement Recovery Resources, LLC assigns certain of its professional personnel resources to EY to supplement EY's existing assurance staff in certain areas in the United States. The work of such assigned resources is under the direct supervision of EY.	

Entity name	
WMBE Payrolling Inc.	
Entity Address	
Country United States	State/Province California
Street address 1 3545 Aero Court	Non-U.S. State/Province
Street address 2	Zip/Postal code 92123
City San Diego	
Provide a brief description of the relationship the Firm has with this entity Item 5.2.a.3 — EY entered into an agreement with WMBE Payrolling Inc. ("WMBE"), pursuant to which WMBE assigns certain of its professional personnel resources to EY to supplement EY's existing assurance staff in certain areas in the United States. The work of such assigned resources is under the direct supervision of EY.	

Note: Item 5.2.b does not require information concerning every other entity that is part of the network, arrangement, alliance, partnership or association, but only information concerning the network, arrangement, alliance, partnership, or association itself, or the principal entity through which it operates.

INCOMPLETE RESPONSES DUE TO ASSERTED NON-U.S. LEGAL RESTRICTIONS

If the Firm is a *foreign registered public accounting firm* that, in responding to Part V, has either withheld certain information, or declined to request certain information from relevant third parties, on the ground that the Firm cannot provide the information to the *Board* on this Form 2 without violating non-U.S. law, the Firm must identify here all items -- and only those items -- with respect to which there is any information that the Firm has actually withheld or declined to request.

☐ 5.1 ☐ 5.2.a.1 ☐ 5.2.a.2 ☐ 5.2.a.3 ☐ 5.2.b

Italicized terms are defined in PCAOB Rule 1001. The Firm must apply those definitions in completing this Form.

PART VI - PERSONNEL

In Part VI, the Firm should provide information that is current as of the last day of the reporting period.

ITEM 6.1 - NUMBER OF FIRM PERSONNEL

Provide the following numerical totals -

		CA	CR
a. Total number of the Firm's *accountants*	26179	☐	☐
b. Total number of the Firm's certified public accountants (include in this number all *accountants* employed by the Firm with comparable licenses from non-U.S. jurisdictions)	12638	☐	☐
c. Total number of the Firm's personnel	53436	☐	☐

INCOMPLETE RESPONSES DUE TO ASSERTED NON-U.S. LEGAL RESTRICTIONS

If the Firm is a *foreign registered public accounting firm* that, in responding to Part VI, has either withheld certain information, or declined to request certain information from relevant third parties, on the ground that the Firm cannot provide the information to the *Board* on this Form 2 without violating non-U.S. law, the Firm must identify here all items -- and only those items -- with respect to which there is any information that the Firm has actually withheld or declined to request.

☐ 6.1.a ☐ 6.1.b ☐ 6.1.c

Italicized terms are defined in PCAOB Rule 1001. The Firm must apply those definitions in completing this Form.

PART VII - CERTAIN RELATIONSHIPS

ITEM 7.1 - INDIVIDUALS WITH CERTAIN DISCIPLINARY OR OTHER HISTORIES

a. Other than a relationship required to be reported in Item 5.1 of Form 3, and only if the Firm has not previously identified the individual and the sanction or *Commission* order on Form 1, Form 2, or Form 3, state whether, as of the end of the reporting period, the Firm has any employee, partner, shareholder, principal, member, or owner who was the subject of a *Board* disciplinary sanction or a *Commission* order under Rule 102(e) of the *Commission's* Rules of Practice, entered within the five years preceding the end of the reporting period and without that sanction or order having been vacated on review or appeal, and who provided at least ten hours of *audit services* for any *issuer*, *broker*, or *dealer* during the reporting period.

○ Yes
◉ No

CA ☐ CR ☐

b. If the Firm provides an affirmative response to Item 7.1.a, provide the following information for each such individual -

1. Family name (last name)

Given name (first name)

2. Description of the nature of the relationship

3. Date Firm entered into relationship (mm/dd/yyyy)

4. The date of the relevant order and an indication whether it was a *Board* order or a *Commission* order

○ *Board* ○ *Commission*

CA ☐ CR ☐

Italicized terms are defined in PCAOB Rule 1001. The Firm must apply those definitions in completing this Form.

ITEM 7.2 - ENTITIES WITH CERTAIN DISCIPLINARY OR OTHER HISTORIES

a. Other than a relationship required to be reported in Item 5.2 of Form 3, and only if the Firm has not previously reported the information on Form 1, Form 2, or Form 3, state whether, as of the end of the reporting period, the Firm was owned or partly owned by an entity that was the subject of (a) a *Board* disciplinary sanction entered within the five years preceding the end of the reporting period, which has not been vacated on review or appeal, suspending or revoking that entity's registration or disapproving that entity's application for registration, or (b) a *Commission* order under Rule 102(e) of the *Commission*'s Rules of Practice entered within the five years preceding the end of the reporting period, which has not been vacated on appeal, suspending or denying the privilege of appearing or practicing before the *Commission*.

○ Yes
◉ No

CA CR ☐ ☐

b. If the Firm provides an affirmative response to 7.2.a, provide the following information for each such entity -

1. Name of entity

2. Description of the nature of the relationship

3. Date Firm entered into relationship (mm/dd/yyyy)

4. The date of the relevant order and an indication whether it was a *Board* order or a *Commission* order

○ *Board* ○ *Commission*

CA CR ☐ ☐

Italicized terms are defined in PCAOB Rule 1001. The Firm must apply those definitions in completing this Form.

ITEM 7.3 - CERTAIN ARRANGEMENTS TO RECEIVE CONSULTING OR OTHER PROFESSIONAL SERVICES

a. Other than a relationship required to be reported in Item 5.3 of Form 3, state whether the Firm received, or entered into a contractual or other arrangement to receive, from any individual or entity meeting the criteria described in Items 7.1.a. or 7.2.a, consulting or other professional services related to the Firm's *audit* practice or related to services the Firm provides to *issuer, broker*, or *dealer audit* clients.

○ Yes
◉ No

CA CR ☐ ☐

b. If the Firm provides an affirmative response to 7.3.a, provide the following information for each such individual or entity -

1. Name of individual or entity

2. Description of the nature of the relationship

3. Date Firm entered into relationship (mm/dd/yyyy)

4. Description of the services provided or to be provided to the Firm by the individual or entity

5. The date of the relevant order and an indication whether it was a *Board* order or a *Commission* order

○ *Board* ○ *Commission*

CA CR ☐ ☐

INCOMPLETE RESPONSES DUE TO ASSERTED NON-U.S. LEGAL RESTRICTIONS

If the Firm is a *foreign registered public accounting firm* that, in responding to Part VII, has either withheld certain information, or declined to request certain information from relevant third parties, on the ground that the Firm cannot provide the information to the *Board* on this Form 2 without violating non-U.S. law, the Firm must identify here all items -- and only those items -- with respect to which there is any information that the Firm has actually withheld or declined to request.

☐ 7.1.a ☐ 7.1.b ☐ 7.2.a ☐ 7.2.b

☐ 7.3.a ☐ 7.3.b

Italicized terms are defined in PCAOB Rule 1001. The Firm must apply those definitions in completing this Form.

PART VIII - ACQUISITION OF ANOTHER *PUBLIC ACCOUNTING FIRM* OR SUBSTANTIAL PORTIONS OF ANOTHER *PUBLIC ACCOUNTING FIRM'S* PERSONNEL

If the Firm became registered on or after December 31, 2009, the first annual report that the Firm files must provide this information for the period running from the date used by the Firm for purposes of General Instruction 9 of Form 1 (regardless of whether that date was before or after the beginning of the reporting period) through March 31 of the year in which the annual report is required to be filed.

ITEM 8.1 - ACQUISITION OF ANOTHER *PUBLIC ACCOUNTING FIRM* OR SUBSTANTIAL PORTIONS OF ANOTHER *PUBLIC ACCOUNTING FIRM*'S PERSONNEL

a. Did the Firm acquire another *public accounting firm*? ○ Yes ◉ No

b. If the Firm provides an affirmative response to Item 8.1.a, provide the name(s) of the *public accounting firm*(s) that the Firm acquired.

Name of acquired *public accounting firm*

c. Did the Firm, without acquiring another *public accounting firm*, take on as employees, partners, shareholders, principals, members, or owners 75% or more of the persons who, as of the beginning of the reporting period, were the partners, shareholders, principals, members, or owners of another *public accounting firm*? ○ Yes ◉ No

d. If the Firm provides an affirmative response to Item 8.1.c, provide the following information for each such *public accounting firm* -

Name of the other *public accounting firm*

Number of the other *public accounting firm*'s former partners, shareholders, principals, members, owners, and *accountants* that joined the Firm

INCOMPLETE RESPONSES DUE TO ASSERTED NON-U.S. LEGAL RESTRICTIONS

If the Firm is a *foreign registered public accounting firm* that, in responding to Part VIII, has either withheld certain information, or declined to request certain information from relevant third parties, on the ground that the Firm cannot provide the information to the *Board* on this Form 2 without violating non-U.S. law, the Firm must identify here all items -- and only those items -- with respect to which there is any information that the Firm has actually withheld or declined to request.

☐ 8.1.a ☐ 8.1.b ☐ 8.1.c ☐ 8.1.d

Italicized terms are defined in PCAOB Rule 1001. The Firm must apply those definitions in completing this Form.

PART IX - AFFIRMATION OF CONSENT

ITEM 9.1 - AFFIRMATION OF UNDERSTANDING OF, AND COMPLIANCE WITH, CONSENT REQUIREMENTS

Whether or not the Firm, in applying for registration with the *Board*, provided the signed statement required by Item 8.1 of Form 1, affirm, by checking the boxes, that -

a. The Firm has consented to cooperate in and comply with any request for testimony or the production of documents made by the *Board* in furtherance of its authority and responsibilities under the Sarbanes-Oxley Act of 2002; ☑

b. The Firm has secured from each of its *associated person*s, and agrees to enforce as a condition of each such person's continued employment by or other association with the Firm, a consent indicating that the *associated person* consents to cooperate in and comply with any request for testimony or the production of documents made by the *Board* in furtherance of its authority under the Sarbanes-Oxley Act of 2002, and that the *associated person* understands and agrees that such consent is a condition of his or her continued employment by or other association with the Firm; and ☑

c. The Firm understands and agrees that cooperation and compliance, as described in Item 9.1.a, and the securing and enforcing of consents from its *associated persons* as described in Item 9.1.b, is a condition to the continuing effectiveness of the registration of the Firm with the *Board*. ☑

Note 1: The affirmation in Item 9.1.b shall not be understood to include an affirmation that the Firm has secured such consents from any *associated person* that is a *registered public accounting firm*.

Note 2: The affirmation in Item 9.1.b shall not be understood to include an affirmation that the Firm has secured such consents from any *associated person* that is a *foreign public accounting firm* in circumstances where that *associated person* asserts that non-U.S. law prohibits it from providing the consent, so long as the Firm possesses in its files documents relating to the *associated person's* assertion about non-U.S. law that would be sufficient to satisfy the requirements of subparagraphs (2) through (4) of Rule 2207(c) if that *associated person* were a *registered public accounting firm* filing a Form 2 and withholding this affirmation. This exception to the affirmation in Item 9.1.b does not relieve the Firm of its obligation to enforce cooperation and compliance with *Board* demands by any such *associated person* as a condition of continued association with the Firm.

Note 3: If the Firm is a *foreign registered public accounting firm*, the affirmations in Item 9.1 that relate to *associated persons* shall be understood to encompass every *accountant* who is a proprietor, partner, principal, shareholder, officer, or *audit* manager of the Firm and who provided at least ten hours of *audit services* for any *issuer* during the reporting period.

INCOMPLETE RESPONSES DUE TO ASSERTED NON-U.S. LEGAL RESTRICTIONS

If the Firm is a *foreign registered public accounting firm* that, in responding to Part IX, has withheld an affirmation on the ground that the Firm cannot provide the affirmation without violating non-U.S. law, the Firm must identify here all items - and only those items - as to which the Firm has actually declined to provide the affirmation.

☐ 9.1.a ☐ 9.1.b ☐ 9.1.c

Italicized terms are defined in PCAOB Rule 1001. The Firm must apply those definitions in completing this Form.

PART X - CERTIFICATION OF THE FIRM

ITEM 10.1 SIGNATURE OF PARTNER OR AUTHORIZED OFFICER

This Form must be signed on behalf of the Firm by an authorized partner or officer of the Firm including, in accordance with Rule 2204, both a signature that appears in typed form within the electronic submission and a corresponding manual signature retained by the Firm.

I, the undersigned, certify that -

a. I am a partner or an officer of the Firm and I am authorized to sign this Form on behalf of the Firm;

b. I have reviewed this Form;

c. based on my knowledge, the Firm has filed a special report on Form 3 with respect to each event that occurred during the reporting period and for which a special report on Form 3 is required under the *Board*'s *rules*;

d. based on the signer's knowledge, this Form does not contain any untrue statement of a material fact or omit to state a material fact necessary to make the statements made, in light of the circumstances under which such statements were made, not misleading; and

e. either-

(●) 1. based on the signer's knowledge, the Firm has not failed to include in this Form any information or affirmation that is required by the instructions to this Form, or

( ) 2. based on the signer's knowledge-
(A) the Firm is a *foreign registered public accounting firm* and has not failed to include in this form any information or affirmation that is required by the instructions to this Form except for information or affirmations that the Firm asserts it cannot provide to the *Board* on this Form 2 without violating non-U.S. law;
(B) with respect to any such withheld information or affirmation, the Firm has satisfied the requirements of PCAOB Rule 2207(b) and has in its possession the materials required by PCAOB Rule 2207(c); and
(C) the Firm has indicated, in accordance with the instructions to this Form, each item of this Form with respect to which the Firm has withheld any required information or affirmation.

Typed signature (to be submitted electronically):	Anthony Given name (first name)	Jordan Family name (last name)
Manual signature (to be retained in accordance with PCAOB Rule 2204):		
Date of typed and manual signatures (mm/dd/yyyy):	6/25/2026	
Business Title:	US Chief Ethics and Compliance Officer	
Capacity in which signed:	Partner (●) Officer ( )	

Business mailing address	
Country United States	State/Province Massachusetts
Street address 1 200 Clarendon Street	Non-U.S. State/Province
Street address 2	Zip/Postal code 02116
City Boston	
Business telephone number (incl. country and area codes) 1-617-585-1951	
Business facsimile number (incl. country and area codes) NA	
Business e-mail address tony.jordan@ey.com	

Italicized terms are defined in PCAOB Rule 1001. The Firm must apply those definitions in completing this Form.

PART XI - EXHIBITS

EXHIBIT 3.2 - DESCRIPTION OF METHODOLOGY USED TO ESTIMATE COMPONENTS OF CALCULATION IN ITEM 3.2 AND REASONS FOR USING ESTIMATES

If the Firm has indicated in Item 3.2.c that it used a reasonable method to estimate the components of the calculations described in Item 3.2.b, rather than using specific data, the Firm must include as Exhibit 3.2 an exhibit that briefly describes the reasons for doing so and the methodology used in making those estimates.

CA ☐ CR ☐

EXHIBIT 99.1 - REQUEST FOR CONFIDENTIAL TREATMENT

If the Firm has identified, in accordance with the instructions to this Form, any information for which the Firm requests confidential treatment, the Firm must include as Exhibit 99.1 an exhibit that includes the representations and information required by Rule 2300(c)(2).

Unless the Firm requests otherwise by checking the box below, any such Exhibit 99.1 will be afforded confidential treatment without the need for a request for confidential treatment.

Check here to indicate if you do not want confidential treatment for Exhibit 99.1 ☐

EXHIBIT 99.3 - MATERIALS REQUIRED BY RULE 2207(c)(2)-(4)

If the Firm is responding to a request pursuant to Rule 2207(d) for any of the materials described in Rule 2207(c)(2)-(4), submit the requested materials as Exhibit 99.3 to an amended Form 2.

CA ☐ CR ☐

If the Firm seeks confidential treatment for any such materials submitted, check the CR box in this section and also provide Exhibit 99.1 in accordance with the instructions.