FORM 2

ANNUAL REPORT FORM

Registered public accounting firms must provide their annual report and any amendments thereto to the PCAOB by completing and submitting this Form according to the instructions to Form 2.

It is important to refer to the instructions when completing each item of the Form. The Firm is responsible for completing each item according to those instructions, and should not merely rely on the Firm's own interpretation of the item descriptions appearing in this Form.

Terms that appear in italics have specific defined meanings that the Firm must apply in completing this Form. The definitions are found in PCAOB Rule 1001.

PART I - IDENTITY OF THE FIRM AND CONTACT PERSONS

In Part I, the Firm should provide information that is current as of the date of the certification in Part X.

ITEM 1.1 - NAME OF THE FIRM

a. Firm legal name

KPMG LLP

b. Other names used in *audit reports*	
c. Former legal names	

ITEM 1.2 - CONTACT INFORMATION OF THE FIRM

a. Physical address of the Firm's headquarters office	Mailing address ☑ Same as physical address
Country: United States	Country:
Street address 1: Two Manhattan West	Street address 1:
Street address 2: 375 9th Avenue	Street address 2:
City: New York	City:
State/Province: New York	State/Province:
Non-U.S. State/Province:	Non-U.S. State/Province:
Zip/Postal code: 10001	Zip/Postal code:

b. Telephone number of the Firm's headquarters office (incl. country and area codes)

1-212-758-9700

Facsimile number of the Firm's headquarters office (incl. country and area codes)

1-949-861-9791

Website address of the Firm

kpmg.com/us

Italicized terms are defined in PCAOB Rule 1001. The Firm must apply those definitions in completing this Form.

ITEM 1.3 - PRIMARY CONTACT WITH THE *BOARD*	
Name	
Family Name (last name) Peo	Given name (first name) Christian
Business title Vice Chair, Audit and Assurance	
Physical business address Country United States	Business mailing address ☑ Same as physical address Country
Street address 1 20 Pacifica	Street address 1
Street address 2 Suite 700	Street address 2
City Irvine	City
State/Province California	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 92618	Zip/Postal code
Business telephone number (incl. country and area codes) 1-949-885-5731	
Business facsimile number (incl. country and area codes) 1-949-861-9791	
Business e-mail address cpeo@kpmg.com	

Italicized terms are defined in PCAOB Rule 1001. The Firm must apply those definitions in completing this Form.

PART II - GENERAL INFORMATION CONCERNING THIS REPORT

ITEM 2.1 - REPORTING PERIOD

State the reporting period covered by this report.

Note: The reporting period, which the Firm should enter in Item 2.1, is the period beginning on April 1 of the year before the year in which the annual report is required to be filed and ending March 31 of the year in which the annual report is required to be filed. That is the period referred to where this Form refers to the "reporting period." Note, however, the special instruction at the beginning of Part VIII concerning the first annual report filed by certain firms.

Start of reporting period (mm/dd/yyyy)	End of reporting period (mm/dd/yyyy)
4/1/2025	3/31/2026

ITEM 2.2 - AMENDMENTS

If this is an amendment to a report previously filed with the *Board* -

a. Indicate, by checking the box corresponding to this item, that this is an amendment. ☐

b. Identify the specific Part or Item numbers of this Form (other than this Item 2.2) as to which the Firm's response has changed from that provided in the most recent Form 2 or amended Form 2 filed by the Firm with respect to the reporting period.

- ☐ Part I, Identity of the Firm and Contact Persons
- Part III, General Information Concerning the Firm
  - ☐ Item 3.1, The Firm's Practice Related to the Registration Requirement
    - Item 3.2, Fees Billed to *Issuer Audit* Clients
      - ☐ Item 3.2.a.1, *Audit Services*
      - ☐ Item 3.2.a.2, *Other Accounting Services*
      - ☐ Item 3.2.a.3, *Tax Services*
      - ☐ Item 3.2.a.4, *Non-audit Services*
      - ☐ Item 3.2.b, Calculation Method
      - ☐ Item 3.2.c, Estimated Percentages
  - ☐ Item 3.3, *Foreign Registered Public Accounting Firm*'s Designation of U.S. Agent
  - ☐ Incomplete Responses Due to Asserted Non-US Legal Restrictions
- ☐ Part IV, *Audit* Clients and *Audit Reports*
- ☐ Part V, Offices and Affiliations
- Part VI, Personnel
  - Item 6.1, Number of Firm Personnel
    - ☐ Item 6.1.a, Total Number of *Accountants*
    - ☐ Item 6.1.b, Total Number of CPA's
    - ☐ Item 6.1.c, Total Number of Personnel
  - ☐ Incomplete Responses Due to Asserted Non-US Legal Restrictions
- Part VII, Certain Relationships
  - Item 7.1, Individuals with Certain Disciplinary or Other Histories
    - ☐ Item 7.1.a, Relationship Exists
    - ☐ Item 7.1.b, Individuals
  - Item 7.2, Entities with Certain Disciplinary or Other Histories
    - ☐ Item 7.2.a, Relationship Exists
    - ☐ Item 7.2.b, Entities
  - Item 7.3, Certain Arrangements to Receive Consulting or Other Professional Services
    - ☐ Item 7.3.a, Arrangement Exists
    - ☐ Item 7.3.b, Entities
  - ☐ Incomplete Responses Due to Asserted Non-US Legal Restrictions
- ☐ Part VIII, Acquisition of Another *Public Accounting Firm* or Substantial Portions of Another *Public Accounting Firm's Personnel*
- ☐ *Part IX, Affirmation of Consent*
- ☐ *Part X, Certification of Firm*

  If you check this box, use the text field below to describe the error or omission in Part X as previously filed and to supply the information as it should have been provided in the previous submission. Use Part X of this amended form only to certify the amended form, not to supply corrections to the previous form.

- Part XI, Exhibits
  - ☐ Exhibit 3.2, Description of Methodology Used to Estimate Components of Calculation in Item 3.2 and Reason for Using Estimates
  - ☐ Exhibit 99.1, Request for Confidential Treatment

☐ Exhibit 99.3, Materials Required by Rule 2207(c)(2)-(4)

Italicized terms are defined in PCAOB Rule 1001. The Firm must apply those definitions in completing this Form.

PART III - GENERAL INFORMATION CONCERNING THE FIRM

ITEM 3.1 - THE FIRM'S PRACTICE RELATED TO THE REGISTRATION REQUIREMENT

a. Indicate whether the Firm issued any *audit report* with respect to an *issuer* during the reporting period.	(•) Yes ( ) No
b. In the event of an affirmative response to Item 3.1.a, indicate whether the *issuers* with respect to which the Firm issued *audit reports* during the reporting period were limited to employee benefit plans that file reports with the *Commission* on Form 11-K.	[ ] Yes [x] No
c. In the event of a negative response to Item 3.1.a, indicate whether the Firm *played a substantial role in the preparation or furnishing of an audit report* with respect to an *issuer* during the reporting period.	[ ] Yes [ ] No
d. Indicate whether the Firm issued any *audit report* with respect to any *broker* or *dealer* during the reporting period.	[x] Yes [ ] No
e. In the event of a negative response to Item 3.1.d, indicate whether the Firm *played a substantial role in the preparation or furnishing of an audit report* with respect to a *broker* or *dealer* during the reporting period.	[ ] Yes [ ] No

ITEM 3.2 - FEES BILLED TO *ISSUER AUDIT* CLIENTS

The option to request confidential treatment for information provided in Item 3.2 is available only to *foreign registered public accounting firms*.

a. Of the total fees billed by the Firm to all clients for services that were rendered in the reporting period, state the percentage (which may be rounded, but no less specifically than to the nearest five percent) attributable to fees billed to *issuer audit* clients for–

	CA	CR		CA	CR
1. *Audit services*	[ ]	[ ]	2. *Other accounting services*	[ ]	[ ]
17			1		
3. *Tax services*	[ ]	[ ]	4. *Non-audit services*	[ ]	[ ]
1			0		

b. Indicate, by checking the appropriate box, which of the following two methods the Firm used to calculate the percentages reported in Item 3.2.a – CA [ ] CR [ ]

( ) 1. The Firm used as a denominator the total fees billed to all clients for services rendered during the reporting period and used as numerators (for each of the four categories) total fees billed to *issuer audit* clients for the relevant services rendered during the reporting period.

(•) 2. The Firm used as a denominator the total fees billed to all clients in the Firm's fiscal year that ended during the reporting period and used as numerators (for each of the four categories) total *issuer audit* client fees as determined by reference to the fee amounts disclosed to the *Commission* by those clients for each client's fiscal year that ended during the reporting period (including, for clients who have not made the required *Commission* filings, the fee amounts required to be disclosed).

c. If the Firm has used a reasonable method to estimate the components of the calculations described in Item 3.2.b, rather than using the specific data, check this box and attach Exhibit 3.2 briefly describing the reasons for doing so and the methodology used in making those estimates. CA [ ] CR [ ] [x]

Note: In responding to Item 3.2, careful attention should be paid to the definitions of the italicized terms, which are found in *Board Rules* 1001(i)(iii) (*issuer*), 1001(a)(v) (*audit*), 1001(a)(vii) (*audit services*), 1001(o)(i) (*other accounting services*), 1001(t)(i) (*tax services*), and 1001(n)(ii) (*non-audit services*). The definitions of the four categories of services correspond to the *Commission*'s descriptions of the services for which an *issuer* must disclose fees paid to its auditor. Compare the descriptions of services in Item 9(e) of *Commission* Schedule 14A (17 C.F.R. § 240.14a-101) under the headings "Audit Fees," "Audit-Related Fees," "Tax Fees," and "All Other Fees" with, respectively, the *Board*'s definitions of *Audit Services*, *Other Accounting Services, Tax Services*, and *Non-Audit Services*.

Italicized terms are defined in PCAOB Rule 1001. The Firm must apply those definitions in completing this Form.

ITEM 3.3 - *FOREIGN REGISTERED PUBLIC ACCOUNTING FIRM*'S DESIGNATION OF U.S. AGENT

a. If the Firm is a *foreign registered public accounting firm* that has designated to the *Commission* or *Board* an agent in the United States upon whom the *Commission* or the *Board* may serve any request to the Firm under Section 106 of the *Act* or any process, pleading, or other papers in any action against the Firm to enforce Section 106 of the *Act,* check here and enter the name and address of the designated agent. ☐

1. Agent Name	
2. Agent Address	
2a. Street Address 1	2d. State
2b. Street Address 2	2e. Zip Code
2c. City	

b. If the Firm is a *foreign registered public accounting firm* and did not check the box for Item 3.3.a, indicate by checking "yes" or "no" whether the Firm has, since July 21, 2010, (1) performed material services upon which another *registered public accounting firm* relied in the conduct of an audit or interim review, (2) issued an audit report, (3) performed audit work, or (4) performed interim reviews. ☐ Yes ☐ No

Note: If the Firm checks "yes" for Item 3.3.b, the Firm must immediately provide to the *Commission* or the *Board* the designation required by Section 106(d)(2) of the *Act*.

Note: If the Firm checks "no" for Item 3.3.b, and the Firm later performs any of the activities identified in Section 106(d)(2) of the Act, the Firm must immediately provide to the *Commission* or the *Board* the designation required by Section 106(d)(2) of the *Act*.

Note: If the Firm has previously designated an agent for service to the *Commission* or *Board* , the Firm must immediately communicate any change in the name or address of the agent to the *Commission* or *Board* .

INCOMPLETE RESPONSES DUE TO ASSERTED NON-U.S. LEGAL RESTRICTIONS

If the Firm is a *foreign registered public accounting firm* that, in responding to Part III, has either withheld certain information, or declined to request certain information from relevant third parties, on the ground that the Firm cannot provide the information to the *Board* on this Form 2 without violating non-U.S. law, the Firm must identify here all items -- and only those items -- with respect to which there is any information that the Firm has actually withheld or declined to request.

☐ 3.1.c	☐ 3.1.e	☐ 3.2.a.1	☐ 3.2.a.2	☐ 3.2.a.3
☐ 3.2.a.4	☐ 3.2.b	☐ 3.2.c	☐ 3.3.a	☐ 3.3.b

Italicized terms are defined in PCAOB Rule 1001. The Firm must apply those definitions in completing this Form.

PART IV - *AUDIT* CLIENTS AND *AUDIT REPORTS*

ITEM 4.1 - *AUDIT REPORTS* ISSUED BY THE FIRM FOR *ISSUERS*

a. Provide the following information concerning each *issuer* for which the Firm issued any *audit report*(s) during the reporting period -

1. *Issuer* name
23andMe Holding Co.

2. *Issuer* CIK (Central Index Key) number, if any
1804591 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

6/11/2025

1. *Issuer* name
AAR CORP.

2. *Issuer* CIK (Central Index Key) number, if any
1750 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

7/21/2025

1. *Issuer* name
AB CarVal Credit Opportunities Fund

2. *Issuer* CIK (Central Index Key) number, if any
2012326 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

8/29/2025

1. *Issuer* name
Aberdeen India Fund, Inc. (formerly, The India Fund, Inc.)

2. *Issuer* CIK (Central Index Key) number, if any
917100 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

2/27/2026

1. *Issuer* name
ABM Industries Incorporated

2. *Issuer* CIK (Central Index Key) number, if any
771497 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

12/19/2025

1. *Issuer* name
abrdn Asia-Pacific Income Fund, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

790500 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

12/26/2025 | 12/29/2025 |

1. *Issuer* name

abrdn Australia Equity Fund, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

779336 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

12/26/2025 | | |

1. *Issuer* name

abrdn Emerging Markets ex-China Fund, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

846676 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

2/27/2026 | | |

1. *Issuer* name

abrdn Funds

2. *Issuer* CIK (Central Index Key) number, if any

1413594 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

12/26/2025 | | |

1. *Issuer* name

abrdn Global Dynamic Dividend Fund

2. *Issuer* CIK (Central Index Key) number, if any

1362481 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

12/26/2025 | | |

1. *Issuer* name

abrdn Global Income Fund, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

876717 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

12/26/2025 | | |

1. *Issuer* name

abrdn Global Infrastructure Income Fund

2. *Issuer* CIK (Central Index Key) number, if any

1793855 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

11/28/2025

1. *Issuer* name

abrdn Global Premier Properties Fund

2. *Issuer* CIK (Central Index Key) number, if any

1390195 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

12/26/2025

1. *Issuer* name

abrdn Gold ETF Trust

2. *Issuer* CIK (Central Index Key) number, if any

1450923 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

3/2/2026

1. *Issuer* name

abrdn Healthcare Investors

2. *Issuer* CIK (Central Index Key) number, if any

805267 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

11/28/2025

1. *Issuer* name

abrdn Healthcare Opportunities Fund

2. *Issuer* CIK (Central Index Key) number, if any

1604522 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

11/28/2025

1. *Issuer* name

abrdn Income Credit Strategies Fund

2. *Issuer* CIK (Central Index Key) number, if any

1503290 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously

issued *audit report*s.

12/26/2025

1. *Issuer* name

abrdn Life Sciences Investors

2. *Issuer* CIK (Central Index Key) number, if any

884121 Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

11/28/2025

1. *Issuer* name

abrdn National Municipal Income Fund

2. *Issuer* CIK (Central Index Key) number, if any

895574 Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

11/28/2025

1. *Issuer* name

abrdn Palladium ETF Trust

2. *Issuer* CIK (Central Index Key) number, if any

1459862 Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

3/2/2026

1. *Issuer* name

abrdn Platinum ETF Trust

2. *Issuer* CIK (Central Index Key) number, if any

1460235 Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

3/2/2026

1. *Issuer* name

abrdn Precious Metals Basket ETF Trust

2. *Issuer* CIK (Central Index Key) number, if any

1483386 Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

3/2/2026

1. *Issuer* name

abrdn Silver ETF Trust

2. *Issuer* CIK (Central Index Key) number, if any

1450922 Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

3/2/2026

1. *Issuer* name

abrdn Total Dynamic Dividend Fund

2. *Issuer* CIK (Central Index Key) number, if any

1379400 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

1/5/2026

1. *Issuer* name

abrdn World Healthcare Fund

2. *Issuer* CIK (Central Index Key) number, if any

1635977 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

11/28/2025

1. *Issuer* name

Absolute Shares Trust

2. *Issuer* CIK (Central Index Key) number, if any

1591939 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

8/29/2025

1. *Issuer* name

Acadian Asset Management Inc.

2. *Issuer* CIK (Central Index Key) number, if any

1748824 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

2/27/2026

1. *Issuer* name

Accel Entertainment, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

1698991 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

3/3/2026

1. *Issuer* name

Accendra Health, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

75252 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

2/20/2026

1. *Issuer* name

Accenture plc

2. *Issuer* CIK (Central Index Key) number, if any

1467373 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

10/10/2025

1. *Issuer* name

ACCO Brands Corporation

2. *Issuer* CIK (Central Index Key) number, if any

712034 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

3/9/2026

1. *Issuer* name

ADAMS STREET PRIVATE EQUITY NAVIGATOR FUND LLC (FKA Adams Street Global Private Markets Fund LP)

2. *Issuer* CIK (Central Index Key) number, if any

1862281 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

4/25/2025

1. *Issuer* name

AdaptHealth Corp.

2. *Issuer* CIK (Central Index Key) number, if any

1725255 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

2/24/2026

1. *Issuer* name

Adicet Bio, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

1720580 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

3/12/2026

1. *Issuer* name

ADMA Biologics, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

1368514 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

2/25/2026

1. *Issuer* name

Adobe Inc.

2. *Issuer* CIK (Central Index Key) number, if any

796343 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

1/15/2026

1. *Issuer* name

Adviser Managed Trust

2. *Issuer* CIK (Central Index Key) number, if any

1502608 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

9/23/2025

1. *Issuer* name

AEON Biopharma, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

1837607 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

3/30/2026

1. *Issuer* name

AEye, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

1818644 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

3/18/2026

1. *Issuer* name

Aflac Incorporated

2. *Issuer* CIK (Central Index Key) number, if any

4977 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously

issued *audit report*s.

2/25/2026

1. *Issuer* name
AGCO Corporation

2. *Issuer* CIK (Central Index Key) number, if any
880266 Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

2/13/2026

1. *Issuer* name
Agenus Inc.

2. *Issuer* CIK (Central Index Key) number, if any
1098972 Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

3/16/2026

1. *Issuer* name
Air Lease Corporation

2. *Issuer* CIK (Central Index Key) number, if any
1487712 Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

2/12/2026

1. *Issuer* name
Alamo Group Inc.

2. *Issuer* CIK (Central Index Key) number, if any
897077 Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

3/2/2026

1. *Issuer* name
Alaska Air Group, Inc.

2. *Issuer* CIK (Central Index Key) number, if any
766421 Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

2/12/2026

1. *Issuer* name
Albany International Corp.

2. *Issuer* CIK (Central Index Key) number, if any
819793 Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

2/27/2026		

1. *Issuer* name

Allegiant Travel Company

2. *Issuer* CIK (Central Index Key) number, if any

1362468 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

2/26/2026		

1. *Issuer* name

Allspring Exchange-Traded Funds Trust

2. *Issuer* CIK (Central Index Key) number, if any

1611331 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

9/24/2025	10/23/2025	11/20/2025

1. *Issuer* name

Allspring Funds Trust

2. *Issuer* CIK (Central Index Key) number, if any

1081400 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

5/22/2025	6/26/2025	6/27/2025
8/22/2025	9/24/2025	10/23/2025
11/20/2025	12/22/2025	2/25/2026
3/26/2026		

1. *Issuer* name

Allspring Global Dividend Opportunity Fund

2. *Issuer* CIK (Central Index Key) number, if any

1386067 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

12/22/2025		

1. *Issuer* name

Allspring Income Opportunities Fund

2. *Issuer* CIK (Central Index Key) number, if any

1210123 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

6/26/2025

1. *Issuer* name
Allspring Master Trust

2. *Issuer* CIK (Central Index Key) number, if any
1087961 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

6/26/2025

1. *Issuer* name
Allspring Multi-Sector Income Fund

2. *Issuer* CIK (Central Index Key) number, if any
1227073 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

12/22/2025

1. *Issuer* name
Allspring Utilities and High Income Fund

2. *Issuer* CIK (Central Index Key) number, if any
1279014 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

10/23/2025

1. *Issuer* name
Allspring Variable Trust

2. *Issuer* CIK (Central Index Key) number, if any
1081402 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

2/25/2026

1. *Issuer* name
AlTi Global, Inc.

2. *Issuer* CIK (Central Index Key) number, if any
1838615 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

3/31/2026

1. *Issuer* name
ALX Oncology Holdings Inc.

2. *Issuer* CIK (Central Index Key) number, if any
1810182 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

3/9/2026		

1. *Issuer* name

Ambiq Micro, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

1500412 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

7/19/2025	3/5/2026	

1. *Issuer* name

AMC Networks Inc.

2. *Issuer* CIK (Central Index Key) number, if any

1514991 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

2/11/2026		

1. *Issuer* name

Amended and Restated Accenture plc 2010 Employee Share Purchase Plan

2. *Issuer* CIK (Central Index Key) number, if any

1467373 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

10/10/2025		

1. *Issuer* name

Amer Sports, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

1988894 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

2/25/2026		

1. *Issuer* name

American Airlines Group Inc.

2. *Issuer* CIK (Central Index Key) number, if any

6201 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

2/18/2026		

1. *Issuer* name

American Airlines, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

4515 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

2/18/2026

1. *Issuer* name

American Battery Technology Company

2. *Issuer* CIK (Central Index Key) number, if any

1576873 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

9/18/2025

1. *Issuer* name

American Bitcoin Corp.

2. *Issuer* CIK (Central Index Key) number, if any

1755953 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

3/27/2026

1. *Issuer* name

American Honda Finance Corporation

2. *Issuer* CIK (Central Index Key) number, if any

864270 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

6/18/2025

1. *Issuer* name

Ameris Bancorp

2. *Issuer* CIK (Central Index Key) number, if any

351569 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

2/26/2026

1. *Issuer* name

AMG BBH Asset-Backed Credit Fund, LLC

2. *Issuer* CIK (Central Index Key) number, if any

2097545 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

3/16/2026

1. *Issuer* name

AMG Pantheon Credit Solutions Fund

2. *Issuer* CIK (Central Index Key) number, if any

1995940 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

5/30/2025

1. *Issuer* name

AMG Pantheon Fund, LLC

2. *Issuer* CIK (Central Index Key) number, if any

1609211 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

5/30/2025

1. *Issuer* name

AMG Pantheon Infrastructure Fund, LLC

2. *Issuer* CIK (Central Index Key) number, if any

2046200 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

4/30/2025

1. *Issuer* name

AMG Pantheon Master Fund, LLC

2. *Issuer* CIK (Central Index Key) number, if any

1609212 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

5/30/2025

1. *Issuer* name

AMN Healthcare Services, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

1142750 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

2/19/2026

1. *Issuer* name

Amplitude, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

1866692 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

2/18/2026

1. *Issuer* name

Amtech Systems, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

720500 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

12/10/2025

1. *Issuer* name

AnaptysBio, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

1370053 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

3/3/2026

1. *Issuer* name

Angel Oak Mortgage REIT, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

1766478 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

3/3/2026

1. *Issuer* name

Annexon, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

1528115 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

3/30/2026

1. *Issuer* name

Annuity Investors Variable Account A

2. *Issuer* CIK (Central Index Key) number, if any

944959 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

4/9/2025

1. *Issuer* name

Annuity Investors Variable Account B

2. *Issuer* CIK (Central Index Key) number, if any

1030213 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

4/9/2025		

1. *Issuer* name

Annuity Investors Variable Account C

2. *Issuer* CIK (Central Index Key) number, if any

1170238 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

4/9/2025		

1. *Issuer* name

Antero Midstream Corporation

2. *Issuer* CIK (Central Index Key) number, if any

1623925 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

2/11/2026		

1. *Issuer* name

Antero Resources Corporation

2. *Issuer* CIK (Central Index Key) number, if any

1433270 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

2/11/2026		

1. *Issuer* name

Api Group Corporation

2. *Issuer* CIK (Central Index Key) number, if any

1796209 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

5/2/2025	2/25/2026	

1. *Issuer* name

Apple Hospitality REIT, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

1418121 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

2/23/2026		

1. *Issuer* name

Applied Materials, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

6951 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

12/12/2025

1. *Issuer* name

Aquestive Therapeutics, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

1398733 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

3/4/2026

1. *Issuer* name

Ares Capital Corporation

2. *Issuer* CIK (Central Index Key) number, if any

1287750 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

2/4/2026

1. *Issuer* name

Ares Industrial Real Estate Income Trust Inc.

2. *Issuer* CIK (Central Index Key) number, if any

1625941 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

3/5/2026

1. *Issuer* name

Ares Real Estate Income Trust Inc.

2. *Issuer* CIK (Central Index Key) number, if any

1327978 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

3/5/2026

1. *Issuer* name

Ares Strategic Income Fund

2. *Issuer* CIK (Central Index Key) number, if any

1918712 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

3/9/2026

1. *Issuer* name

Armstrong World Industries, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

7431 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

2/24/2026

1. *Issuer* name

Arrowhead Pharmaceuticals, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

879407 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

11/25/2025

1. *Issuer* name

Artiva Biotherapeutics, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

1817241 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

3/10/2026

1. *Issuer* name

Arxis, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

2093536 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

3/13/2026

1. *Issuer* name

Ashmore Funds

2. *Issuer* CIK (Central Index Key) number, if any

1498498 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

12/18/2025

1. *Issuer* name

Aspen Aerogels, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

1145986 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

3/13/2026

1. *Issuer* name

Associated Banc-Corp

2. *Issuer* CIK (Central Index Key) number, if any

7789 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

2/12/2026

1. *Issuer* name

AST SpaceMobile, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

1780312 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

3/2/2026

1. *Issuer* name

Atea Pharmaceuticals, Inc.

2. *Issuer* CIK (Central Index Key) number, if any

1593899 ☐ Check here, if none

3. Date(s) of the *audit report*(s) (mm/dd/yyyy)

☐ Check here if the dates listed below correspond solely to the issuance of consents to the use of previously issued *audit report*s.

3/5/2026

b. If the Firm identified any *issuer*s in response to Item 4.1.a., indicate, by checking the box corresponding to the appropriate range set out below, the total number of Firm personnel who exercised the authority to sign the Firm's name to an *audit report,* for an *issuer*, during the reporting period. If the Firm checks the box indicating that the number is in the range of 1-9, provide the exact number.

- ○ 1-9 Exact Number:
- ○ 10-25
- ○ 26-50
- ○ 51-100
- ○ 101-200
- ◉ More than 200

Note: In responding to Item 4.1(a), careful attention should be paid to the definition of *issuer*. The Firm should not, for example, overlook the fact that investment companies may be *issuers*, or that employee benefit plans that file reports on *Commission* Form 11-K are *issuers*.

Note: In responding to Item 4.1, do not list any *issuer* more than once. For each *issuer,* provide in Item 4.1.a.3 the *audit report* dates (as described in AU 530, Dating of the Independent Auditor's Report) of all such *audit reports* for that *issuer*, including each date of any dual-dated *audit report*.

Note: In responding to Item 4.1.a.3, it is not necessary to provide the date of any consent to an *issuer*'s use of an *audit report* previously issued for that *issuer*, except that, if such consents constitute the only instances of the Firm issuing *audit reports* for a particular *issuer* during the reporting period, the Firm should include that *issuer* in Item 4.1 and include the dates of such consents and indicate whether the dates provided correspond to the issuance of a consent to the use of a previously-issued *audit report* in Item 4.1.a.3.

Italicized terms are defined in PCAOB Rule 1001. The Firm must apply those definitions in completing this Form.

ITEM 4.2 - *ISSUER AUDIT REPORTS* WITH RESPECT TO WHICH THE FIRM *PLAYED A SUBSTANTIAL ROLE* DURING THE REPORTING PERIOD

a. If no *issuers* are identified in response to Item 4.1.a, but the Firm *played a substantial role in the preparation or furnishing of an audit report* for an *issuer* that was issued during the reporting period, provide the following information concerning each *issuer* with respect to which the Firm did so -

Note: If the Firm identifies any *issuer* in response to Item 4.1, the Firm need not respond to Item 4.2.

Note: In responding to Item 4.2, do not list any *issuer* more than once.

1. *Issuer* name

2. *Issuer* CIK (Central Index Key) number, if any

☐ Check here, if none

3. Name of the *registered public accounting firm* that issued the *audit report*(s)

4. The end date(s) of the fiscal period(s) covered by the financial statements that were the subject of the *audit report*(s)

5. *Substantial role played* by the Firm with respect to the *audit report*(s)

If other is selected, please enter *substantial role played* below -

Italicized terms are defined in PCAOB Rule 1001. The Firm must apply those definitions in completing this Form.

ITEM 4.3 - *AUDIT REPORTS* ISSUED BY THE FIRM FOR *BROKERS* OR *DEALERS*

a. Provide the following information concerning each *audit report* issued for a *broker* or *dealer* during the reporting period –

1. *Broker*'s or *Dealer*'s name
ABERDEEN FUND DISTRIBUTORS, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
146230

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1423570 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/19/2026

1. *Broker*'s or *Dealer*'s name
ALLEN & COMPANY LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
1042

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
3683 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/2/2026

1. *Broker*'s or *Dealer*'s name
ASSETPOINT FINANCIAL, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
168796

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1584248 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/25/2026

1. *Broker*'s or *Dealer*'s name
BARCLAYS CAPITAL INC.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
19714

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
851376 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/23/2026

1. *Broker*'s or *Dealer*'s name
BARINGS SECURITIES LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
36929

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
930012 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/25/2026

1. *Broker*'s or *Dealer*'s name
BCI SECURITIES, INC.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
168047

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1577928 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/20/2026

1. *Broker*'s or *Dealer*'s name
BGV SECURITIES USA, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
315086

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1877874 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/27/2026

1. *Broker*'s or *Dealer*'s name
BIDS TRADING L.P.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
141296

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1368727 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/25/2026

1. *Broker*'s or *Dealer*'s name
BLUE OWL SECURITIES LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
283250

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1668630 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/23/2026

1. *Broker*'s or *Dealer*'s name
BMO CAPITAL MARKETS CORP.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
16686

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
772028 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/26/2026

1. *Broker*'s or *Dealer*'s name
BNY MELLON CAPITAL MARKETS, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
17454

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
785069 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/25/2026

1. *Broker*'s or *Dealer*'s name
BNY MELLON SECURITIES CORPORATION

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
231

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
277871 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/25/2026

1. *Broker*'s or *Dealer*'s name
BRADESCO INVESTMENTS INC.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
19453

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
811093 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/19/2026

1. *Broker*'s or *Dealer*'s name
BRADESCO SECURITIES, INC.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
104347

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1121643 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/23/2026

1. *Broker*'s or *Dealer*'s name
CAPITAL BROKERAGE CORPORATION

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
10465

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
355203 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/27/2026

1. *Broker*'s or *Dealer*'s name
CARILLON FUND DISTRIBUTORS, INC.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
139749

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1353057 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
11/25/2025

1. *Broker*'s or *Dealer*'s name
CBOE FIXED INCOME MARKETS, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
315771

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1871012 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/25/2026

1. *Broker*'s or *Dealer*'s name

CBOE TRADING, INC.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

136734

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

1335709 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

2/25/2026

1. *Broker*'s or *Dealer*'s name

CBRE CAPITAL ADVISORS, INC.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

140565

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

1360501 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

4/28/2025 2/27/2026

1. *Broker*'s or *Dealer*'s name

CCA CAPITAL LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

154837

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

1498488 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

2/26/2026

1. *Broker*'s or *Dealer*'s name

CITI PRIVATE ALTERNATIVES, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

153777

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

1675367 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

2/27/2026

1. *Broker*'s or *Dealer*'s name

CITIC SECURITIES INTERNATIONAL USA, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

158266

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

1522643 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

2/27/2026

1. *Broker*'s or *Dealer*'s name

CITICORP SECURITIES SERVICES, INC.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

15387

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

748467 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

2/27/2026

1. *Broker*'s or *Dealer*'s name
CITIGROUP GLOBAL MARKETS INC.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
7059

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
91154 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/2/2026

1. *Broker*'s or *Dealer*'s name
CLARENDON INSURANCE AGENCY, INC.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
7395

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
215448 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/20/2026

1. *Broker*'s or *Dealer*'s name
CLEARPOOL EXECUTION SERVICES, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
168490

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1580959 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/26/2026

1. *Broker*'s or *Dealer*'s name
CLSA AMERICAS, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
165533

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1558345 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/27/2026

1. *Broker*'s or *Dealer*'s name
COMMERCE BROKERAGE SERVICES, INC.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
17140

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
783344 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/26/2026

1. *Broker*'s or *Dealer*'s name
CONNING INVESTMENT PRODUCTS, INC.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
120387

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

1285921 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/31/2026

1. *Broker*'s or *Dealer*'s name
COOPERATIVE SECURITIES LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
317969

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1911841 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
8/25/2025

1. *Broker*'s or *Dealer*'s name
DAIWA CAPITAL MARKETS AMERICA INC.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
1576

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
26617 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
5/23/2025

1. *Broker*'s or *Dealer*'s name
DAIWA CORPORATE ADVISORY LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
129919

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1272515 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
5/28/2025

1. *Broker*'s or *Dealer*'s name
DWS DISTRIBUTORS, INC.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
37306

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
932690 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/25/2026

1. *Broker*'s or *Dealer*'s name
EASTDIL SECURED ADVISORS LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
304434

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1781164 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/20/2026

1. *Broker*'s or *Dealer*'s name
ENVESTNET SECURITIES, INC.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

325803

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1974486 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/27/2026

1. *Broker*'s or *Dealer*'s name
EQT PARTNERS BD, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
322826

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1941006 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
4/15/2025 3/30/2026

1. *Broker*'s or *Dealer*'s name
ETC BROKERAGE SERVICES, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
145276

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1412885 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
9/19/2025

1. *Broker*'s or *Dealer*'s name
FHN FINANCIAL SECURITIES CORP.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
46346

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1073299 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/26/2026

1. *Broker*'s or *Dealer*'s name
FIGURE SECURITIES, INC.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
307093

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1801474 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/2/2026

1. *Broker*'s or *Dealer*'s name
FIRST HORIZON ADVISORS, INC.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
17117

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
783189 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/2/2026

1. *Broker*'s or *Dealer*'s name

FIS BROKERAGE & SECURITIES SERVICES LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
104162

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1115893 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/24/2026

1. *Broker*'s or *Dealer*'s name
FLOURISH FINANCIAL LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
293019

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1734249 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/23/2026

1. *Broker*'s or *Dealer*'s name
FORGE SECURITIES LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
134596

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1319312 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/13/2026

1. *Broker*'s or *Dealer*'s name
FTI CAPITAL ADVISORS, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
123434

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1194643 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/26/2026

1. *Broker*'s or *Dealer*'s name
GIRARD INVESTMENT SERVICES, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
1834

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
35606 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/23/2026

1. *Broker*'s or *Dealer*'s name
GRAYSCALE SECURITIES, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
305907

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1791556 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/24/2026

1. *Broker*'s or *Dealer*'s name

GREENSLEDGE CAPITAL MARKETS LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

151026

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

1468257 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

2/26/2026

1. *Broker*'s or *Dealer*'s name

GUGGENHEIM FUNDS DISTRIBUTORS, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

39805

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

1003991 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

2/27/2026

1. *Broker*'s or *Dealer*'s name

GUGGENHEIM INVESTOR SERVICES, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

30096

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

885730 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

2/27/2026

1. *Broker*'s or *Dealer*'s name

GUGGENHEIM SECURITIES, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

40638

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

1009953 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

2/25/2026

1. *Broker*'s or *Dealer*'s name

HARBOR FUNDS DISTRIBUTORS, INC.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

113506

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

1142173 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

2/13/2026

1. *Broker*'s or *Dealer*'s name

HOULIHAN LOKEY CAPITAL, INC.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

17708

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

789994 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
5/19/2025

1. *Broker*'s or *Dealer*'s name
IDB CAPITAL CORP.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
106032

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1128236 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/19/2026

1. *Broker*'s or *Dealer*'s name
ING FINANCIAL MARKETS LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
28872

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
877559 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/27/2026

1. *Broker*'s or *Dealer*'s name
INLAND SECURITIES CORPORATION

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
15807

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
754651 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/27/2026

1. *Broker*'s or *Dealer*'s name
INVESTMENT DISTRIBUTORS, INC.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
35490

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
916923 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/27/2026

1. *Broker*'s or *Dealer*'s name
JACKSON NATIONAL LIFE DISTRIBUTORS LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
40178

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1006323 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/23/2026

1. *Broker*'s or *Dealer*'s name
JONES LANG LASALLE SECURITIES, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
120738

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1172682 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/2/2026

1. *Broker*'s or *Dealer*'s name
KEEFE, BRUYETTE & WOODS, INC.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
481

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
54930 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/27/2026

1. *Broker*'s or *Dealer*'s name
LASALLE INVESTMENT MANAGEMENT DISTRIBUTORS, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
157625

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1518365 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/26/2026

1. *Broker*'s or *Dealer*'s name
LUMENT SECURITIES, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
14840

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
738602 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
5/22/2025

1. *Broker*'s or *Dealer*'s name
MM ASCEND LIFE INVESTOR SERVICES, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
36451

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
924700 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/20/2026

1. *Broker*'s or *Dealer*'s name
MML DISTRIBUTORS, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
38030

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
943903 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/23/2026

1. *Broker*'s or *Dealer*'s name
MML INVESTORS SERVICES, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
10409

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
701059 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/23/2026

1. *Broker*'s or *Dealer*'s name
MML STRATEGIC DISTRIBUTORS, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
168638

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1582604 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/23/2026

1. *Broker*'s or *Dealer*'s name
MSEC, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
154327

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1494443 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/11/2026

1. *Broker*'s or *Dealer*'s name
MUTUAL OF AMERICA SECURITIES LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
27878

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
869817 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/26/2026

1. *Broker*'s or *Dealer*'s name
NATIONWIDE FUND DISTRIBUTORS LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
25910

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
859270 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/10/2026

1. *Broker*'s or *Dealer*'s name
NATIONWIDE INVESTMENT SERVICES CORPORATION

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
7110

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
76886 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/10/2026

1. *Broker*'s or *Dealer*'s name

NATIONWIDE SECURITIES, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

11173

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

200357 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

3/10/2026

1. *Broker*'s or *Dealer*'s name

NEUBERGER BERMAN BD LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

2908

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

71110 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

2/24/2026

1. *Broker*'s or *Dealer*'s name

NORTHERN TRUST SECURITIES, INC.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

7927

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

310695 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

3/2/2026

1. *Broker*'s or *Dealer*'s name

OPTIVER US LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

128030

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

1257251 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

2/23/2026

1. *Broker*'s or *Dealer*'s name

ORIENTAL FINANCIAL SERVICES LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

29753

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

883499 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

2/26/2026

1. *Broker*'s or *Dealer*'s name

PERSHING ADVISOR SOLUTIONS LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

36671

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

926544 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

2/25/2026

1. *Broker*'s or *Dealer*'s name
PERSHING LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
7560

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
29648 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/25/2026

1. *Broker*'s or *Dealer*'s name
PFS INVESTMENTS INC.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
10111

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
354497 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/27/2026

1. *Broker*'s or *Dealer*'s name
PRIMERICA BROKERAGE SERVICES, INC.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
322250

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1940104 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/27/2026

1. *Broker*'s or *Dealer*'s name
RAYMOND JAMES & ASSOCIATES, INC.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
705

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
724743 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
11/25/2025

1. *Broker*'s or *Dealer*'s name
RAYMOND JAMES FINANCIAL SERVICES, INC.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
6694

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
52021 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
11/25/2025

1. *Broker*'s or *Dealer*'s name
ROBERT W. BAIRD & CO. INCORPORATED

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
8158

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

9211 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/25/2026

1. *Broker*'s or *Dealer*'s name
ROTHSCHILD & CO US INC.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
2910

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
71260 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/9/2026

1. *Broker*'s or *Dealer*'s name
SABADELL SECURITIES USA, INC.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
148137

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1442140 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/13/2026

1. *Broker*'s or *Dealer*'s name
SAFRA SECURITIES LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
47873

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1090474 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/20/2026

1. *Broker*'s or *Dealer*'s name
SANCTUARY SECURITIES, INC.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
205

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
73217 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/31/2026

1. *Broker*'s or *Dealer*'s name
SCOTIA CAPITAL (USA) INC.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
2739

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
64241 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
12/22/2025

1. *Broker*'s or *Dealer*'s name
SEI INVESTMENTS DISTRIBUTION CO.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

10690

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

356647 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

2/23/2026

1. *Broker*'s or *Dealer*'s name

SIXTH STREET BD, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

281933

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

1657004 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

2/26/2026

1. *Broker*'s or *Dealer*'s name

SMBC NIKKO SECURITIES AMERICA, INC.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

28602

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

875890 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

2/27/2026

1. *Broker*'s or *Dealer*'s name

SMBC NIKKO SECURITIES CANADA, LTD.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

297706

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

1748036 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

2/26/2026

1. *Broker*'s or *Dealer*'s name

SPC CAPITAL MARKETS LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

304381

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

1778389 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

2/27/2026

1. *Broker*'s or *Dealer*'s name

STIFEL , NICOLAUS & COMPANY, INC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

793

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

94403 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

2/27/2026

1. *Broker*'s or *Dealer*'s name

STIFEL INDEPENDENT ADVISORS, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
28218

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
872275 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
3/26/2026

1. *Broker*'s or *Dealer*'s name
STONEX FINANCIAL INC.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
45993

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1070092 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
11/28/2025

1. *Broker*'s or *Dealer*'s name
STONEX SECURITIES INC.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
18456

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
800183 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
12/22/2025

1. *Broker*'s or *Dealer*'s name
STRATEGAS SECURITIES, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
149326

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1453720 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/23/2026

1. *Broker*'s or *Dealer*'s name
SUMRIDGE PARTNERS, LLC

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
152437

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1477617 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
11/25/2025

1. *Broker*'s or *Dealer*'s name
SWISS RE CAPITAL MARKETS CORPORATION

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number
39978

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any
1005135 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)
2/25/2026

1. *Broker*'s or *Dealer*'s name

SYMETRA SECURITIES, INC.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

739

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

86110 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

3/5/2026

1. *Broker*'s or *Dealer*'s name

T. ROWE PRICE INVESTMENT SERVICES, INC.

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

8348

3. *Broker*'s or *Dealer*'s CIK (Central Index Key) number, if any

318463 ☐ Check here, if none

4. Date(s) of the *audit report*(s) (mm/dd/yyyy)

2/27/2026

b. If the Firm identified any *brokers* or *dealers* in response to Item 4.3.a., indicate, by checking the box corresponding to the appropriate range set out below, the total number of Firm personnel who exercised the authority to sign the Firm's name to an *audit report*, for a *broker* or *dealer*, during the reporting period. If the Firm checks the box indicating that the number is in the range of 1-9, provide the exact number.

- ○ 1-9 — Exact Number:
- ○ 10-25
- ○ 26-50
- ◉ 51-100
- ○ 101-200
- ○ More than 200

Note: For each *audit report* provide in Item 4.3.a.3 the *audit report* dates (as described in AU 530, Dating of the Independent Auditor's Report) including each date of any dual-dated *audit report*.

Italicized terms are defined in PCAOB Rule 1001. The Firm must apply those definitions in completing this Form.

ITEM 4.4 - *BROKER* OR *DEALER AUDIT REPORTS* WITH RESPECT TO WHICH THE FIRM *PLAYED A SUBSTANTIAL ROLE* DURING THE REPORTING PERIOD

a. If no *brokers* or *dealers* are identified in response to Item 4.3.a, but the Firm *played a substantial role in the preparation or furnishing of an audit report* for a *broker* or *dealer* that was issued during the reporting period, provide the following information concerning each *broker* or *dealer* with respect to which the Firm did so –

Note: If the Firm identifies any *broker* or *dealer* in response to Item 4.3, the Firm need not respond to Item 4.4.

Note: In responding to Item 4.4, do not list any *broker* or *dealer* more than once.

1. *Broker*'s or *Dealer*'s name

2. *Broker*'s or *Dealer*'s CRD (Central Registration Depository) number

3. *Broker*'s or *Dealer*'s CIK number, if any

☐ Check here, if none

4. Name of the *registered public accounting firm* that issued the *audit report*(s)

5. The end date(s) of the fiscal period(s) covered by the financial statements that were subject of the *audit report*(s)

6. Substantial role played by the Firm with respect to the *audit report*(s)

If other is selected, please enter substantial role played below -

INCOMPLETE RESPONSES DUE TO ASSERTED NON-U.S. LEGAL RESTRICTIONS

If the Firm is a *foreign registered public accounting firm* that, in responding to Part IV, has either withheld certain information, or declined to request certain information from relevant third parties, on the ground that the Firm cannot provide the information to the *Board* on this Form 2 without violating non-U.S. law, the Firm must identify here all items -- and only those items -- with respect to which there is any information that the Firm has actually withheld or declined to request.

☐ 4.2.a.1 ☐ 4.2.a.2 ☐ 4.2.a.3 ☐ 4.2.a.4 ☐ 4.2.a.5

☐ 4.4.a.1 ☐ 4.4.a.2 ☐ 4.4.a.3 ☐ 4.4.a.4 ☐ 4.4.a.5

☐ 4.4.a.6

Italicized terms are defined in PCAOB Rule 1001. The Firm must apply those definitions in completing this Form.

PART V - OFFICES AND AFFILIATIONS

In Part V, the Firm should provide information that is current as of the last day of the reporting period.

ITEM 5.1 - FIRM'S OFFICES

List the physical address and, if different, the mailing address, of each of the Firm's offices.

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 420 20th Street North	Street address 1
Street address 2 Suite 1800	Street address 2
City Birmingham	City
State/Province Alabama	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 35203	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 3800 Centerpoint Drive	Street address 1
Street address 2 Suite 200	Street address 2
City Anchorage	City
State/Province Alaska	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 99503	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 60 East Rio Salado Parkway	Street address 1
Street address 2 Suite 800	Street address 2
City Tempe	City
State/Province Arizona	State/Province
Non-U.S. State/Province	Non-U.S. State/Province

Zip/Postal code 85281	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 The Ledger Office Building	Street address 1
Street address 2 240 South Main Street, Suite 216	Street address 2
City Bentonville	City
State/Province Arkansas	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 72712	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 20 Pacifica	Street address 1
Street address 2 Suite 700	Street address 2
City Irvine	City
State/Province California	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 92618	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 550 South Hope Street	Street address 1
Street address 2 Suite 1500	Street address 2
City Los Angeles	City
State/Province California	State/Province
Non-U.S. State/Province	Non-U.S. State/Province

Zip/Postal code	Zip/Postal code
90071	

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 500 Capitol Mall	Street address 1
Street address 2 Suite 2100	Street address 2
City Sacramento	City
State/Province California	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 95814	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 4655 Executive Drive	Street address 1
Street address 2 Suite 1100	Street address 2
City San Diego	City
State/Province California	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 92121	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 55 Second Street	Street address 1
Street address 2 Suite 1400	Street address 2
City San Francisco	City
State/Province California	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code	Zip/Postal code

94105	

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 2755 Augustine Drive	Street address 1
Street address 2 Suite 701	Street address 2
City Santa Clara	City
State/Province California	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 95054	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 1023 Walnut Street	Street address 1
Street address 2	Street address 2
City Boulder	City
State/Province Colorado	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 80302	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 1225 17th Street	Street address 1
Street address 2 Suite 800	Street address 2
City Denver	City
State/Province Colorado	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 80202	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 1001 17th Street	Street address 1
Street address 2 Suite 200	Street address 2
City Denver	City
State/Province Colorado	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 80202	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 One Financial Plaza	Street address 1
Street address 2 755 Main Street, 16th Floor	Street address 2
City Hartford	City
State/Province Connecticut	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 06103	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 677 Washington Boulevard	Street address 1
Street address 2 4th Floor	Street address 2
City Stamford	City
State/Province Connecticut	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 06901	Zip/Postal code

Office physical address	Office mailing address ☐ Same as physical address
Country United States	Country United States
Street address 1 601 F Street NW	Street address 1 1801 K Street, NW
Street address 2	Street address 2 Suite 12000
City Washington	City Washington
State/Province District of Columbia	State/Province District of Columbia
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 20004	Zip/Postal code 20006

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 1801 K Street, NW	Street address 1
Street address 2 Suite 12000	Street address 2
City Washington	City
State/Province District of Columbia	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 20006	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 450 East Las Olas Boulevard	Street address 1
Street address 2 Suite 1200	Street address 2
City Fort Lauderdale	City
State/Province Florida	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 33301	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address

Country	Country
United States	
Street address 1	Street address 1
501 Riverside Avenue	
Street address 2	Street address 2
Suite 500	
City	City
Jacksonville	
State/Province	State/Province
Florida	
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code	Zip/Postal code
32202	

Office physical address	Office mailing address ☑ Same as physical address
Country	Country
United States	
Street address 1	Street address 1
78 SW 7 Street	
Street address 2	Street address 2
Suite 1200	
City	City
Miami	
State/Province	State/Province
Florida	
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code	Zip/Postal code
33130	

Office physical address	Office mailing address ☑ Same as physical address
Country	Country
United States	
Street address 1	Street address 1
227 North Bronough Street	
Street address 2	Street address 2
Suite 7500	
City	City
Tallahassee	
State/Province	State/Province
Florida	
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code	Zip/Postal code
32301	

Office physical address	Office mailing address ☑ Same as physical address
Country	Country

United States	
Street address 1 100 North Tampa Street	Street address 1
Street address 2 Suite 1700	Street address 2
City Tampa	City
State/Province Florida	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 33602	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 420 S. Orange Avenue	Street address 1
Street address 2 Suite 1050	Street address 2
City Orlando	City
State/Province Florida	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 32801	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 6820 Marwick Lane	Street address 1
Street address 2 Suite 200	Street address 2
City Orlando	City
State/Province Florida	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 32827	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country

Street address 1 9301 Lake Nona Boulevard	Street address 1
Street address 2	Street address 2
City Orlando	City
State/Province Florida	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 32827	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 303 Peachtree Street, NE	Street address 1
Street address 2 Suite 2000	Street address 2
City Atlanta	City
State/Province Georgia	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 30308	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 One Atlantic Center	Street address 1
Street address 2 1201 West Peachtree Street, Suite 300	Street address 2
City Atlanta	City
State/Province Georgia	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 30309	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1	Street address 1

Pauahi Tower	
Street address 2 1003 Bishop Street, Suite 2210	Street address 2
City Honolulu	City
State/Province Hawaii	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 96813	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 205 North 10th Street	Street address 1
Street address 2 Suite 600	Street address 2
City Boise	City
State/Province Idaho	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 83702	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 Aon Center	Street address 1
Street address 2 200 East Randolph Street, Suite 5500	Street address 2
City Chicago	City
State/Province Illinois	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 60601	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 111 Monument Circle	Street address 1

Street address 2 Suite 3600	Street address 2
City Indianapolis	City
State/Province Indiana	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 46204	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 Ruan Center	Street address 1
Street address 2 666 Grand Avenue, Suite 2500	Street address 2
City Des Moines	City
State/Province Iowa	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 50309	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 400 West Market Street	Street address 1
Street address 2 Suite 2400	Street address 2
City Louisville	City
State/Province Kentucky	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 40202	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 One American Place	Street address 1
Street address 2	Street address 2

301 Main Street, Suite 2150	
City Baton Rouge	City
State/Province Louisiana	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 70801	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 BankPlus Tower	Street address 1
Street address 2 909 Poydras Street, Suite 2850	Street address 2
City New Orleans	City
State/Province Louisiana	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 70112	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 333 Texas Street	Street address 1
Street address 2 Suite 1300	Street address 2
City Shreveport	City
State/Province Louisiana	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 71101	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 750 East Pratt Street	Street address 1
Street address 2 18th Floor	Street address 2

City	City
Baltimore	
State/Province	State/Province
Maryland	
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code	Zip/Postal code
21202	

Office physical address	Office mailing address ☑ Same as physical address
Country	Country
United States	
Street address 1	Street address 1
Two Financial Center	
Street address 2	Street address 2
60 South Street	
City	City
Boston	
State/Province	State/Province
Massachusetts	
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code	Zip/Postal code
02111	

Office physical address	Office mailing address ☑ Same as physical address
Country	Country
United States	
Street address 1	Street address 1
150 West Jefferson Avenue	
Street address 2	Street address 2
Suite 1900	
City	City
Detroit	
State/Province	State/Province
Michigan	
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code	Zip/Postal code
48226	

Office physical address	Office mailing address ☑ Same as physical address
Country	Country
United States	
Street address 1	Street address 1
99 Monroe Avenue NW	
Street address 2	Street address 2
Suite 400	
City	City

Grand Rapids	
State/Province Michigan	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 49503	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 350 N 5th Street	Street address 1
Street address 2 Suite 600	Street address 2
City Minneapolis	City
State/Province Minnesota	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 55401	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 One Jackson Place	Street address 1
Street address 2 188 East Capitol Street, Suite 1100	Street address 2
City Jackson	City
State/Province Mississippi	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 39201	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 1000 Walnut Street	Street address 1
Street address 2 Suite 1100	Street address 2
City Kansas City	City

State/Province	State/Province
Missouri	
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code	Zip/Postal code
64106	

Office physical address	Office mailing address ☑ Same as physical address
Country	Country
United States	
Street address 1	Street address 1
10 South Broadway	
Street address 2	Street address 2
Suite 900	
City	City
St. Louis	
State/Province	State/Province
Missouri	
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code	Zip/Postal code
63102	

Office physical address	Office mailing address ☑ Same as physical address
Country	Country
United States	
Street address 1	Street address 1
1248 O Street	
Street address 2	Street address 2
Suite 1120	
City	City
Lincoln	
State/Province	State/Province
Nebraska	
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code	Zip/Postal code
68508	

Office physical address	Office mailing address ☑ Same as physical address
Country	Country
United States	
Street address 1	Street address 1
3555 Farnam Street	
Street address 2	Street address 2
Suite 700	
City	City
Omaha	
State/Province	State/Province

Nebraska	
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 68131	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 10845 Griffith Peak Drive	Street address 1
Street address 2 Suite 150	Street address 2
City Las Vegas	City
State/Province Nevada	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 89135	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 3 Chestnut Ridge Road	Street address 1
Street address 2	Street address 2
City Montvale	City
State/Province New Jersey	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 07645	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 75 Chestnut Ridge Road	Street address 1
Street address 2	Street address 2
City Montvale	City
State/Province New Jersey	State/Province

Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code	Zip/Postal code
07645	

Office physical address	Office mailing address ☑ Same as physical address
Country	Country
United States	
Street address 1	Street address 1
51 Chestnut Ridge Road	
Street address 2	Street address 2
City	City
Montvale	
State/Province	State/Province
New Jersey	
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code	Zip/Postal code
07645	

Office physical address	Office mailing address ☑ Same as physical address
Country	Country
United States	
Street address 1	Street address 1
150 John F. Kennedy Parkway	
Street address 2	Street address 2
4th Floor	
City	City
Short Hills	
State/Province	State/Province
New Jersey	
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code	Zip/Postal code
07078	

Office physical address	Office mailing address ☑ Same as physical address
Country	Country
United States	
Street address 1	Street address 1
Two Park Square	
Street address 2	Street address 2
6565 Americas Parkway NE, Suite 700	
City	City
Albuquerque	
State/Province	State/Province
New Mexico	
Non-U.S. State/Province	Non-U.S. State/Province

Zip/Postal code 87110	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 515 Broadway	Street address 1
Street address 2 4th Floor	Street address 2
City Albany	City
State/Province New York	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 12207	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 1 Seneca Street	Street address 1
Street address 2 29th Floor	Street address 2
City Buffalo	City
State/Province New York	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 14203	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 1305 Walt Whitman Road	Street address 1
Street address 2 Suite 200	Street address 2
City Melville	City
State/Province New York	State/Province
Non-U.S. State/Province	Non-U.S. State/Province

Zip/Postal code	Zip/Postal code
11747	

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 Two Manhattan West	Street address 1
Street address 2 375 9th Avenue	Street address 2
City New York	City
State/Province New York	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 10001	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 265 Clinton Square	Street address 1
Street address 2 2nd Floor	Street address 2
City Rochester	City
State/Province New York	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 14604	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 620 South Tryon Street	Street address 1
Street address 2 Suite 1000	Street address 2
City Charlotte	City
State/Province North Carolina	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code	Zip/Postal code

28202	

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 4242 Six Forks Road	Street address 1
Street address 2 Suite 850	Street address 2
City Raleigh	City
State/Province North Carolina	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 27609	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 500 West 5th Street	Street address 1
Street address 2 Suite 800	Street address 2
City Winston-Salem	City
State/Province North Carolina	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 27101	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 312 Walnut Street	Street address 1
Street address 2 Suite 3400	Street address 2
City Cincinnati	City
State/Province Ohio	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 45202	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 1500 West Third Street	Street address 1
Street address 2 Suite 460	Street address 2
City Cleveland	City
State/Province Ohio	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 44113	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 191 West Nationwide Boulevard	Street address 1
Street address 2 Suite 500	Street address 2
City Columbus	City
State/Province Ohio	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 43215	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 210 Park Avenue	Street address 1
Street address 2 Suite 2650	Street address 2
City Oklahoma City	City
State/Province Oklahoma	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 73102	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 1300 South West Fifth Avenue	Street address 1
Street address 2 Suite 3800	Street address 2
City Portland	City
State/Province Oregon	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 97201	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 1735 Market Street	Street address 1
Street address 2 Suite 400	Street address 2
City Philadelphia	City
State/Province Pennsylvania	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 19103	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 BNY Mellon Center	Street address 1
Street address 2 500 Grant Street, Suite 3400	Street address 2
City Pittsburgh	City
State/Province Pennsylvania	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 15219	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address

Country	Country
United States	
Street address 1	Street address 1
30 North Third Street	
Street address 2	Street address 2
Suite 1000	
City	City
Harrisburg	
State/Province	State/Province
Pennsylvania	
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code	Zip/Postal code
17101	

Office physical address	Office mailing address ☑ Same as physical address
Country	Country
United States	
Street address 1	Street address 1
American International Plaza	
Street address 2	Street address 2
250 Munoz Rivera Avenue, Suite 1100	
City	City
San Juan	
State/Province	State/Province
Puerto Rico	
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code	Zip/Postal code
00918	

Office physical address	Office mailing address ☑ Same as physical address
Country	Country
United States	
Street address 1	Street address 1
One Financial Plaza	
Street address 2	Street address 2
Suite 2300	
City	City
Providence	
State/Province	State/Province
Rhode Island	
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code	Zip/Postal code
02903	

Office physical address	Office mailing address ☑ Same as physical address
Country	Country

United States	
Street address 1 40 West Broad Street	Street address 1
Street address 2 Suite 260	Street address 2
City Greenville	City
State/Province South Carolina	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 29601	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 800 South Gay Street	Street address 1
Street address 2 Suite 910	Street address 2
City Knoxville	City
State/Province Tennessee	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 37929	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 Triad Center III	Street address 1
Street address 2 6070 Poplar Avenue, Suite 450	Street address 2
City Memphis	City
State/Province Tennessee	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 38119	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country

Street address 1 1201 Demonbreun Street	Street address 1
Street address 2 Suite 1100	Street address 2
City Nashville	City
State/Province Tennessee	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 37203	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 111 Congress Avenue	Street address 1
Street address 2 Suite 1900	Street address 2
City Austin	City
State/Province Texas	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 78701	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 2323 Ross Avenue	Street address 1
Street address 2 Suite 1400	Street address 2
City Dallas	City
State/Province Texas	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 75201	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1	Street address 1

201 Main Street	
Street address 2 Suite 2200	Street address 2
City Fort Worth	City
State/Province Texas	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 76102	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 811 Main Street	Street address 1
Street address 2 Suite 4400	Street address 2
City Houston	City
State/Province Texas	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 77002	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 Promenade Two	Street address 1
Street address 2 17802 IH-10, Suite 305	Street address 2
City San Antonio	City
State/Province Texas	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 78257	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 15 W. South Temple	Street address 1

Street address 2 Suite 1500	Street address 2
City Salt Lake City	City
State/Province Utah	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 84101	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 1051 East Cary Street	Street address 1
Street address 2 Suite 900	Street address 2
City Richmond	City
State/Province Virginia	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 23219	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 8350 Broad Street	Street address 1
Street address 2 Suite 900	Street address 2
City Tysons	City
State/Province Virginia	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 22102	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 222 Central Park Avenue	Street address 1
Street address 2	Street address 2

Suite 1510	
City Virginia Beach	City
State/Province Virginia	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 23462	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 401 Union Street	Street address 1
Street address 2 Suite 2800	Street address 2
City Seattle	City
State/Province Washington	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 98101	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country United States	Country
Street address 1 833 East Michigan Street	Street address 1
Street address 2 Suite 1050	Street address 2
City Milwaukee	City
State/Province Wisconsin	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 53202	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country China	Country
Street address 1 10 Chater Rd	Street address 1
Street address 2 8th Floor, Prince's Building	Street address 2

City Hong Kong	City
State/Province	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country India	Country
Street address 1 Global Technology Park, Marathahalli Outer Ring Road	Street address 1
Street address 2 Phase II, Tower E & D	Street address 2
City Bangalore	City
State/Province	State/Province
Non-U.S. State/Province Karnataka	Non-U.S. State/Province
Zip/Postal code 560103	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country India	Country
Street address 1 RMZ Ecoworld, Devarabeesanahalli, Outer Ring Rd	Street address 1
Street address 2 Plot C-3, Campus 7	Street address 2
City Bangalore	City
State/Province	State/Province
Non-U.S. State/Province Karnataka	Non-U.S. State/Province
Zip/Postal code 560103	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country India	Country
Street address 1 Tower C, DLF Cyber City, Phase 2	Street address 1
Street address 2 Building No.5 & 10	Street address 2
City	City

Gurugram	
State/Province	State/Province
Non-U.S. State/Province Haryana	Non-U.S. State/Province
Zip/Postal code 122002	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country India	Country
Street address 1 Hyderabad Knowledge City, Serilingampally, Rangareddy	Street address 1
Street address 2 Twr 30, Nexity, Raidurga	Street address 2
City Hyderabad	City
State/Province	State/Province
Non-U.S. State/Province Telangana	Non-U.S. State/Province
Zip/Postal code 500081	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country India	Country
Street address 1 Nippon Q1, NH Bypass, Service Road East Palarivattom	Street address 1
Street address 2 D & D1, 5th Floor	Street address 2
City Kochi	City
State/Province	State/Province
Non-U.S. State/Province Kerala	Non-U.S. State/Province
Zip/Postal code 682028	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country India	Country
Street address 1 Info Park SEZ, Info Park PO, Kakkanad	Street address 1
Street address 2 Brigade World Trade Center	Street address 2
City Kochi	City

State/Province	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Kerala	
Zip/Postal code	Zip/Postal code
682042	

Office physical address	Office mailing address ☑ Same as physical address
Country	Country
India	
Street address 1	Street address 1
Godrej Waterside, Sector-V, Plot No-5, Block- DP, Salt Lake	
Street address 2	Street address 2
Tower II	
City	City
Kolkata	
State/Province	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
West Bengal	
Zip/Postal code	Zip/Postal code
700091	

Office physical address	Office mailing address ☑ Same as physical address
Country	Country
India	
Street address 1	Street address 1
Plot E2-2/1, Block EP & GP, Sector V, Salt Lake Electronics	
Street address 2	Street address 2
Infinity IT Lagoon	
City	City
Kolkata	
State/Province	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
West Bengal	
Zip/Postal code	Zip/Postal code
700091	

Office physical address	Office mailing address ☑ Same as physical address
Country	Country
India	
Street address 1	Street address 1
Nesco IT Park, Western Express Highway, Goregaon East	
Street address 2	Street address 2
Nesco Tower 4	
City	City
Mumbai	
State/Province	State/Province

Non-U.S. State/Province Maharashtra	Non-U.S. State/Province
Zip/Postal code 400063	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country India	Country
Street address 1 Tower B, Advant Business Park, Noida Expressway	Street address 1
Street address 2 Plot No. 07, Sector 142	Street address 2
City Noida	City
State/Province	State/Province
Non-U.S. State/Province Uttar Pradesh	Non-U.S. State/Province
Zip/Postal code 201305	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country India	Country
Street address 1 International Tech Park Pune, Grant Road, Kharadi	Street address 1
Street address 2 Block 1, Wing 1 & 2	Street address 2
City Pune	City
State/Province	State/Province
Non-U.S. State/Province Maharashtra	Non-U.S. State/Province
Zip/Postal code 411014	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country Mexico	Country
Street address 1 Boulevard Puerta De Hierro 5153	Street address 1
Street address 2 2nd Floor	Street address 2
City Zapopan	City
State/Province	State/Province

Non-U.S. State/Province Jalisco	Non-U.S. State/Province
Zip/Postal code 45116	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country Mexico	Country
Street address 1 Manuel Avila Camacho 176, 5th Floor	Street address 1
Street address 2 Reforma Social	Street address 2
City Mexico City	City
State/Province	State/Province
Non-U.S. State/Province Mexico City	Non-U.S. State/Province
Zip/Postal code 11650	Zip/Postal code

Office physical address	Office mailing address ☑ Same as physical address
Country Singapore	Country
Street address 1 12 Marina View #15-01	Street address 1
Street address 2 Asia Square Tower 2	Street address 2
City Singapore	City
State/Province	State/Province
Non-U.S. State/Province	Non-U.S. State/Province
Zip/Postal code 018961	Zip/Postal code

Italicized terms are defined in PCAOB Rule 1001. The Firm must apply those definitions in completing this Form.

ITEM 5.2 - *AUDIT*-RELATED MEMBERSHIPS, AFFILIATIONS, OR SIMILAR ARRANGEMENTS

a. State whether the Firm has any:

1. Membership or affiliation in or with any network, arrangement, alliance, partnership or association that licenses or authorizes *audit* procedures or manuals or related materials, or the use of a name in connection with the provision of *audit services* or accounting services	(•) Yes ( ) No
2. Membership or affiliation in or with any network, arrangement, alliance, partnership or association that markets or sells *audit services* or through which joint *audits* are conducted	(•) Yes ( ) No
3. Arrangement, whether by contract or otherwise, with another entity through or from which the Firm employs or leases personnel to perform *audit services*	(•) Yes ( ) No

b. If the Firm provides any affirmative response in Item 5.2.a, identify the entity with which the Firm has each such relationship -

Entity name
KPMG International Limited

Entity Address

Country	State/Province
United Kingdom	
Street address 1 15 Canada Square	Non-U.S. State/Province
Street address 2	Zip/Postal code E14 5GL
City London	

Provide a brief description of the relationship the Firm has with this entity

KPMG LLP is a member firm of the global organization of independent member firms associated with KPMG International Limited (KPMGI). KPMGI acts as the coordinating entity for the overall benefit of the KPMG member firms but does not provide professional services to clients. The KPMG organization structure supports consistency of service quality and adherence to agreed values by KPMG member firms. The membership and associated agreements with KPMGI require member firms to comply with KPMGI's policies and regulations, including quality standards governing how they operate and provide services to clients, and also authorize the use of the KPMG name by member firms. On occasion, certain KPMG member firms may conduct joint audits. Additionally, KPMG LLP may use personnel of other KPMG member firms to assist in performing audit services for individual audit engagements, including through secondments of personnel from such member firms.

Entity name
Intertek Caleb Brett

Entity Address

Country	State/Province
United States	Texas
Street address 1 200 Westlake Park Boulevard	Non-U.S. State/Province
Street address 2 Westlake Building 4, Suite 400	Zip/Postal code 77079
City Houston	

Provide a brief description of the relationship the Firm has with this entity

KPMG LLP had a contractual arrangement with Intertek Caleb Brett to supply professional personnel to assist with the inventory count of an SEC issuer client. Such personnel worked under the direct supervision of the audit engagement team.

Entity name
MBO Partners, Inc.

Entity Address

Country	State/Province
United States	Virginia
Street address 1 20405 Exchange Street	Non-U.S. State/Province
Street address 2 Suite 301	Zip/Postal code 20147
City Ashburn	

Provide a brief description of the relationship the Firm has with this entity
KPMG LLP had a contractual arrangement with MBO Partners, Inc. to supply professional personnel to assist with the audit engagement of an SEC issuer client. Such personnel worked under the direct supervision of the audit engagement team.

Entity name
Pride Technologies, LLC

Entity Address

Country	State/Province
United States	New York
Street address 1 420 Lexington Ave	Non-U.S. State/Province
Street address 2 30th Floor	Zip/Postal code 10170
City New York	

Provide a brief description of the relationship the Firm has with this entity
KPMG LLP had a contractual arrangement with Pride Technologies, LLC to supply professional personnel to assist with the audit engagements of certain SEC issuer clients. Such personnel worked under the direct supervision of the audit engagement team.

Note: Item 5.2.b does not require information concerning every other entity that is part of the network, arrangement, alliance, partnership or association, but only information concerning the network, arrangement, alliance, partnership, or association itself, or the principal entity through which it operates.

INCOMPLETE RESPONSES DUE TO ASSERTED NON-U.S. LEGAL RESTRICTIONS

If the Firm is a *foreign registered public accounting firm* that, in responding to Part V, has either withheld certain information, or declined to request certain information from relevant third parties, on the ground that the Firm cannot provide the information to the *Board* on this Form 2 without violating non-U.S. law, the Firm must identify here all items -- and only those items -- with respect to which there is any information that the Firm has actually withheld or declined to request.

☐ 5.1 ☐ 5.2.a.1 ☐ 5.2.a.2 ☐ 5.2.a.3 ☐ 5.2.b

Italicized terms are defined in PCAOB Rule 1001. The Firm must apply those definitions in completing this Form.

PART VI - PERSONNEL

In Part VI, the Firm should provide information that is current as of the last day of the reporting period.

ITEM 6.1 - NUMBER OF FIRM PERSONNEL

Provide the following numerical totals -

		CA	CR
a. Total number of the Firm's *accountants*	22166	☐	☐
b. Total number of the Firm's certified public accountants (include in this number all *accountants* employed by the Firm with comparable licenses from non-U.S. jurisdictions)	9641	☐	☐
c. Total number of the Firm's personnel	40121	☐	☐

INCOMPLETE RESPONSES DUE TO ASSERTED NON-U.S. LEGAL RESTRICTIONS

If the Firm is a *foreign registered public accounting firm* that, in responding to Part VI, has either withheld certain information, or declined to request certain information from relevant third parties, on the ground that the Firm cannot provide the information to the *Board* on this Form 2 without violating non-U.S. law, the Firm must identify here all items -- and only those items -- with respect to which there is any information that the Firm has actually withheld or declined to request.

☐ 6.1.a ☐ 6.1.b ☐ 6.1.c

Italicized terms are defined in PCAOB Rule 1001. The Firm must apply those definitions in completing this Form.

PART VII - CERTAIN RELATIONSHIPS

ITEM 7.1 - INDIVIDUALS WITH CERTAIN DISCIPLINARY OR OTHER HISTORIES

a. Other than a relationship required to be reported in Item 5.1 of Form 3, and only if the Firm has not previously identified the individual and the sanction or *Commission* order on Form 1, Form 2, or Form 3, state whether, as of the end of the reporting period, the Firm has any employee, partner, shareholder, principal, member, or owner who was the subject of a *Board* disciplinary sanction or a *Commission* order under Rule 102(e) of the *Commission's* Rules of Practice, entered within the five years preceding the end of the reporting period and without that sanction or order having been vacated on review or appeal, and who provided at least ten hours of *audit services* for any *issuer*, *broker*, or *dealer* during the reporting period.

○ Yes
◉ No

CA ☐ CR ☐

b. If the Firm provides an affirmative response to Item 7.1.a, provide the following information for each such individual -

1. Family name (last name)

Given name (first name)

2. Description of the nature of the relationship

3. Date Firm entered into relationship (mm/dd/yyyy)

4. The date of the relevant order and an indication whether it was a *Board* order or a *Commission* order

○ *Board* ○ *Commission*

CA ☐ CR ☐

Italicized terms are defined in PCAOB Rule 1001. The Firm must apply those definitions in completing this Form.

ITEM 7.2 - ENTITIES WITH CERTAIN DISCIPLINARY OR OTHER HISTORIES

a. Other than a relationship required to be reported in Item 5.2 of Form 3, and only if the Firm has not previously reported the information on Form 1, Form 2, or Form 3, state whether, as of the end of the reporting period, the Firm was owned or partly owned by an entity that was the subject of (a) a *Board* disciplinary sanction entered within the five years preceding the end of the reporting period, which has not been vacated on review or appeal, suspending or revoking that entity's registration or disapproving that entity's application for registration, or (b) a *Commission* order under Rule 102(e) of the *Commission*'s Rules of Practice entered within the five years preceding the end of the reporting period, which has not been vacated on appeal, suspending or denying the privilege of appearing or practicing before the *Commission*.

○ Yes
◉ No

CA CR ☐ ☐

b. If the Firm provides an affirmative response to 7.2.a, provide the following information for each such entity -

1. Name of entity

2. Description of the nature of the relationship

3. Date Firm entered into relationship (mm/dd/yyyy)

4. The date of the relevant order and an indication whether it was a *Board* order or a *Commission* order

○ *Board* ○ *Commission*

CA CR ☐ ☐

Italicized terms are defined in PCAOB Rule 1001. The Firm must apply those definitions in completing this Form.

ITEM 7.3 - CERTAIN ARRANGEMENTS TO RECEIVE CONSULTING OR OTHER PROFESSIONAL SERVICES

a. Other than a relationship required to be reported in Item 5.3 of Form 3, state whether the Firm received, or entered into a contractual or other arrangement to receive, from any individual or entity meeting the criteria described in Items 7.1.a. or 7.2.a, consulting or other professional services related to the Firm's *audit* practice or related to services the Firm provides to *issuer, broker*, or *dealer audit* clients.

○ Yes
◉ No

CA CR ☐ ☐

b. If the Firm provides an affirmative response to 7.3.a, provide the following information for each such individual or entity -

1. Name of individual or entity

2. Description of the nature of the relationship

3. Date Firm entered into relationship (mm/dd/yyyy)

4. Description of the services provided or to be provided to the Firm by the individual or entity

5. The date of the relevant order and an indication whether it was a *Board* order or a *Commission* order

○ *Board* ○ *Commission*

CA CR ☐ ☐

INCOMPLETE RESPONSES DUE TO ASSERTED NON-U.S. LEGAL RESTRICTIONS

If the Firm is a *foreign registered public accounting firm* that, in responding to Part VII, has either withheld certain information, or declined to request certain information from relevant third parties, on the ground that the Firm cannot provide the information to the *Board* on this Form 2 without violating non-U.S. law, the Firm must identify here all items -- and only those items -- with respect to which there is any information that the Firm has actually withheld or declined to request.

☐ 7.1.a ☐ 7.1.b ☐ 7.2.a ☐ 7.2.b

☐ 7.3.a ☐ 7.3.b

Italicized terms are defined in PCAOB Rule 1001. The Firm must apply those definitions in completing this Form.

PART VIII - ACQUISITION OF ANOTHER *PUBLIC ACCOUNTING FIRM* OR SUBSTANTIAL PORTIONS OF ANOTHER *PUBLIC ACCOUNTING FIRM'S* PERSONNEL

If the Firm became registered on or after December 31, 2009, the first annual report that the Firm files must provide this information for the period running from the date used by the Firm for purposes of General Instruction 9 of Form 1 (regardless of whether that date was before or after the beginning of the reporting period) through March 31 of the year in which the annual report is required to be filed.

ITEM 8.1 - ACQUISITION OF ANOTHER *PUBLIC ACCOUNTING FIRM* OR SUBSTANTIAL PORTIONS OF ANOTHER *PUBLIC ACCOUNTING FIRM*'S PERSONNEL

a. Did the Firm acquire another *public accounting firm*?

- ○ Yes
- ◉ No

b. If the Firm provides an affirmative response to Item 8.1.a, provide the name(s) of the *public accounting firm*(s) that the Firm acquired.

Name of acquired *public accounting firm*

c. Did the Firm, without acquiring another *public accounting firm*, take on as employees, partners, shareholders, principals, members, or owners 75% or more of the persons who, as of the beginning of the reporting period, were the partners, shareholders, principals, members, or owners of another *public accounting firm*?

- ○ Yes
- ◉ No

d. If the Firm provides an affirmative response to Item 8.1.c, provide the following information for each such *public accounting firm* -

Name of the other *public accounting firm*

Number of the other *public accounting firm*'s former partners, shareholders, principals, members, owners, and *accountants* that joined the Firm

INCOMPLETE RESPONSES DUE TO ASSERTED NON-U.S. LEGAL RESTRICTIONS

If the Firm is a *foreign registered public accounting firm* that, in responding to Part VIII, has either withheld certain information, or declined to request certain information from relevant third parties, on the ground that the Firm cannot provide the information to the *Board* on this Form 2 without violating non-U.S. law, the Firm must identify here all items -- and only those items -- with respect to which there is any information that the Firm has actually withheld or declined to request.

☐ 8.1.a ☐ 8.1.b ☐ 8.1.c ☐ 8.1.d

Italicized terms are defined in PCAOB Rule 1001. The Firm must apply those definitions in completing this Form.

PART IX - AFFIRMATION OF CONSENT

ITEM 9.1 - AFFIRMATION OF UNDERSTANDING OF, AND COMPLIANCE WITH, CONSENT REQUIREMENTS

Whether or not the Firm, in applying for registration with the *Board*, provided the signed statement required by Item 8.1 of Form 1, affirm, by checking the boxes, that -

a. The Firm has consented to cooperate in and comply with any request for testimony or the production of documents made by the *Board* in furtherance of its authority and responsibilities under the Sarbanes-Oxley Act of 2002; ☑

b. The Firm has secured from each of its *associated person*s, and agrees to enforce as a condition of each such person's continued employment by or other association with the Firm, a consent indicating that the *associated person* consents to cooperate in and comply with any request for testimony or the production of documents made by the *Board* in furtherance of its authority under the Sarbanes-Oxley Act of 2002, and that the *associated person* understands and agrees that such consent is a condition of his or her continued employment by or other association with the Firm; and ☑

c. The Firm understands and agrees that cooperation and compliance, as described in Item 9.1.a, and the securing and enforcing of consents from its *associated persons* as described in Item 9.1.b, is a condition to the continuing effectiveness of the registration of the Firm with the *Board*. ☑

Note 1: The affirmation in Item 9.1.b shall not be understood to include an affirmation that the Firm has secured such consents from any *associated person* that is a *registered public accounting firm*.

Note 2: The affirmation in Item 9.1.b shall not be understood to include an affirmation that the Firm has secured such consents from any *associated person* that is a *foreign public accounting firm* in circumstances where that *associated person* asserts that non-U.S. law prohibits it from providing the consent, so long as the Firm possesses in its files documents relating to the *associated person's* assertion about non-U.S. law that would be sufficient to satisfy the requirements of subparagraphs (2) through (4) of Rule 2207(c) if that *associated person* were a *registered public accounting firm* filing a Form 2 and withholding this affirmation. This exception to the affirmation in Item 9.1.b does not relieve the Firm of its obligation to enforce cooperation and compliance with *Board* demands by any such *associated person* as a condition of continued association with the Firm.

Note 3: If the Firm is a *foreign registered public accounting firm*, the affirmations in Item 9.1 that relate to *associated persons* shall be understood to encompass every *accountant* who is a proprietor, partner, principal, shareholder, officer, or *audit* manager of the Firm and who provided at least ten hours of *audit services* for any *issuer* during the reporting period.

INCOMPLETE RESPONSES DUE TO ASSERTED NON-U.S. LEGAL RESTRICTIONS

If the Firm is a *foreign registered public accounting firm* that, in responding to Part IX, has withheld an affirmation on the ground that the Firm cannot provide the affirmation without violating non-U.S. law, the Firm must identify here all items - and only those items - as to which the Firm has actually declined to provide the affirmation.

☐ 9.1.a ☐ 9.1.b ☐ 9.1.c

Italicized terms are defined in PCAOB Rule 1001. The Firm must apply those definitions in completing this Form.

PART X - CERTIFICATION OF THE FIRM

ITEM 10.1 SIGNATURE OF PARTNER OR AUTHORIZED OFFICER

This Form must be signed on behalf of the Firm by an authorized partner or officer of the Firm including, in accordance with Rule 2204, both a signature that appears in typed form within the electronic submission and a corresponding manual signature retained by the Firm.

I, the undersigned, certify that -

a. I am a partner or an officer of the Firm and I am authorized to sign this Form on behalf of the Firm;

b. I have reviewed this Form;

c. based on my knowledge, the Firm has filed a special report on Form 3 with respect to each event that occurred during the reporting period and for which a special report on Form 3 is required under the *Board*'s *rules*;

d. based on the signer's knowledge, this Form does not contain any untrue statement of a material fact or omit to state a material fact necessary to make the statements made, in light of the circumstances under which such statements were made, not misleading; and

e. either-

(●) 1. based on the signer's knowledge, the Firm has not failed to include in this Form any information or affirmation that is required by the instructions to this Form, or

( ) 2. based on the signer's knowledge-
(A) the Firm is a *foreign registered public accounting firm* and has not failed to include in this form any information or affirmation that is required by the instructions to this Form except for information or affirmations that the Firm asserts it cannot provide to the *Board* on this Form 2 without violating non-U.S. law;
(B) with respect to any such withheld information or affirmation, the Firm has satisfied the requirements of PCAOB Rule 2207(b) and has in its possession the materials required by PCAOB Rule 2207(c); and
(C) the Firm has indicated, in accordance with the instructions to this Form, each item of this Form with respect to which the Firm has withheld any required information or affirmation.

Typed signature (to be submitted electronically):	Christian Given name (first name)	Peo Family name (last name)
Manual signature (to be retained in accordance with PCAOB Rule 2204):		
Date of typed and manual signatures (mm/dd/yyyy):	6/25/2026	
Business Title:	Vice Chair, Audit and Assurance	
Capacity in which signed:	Partner (●) Officer ( )	

Business mailing address

Country United States	State/Province California
Street address 1 20 Pacifica	Non-U.S. State/Province
Street address 2 Suite 700	Zip/Postal code 92618
City Irvine	

Business telephone number (incl. country and area codes)

1-949-885-5731

Business facsimile number (incl. country and area codes)

1-949-861-9791

Business e-mail address

cpeo@kpmg.com

Italicized terms are defined in PCAOB Rule 1001. The Firm must apply those definitions in completing this Form.

PART XI - EXHIBITS

EXHIBIT 3.2 - DESCRIPTION OF METHODOLOGY USED TO ESTIMATE COMPONENTS OF CALCULATION IN ITEM 3.2 AND REASONS FOR USING ESTIMATES

If the Firm has indicated in Item 3.2.c that it used a reasonable method to estimate the components of the calculations described in Item 3.2.b, rather than using specific data, the Firm must include as Exhibit 3.2 an exhibit that briefly describes the reasons for doing so and the methodology used in making those estimates.

CA ☐ CR ☐

EXHIBIT 99.1 - REQUEST FOR CONFIDENTIAL TREATMENT

If the Firm has identified, in accordance with the instructions to this Form, any information for which the Firm requests confidential treatment, the Firm must include as Exhibit 99.1 an exhibit that includes the representations and information required by Rule 2300(c)(2).

Unless the Firm requests otherwise by checking the box below, any such Exhibit 99.1 will be afforded confidential treatment without the need for a request for confidential treatment.

Check here to indicate if you do not want confidential treatment for Exhibit 99.1 ☐

EXHIBIT 99.3 - MATERIALS REQUIRED BY RULE 2207(c)(2)-(4)

If the Firm is responding to a request pursuant to Rule 2207(d) for any of the materials described in Rule 2207(c)(2)-(4), submit the requested materials as Exhibit 99.3 to an amended Form 2.

CA ☐ CR ☐

If the Firm seeks confidential treatment for any such materials submitted, check the CR box in this section and also provide Exhibit 99.1 in accordance with the instructions.